

COMPLIANCE POLICIES NUMBERING
OLD to NEW MAP

Pre-20171011	As of 20171011	
COM-001 Auditing and Monitoring	COMP.003	Compliance Auditing and Monitoring
COM-002 Billing Based on Timely Documentation of Ambulatory Care Clinic Visits	COMP.203	Billing Based on Timely Documentation of Ambulatory Care Clinic Visits
COM-003 Social Media Networking Policy and Guidelines	COMP.303	Social Media Networking Policy and Guidelines
COM-005 Identifying, Reporting, and Returning Overpayments	COMP.204	Identifying, Reporting and Returning Overpayments Related to Government Reimbursement
COM-006 Charge Waivers and Discounts	COMP.205	Charge Waivers and Discounts
COM-007 Application of and Compliance with the Emergency Medical Treatment and Active Labor Act (EMTALA)	COMP.301	Application of and Compliance with the Emergency Medical Treatment and Labor Act (EMTALA)
COM-008 Fraud, Waste and Abuse Prevention	COMP.201	Fraud, Waste and Abuse Prevention
COM-101 Budgeting and Billing Requirements for Clinical Research	COMP.202	Budgeting and Billing Requirements for Clinical Research Using UW Medicine Faculty, Facilities or Services
COM-101 Attachment A: Billing Entity Site of Practice	202.G1	Billing Entity by Site of Practice
COM-101 Attachment B: Research Billing Compliance Reporting Requirements	202.G2	Research Billing Compliance Reporting Requirements
COM-101 Attachment C: Research Billing Compliance Procedures	202.G3	Research Billing Compliance Procedures: Using Epic to Report Subject Enrollment and Study Information
PP-00 Glossary of Terms	GDN_M_ComplianceGlossary	UW Medicine Compliance Glossary
PP-01 Designation of Health Care Components at the University of Washington	COMP.101	Patient Information Privacy and Security Compliance Program and Administrative Requirements
PP-01 Attachment A: University of Washington HIPAA Designation	101.G1	University of Washington (UW) HIPAA Designation
PP-02 Use of Consents, Authorizations or Other Legal Permission from the Patient during Transition to HIPAA Compliance	SUNSET	SUNSET
PP-03 Administrative Requirements of UW Medicine's Privacy Program	COMP.101	Patient Information Privacy and Security Compliance Program and Administrative Requirements
PP-04 Privacy, Confidentiality, & Information Security Training	COMP.002	Compliance Education and Outreach
PP-04 Attachment A: Privacy, Confidentiality, and Information Security Agreement	002.F1	UW Medicine Privacy, Confidentiality and Information Security Agreement (PCISA)
PP-04 Attachment B: UW Medicine HIPAA Temporary Workforce Member/Student Self Study	002.F2	UW Medicine HIPAA Temporary Workforce Member/Student Self Study
PP-04 Attachment C: HIPAA Training Certification Form	002.F3	HIPAA Training Certification Form
PP-04 Attachment D: Observational Agreement	SUNSET	SUNSET
PP-05 Complaints and Incidents Related to Privacy and Information Security	COMP.005	Compliance Investigations
PP-05 Attachment A Sample Acknowledgement Letter	SUNSET	SUNSET
PP-05 Attachment B Sample Response Letter	SUNSET	SUNSET
PP-06 Corrective Actions for Noncompliance with Privacy and Information Security Policies	COMP.006	Corrective Actions
PP-07 Use and Disclosure of Protected Health Information to Carry Out Treatment, Payment, and Health Care Operations	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-08 Use & Disclosure of Protected Health Information Requiring Authorization	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-08 Attachment A: Authorization to Disclose/Release Protected Health Information Form UH0626	103.F7	UH0626 Patient Authorization for UW Medicine to Disclose, Release or Obtain PHI
PP-08 Attachment C: Prohibition on Redisclosure	103.G7	Prohibition on Re-disclosure
PP-08 Attachment D: Verbal Redisclosure Form UH 1866	103.F9	UH1866 Record of Verbal Disclosure of PHI
PP-08 Attachment E: Example Letter for Incomplete Request	103.T4	Sample Letter: PHI - Incomplete Request
PP-08 Attachment F: Patient Authorization to Use & Disclose Protected Health Information for Publication	103.F10	UH3031 Patient Authorization to Use & Disclose PHI for Publication
PP-08 Attachment G: Authorization to Use or Disclose Photography/Video Tape Form UH0324	103.F11	UH0324 Patient Authorization to Use or Disclose Photography/Video Tape
PP-08 Attachment H: Release of Information Coordination and Action Form	103.F8	Release of Information Coordination and Action Response Form
PP-08 Attachment I: Authorization for UW Medicine to Use or Disclose Protected Health Information for Publicity Form UH1874	103.F12	UH1874 Patient Authorization for UW Medicine to Use or Disclose PHI for Publicity
PP-08 Attachment J: UW Medicine Authorization to Disclose PHI Status Update Letter to Patient	103.T5	Sample Letter: UW Medicine Authorization to Disclose PHI Status Update Letter to Patient
PP-09 Use & Disclosure of Protected Health Information for Marketing Communications	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-10 Use & Disclosure of Protected Health Information For Fundraising	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-11 Verifying the Identity & Authority of Individuals Requesting Access to and Disclosure of Protected Health Information	COMP.102	Safeguarding the Privacy and Security of Protected Health Information (PHI)
PP-12 Use & Disclosure of Protected Health Information by Business Associates	COMP.106	Use and Disclosure of Protected Health Information by Business Associates
PP-12 Attachment A: UW Medicine Business Associate Agreement	106.T1	Business Associate Agreement
PP-12 UW Data Security Agreement	DELETE LINK.	DELETE LINK.
PP-13 Use & Disclosure of Protected Health Information Related to Inpatient Facility Directories & for Disaster Relief Purposes	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-13 Attachment A: Inpatient Facility Directory Form UH1868	103.F4	UH1868 Patient Information for Inclusion in the UW Medicine Inpatient Facility Directory
PP-14 Access & Disclosure of Protected Health Information to a Minor or Personal Representatives/Surrogate Decision-Makers	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-14 Attachment A: Parent / Guardian eCare Account Request Process	103.G4	Parent/Guardian eCare Account Request Process
PP-14 Attachment B: Adult/Proxy eCare Account Request Process	103.G5	Adult/Proxy eCare Account Request Process
PP-14 Attachment C: UW Medicine Parent/Guardian eCare Account Request	103.F5	UH3293 UW Medicine Minor (Parent/Guardian) eCare Account Request
PP-14 Attachment D: UW Medicine Request for Adult Proxy eCare Access	103.F6	UH3292 UW Medicine Request for Adult Proxy eCare Access
PP-14 Attachment E: Deactivation of eCare Proxy Access	103.G6	Deactivation of eCare Proxy Access
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PP-16e Use & Disclosure of Protected Health Information Permitted to Avert a Serious Threat to Health or Safety	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-16f Use & Disclosure of Protected Health Information Permitted for Correctional Institutions	COMP.103	Use and Disclosure of Protected Health Information (PHI)
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PP-16g Attachment A: Subpoena Unenforceable letter template	103.T1	Sample Letter: Subpoena Unenforceable
PP-16g Attachment B: Subpoena Rejection letter template	103.T2	Sample Letter: Subpoena Rejection
PP-16g Attachment C: Rejection Letter, Out of State Subpoena	103.T3	Sample Letter: Rejection, Out of State Subpoena
PP-16h Use & Disclosure of Protected Health Information Permitted for Law Enforcement Purposes	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-16h Attachment A: Law Enforcement Requests Disclosure of Patient Information	103.G3	Law Enforcement Requests Disclosure of Patient Information
PP-16i Disclosure of Protected Health Information for the Reporting of Violent Injuries	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-16i Attachment A: Mandatory Violent Injury Report	103.F1	UH2883 Mandatory Violent Injury Report
PP-16i Attachment B: Release of Patient Property Form	103.F2	Release of Patient Property to Law Enforcement Form
PP-16i Attachment C: Law Enforcement Request for Information	103.F3	UH2884 Law Enforcement Request for Information
PP-16j Use & Disclosure of Protected Health Information Permitted for Victims of Abuse, Neglect or Domestic Violence	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-16k Use & Disclosure of Protected Health Information Permitted for Military & Veteran Activities and Homeland & National Security Activities	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-16l Use & Disclosure of Protected Health Information Permitted for Workers Compensation	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-17 Psychotherapy Notes Management	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-18 Use & Disclosure of Protected Health Information for Research	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-19 Protected Health Information, Limited Data Set, and De-Identification of Protected Health Information	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-19a Handling Public Records Requests That Contain Protected Health Information	COMP.103	Use and Disclosure of Protected Health Information (PHI)
PP-19 Attachment A: Data Use Agreement for Limited Data Set (for individuals or entities NOT part of UW Medicine workforce)	103.T6	Data Use Agreement for Limited Data Set (for individuals or entities NOT part of UW Medicine workforce)

PP-19 Attachment B: Data Use Agreement for Limited Data Set for UW Medicine workforce	103.T7	Data Use Agreement for Limited Data Set for UW Medicine Workforce
PP-20 Minimum Necessary Requirements for Use & Disclosure of Protected Health Information	COMP.102	Safeguarding the Privacy and Security of Protected Health Information (PHI)
PP-20a Granting Access to Information Systems Containing PHI	COMP.102	Safeguarding the Privacy and Security of Protected Health Information (PHI)
PP-20a Attachment A: Agreement for Electronic Access to Protected Health Information	102.F1	Agreement for Electronic Access to Protected Health Information
PP-20a Attachment C: Workforce Member Documentation of IT System Access	102.F2	Workforce Member Documentation of IT System Access
PP-20a Attachment D: U-Link Enrollment	DELETE LINK.	DELETE LINK.
PP-20a Attachment E: Non-UW Medicine Workforce Privacy, Confidentiality and Information Security Agreement	102.F3	Non-UW Medicine Workforce Privacy, Confidentiality and Information Security Agreement
PP-21 Notice of Privacy Practices	COMP.104	Patient Rights Related to Protected Health Information (PHI)
PP-21 Attachment A: Joint Notice of Privacy Practices of UW Medicine and Certain Other Providers	104.F1	UH1858 UW Medicine Joint Notice of Privacy Practices
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Translation NoPP: Arabic (PDF)	104.F3	NoPP Translation: Arabic
Translation NoPP: Chinese (PDF)	104.F4	NoPP Translation: Chinese
Translation NoPP: Russian (PDF)	104.F5	NoPP Translation: Russian
Translation NoPP: Somali (PDF)	104.F6	NoPP Translation: Somali
Translation NoPP: Spanish (PDF)	104.F7	NoPP Translation: Spanish
Translation NoPP: Vietnamese (PDF)	104.F8	NoPP Translation: Vietnamese
PP-21 Attachment B: Notice of Privacy Practices Acknowledgment Form UH2045	104.F9	UH2045 Notice of Privacy Practices Acknowledgment Form
PP-22 Rights to Request Additional Privacy Protection for Protected Health Information	COMP.104	Patient Rights Related to Protected Health Information (PHI)
PP-22 Attachment A: Request to Consider Additional Privacy Protection for Protected Health Information	104.F10	UH1869 Request to Consider Additional Privacy Protection for Protected Health Information
PP-22 Attachment C: Request to Restrict Disclosure of Health Care Items or Services to Health Plans When Patients Self Pay Out-of-Pocket	104.F11	UH2923 Request to Restrict Disclosure of Healthcare Items or Services to Health Plans When Patients Self Pay Out-of-Pocket
PP-22 Attachment D: Additional Privacy Protections, Restrictions and Alternative Communications Coordination and Action Form	104.F12	Additional Privacy Protections, Restrictions and Alternative Communications Coordination and Action Form
PP-23 Patient's Access to Their Protected Health Information and Designated Record Set	COMP.104	Patient Rights Related to Protected Health Information (PHI)
PP-23 Attachment B: Incomplete Request example letter	104.T1	Sample Letter: Incomplete Request example letter
PP-23 Attachment C: Reviewable Grounds for Denial example letter (partial)	104.T2	Sample Letter: Reviewable Grounds for Denial example letter (partial)
PP-23 Attachment D: Reviewable Grounds for Denial example letter (whole)	104.T3	Sample Letter: Reviewable Grounds for Denial example letter (whole)
PP-23 Attachment E: Unreviewable Grounds for Denial example letter	104.T4	Sample Letter: Unreviewable Grounds for Denial example letter
PP-24 Amendment of Protected Health Information/Designated Record Set	COMP.104	Patient Rights Related to Protected Health Information (PHI)
PP-24 Attachment A: Amendment Request UH2078	104.F13	UH2078 Amendment Request
PP-25 Accounting of Disclosures of Protected Health Information	COMP.104	Patient Rights Related to Protected Health Information (PHI)
PP-25 Attachment A: Accounting of Disclosures Acknowledgment example letter	SUNSET.	SUNSET.
PP-25 Attachment B: Accounting of Disclosures cover letter	SUNSET.	SUNSET.
PP-25 Attachment C: Accounting For Disclosure UH3162	104.F14	UH3162 Documentation of an Accounting of Disclosure
PP-26 Definition of the UW Medicine Designated Record Set	COMP.101	Patient Information Privacy and Security Compliance Program and Administrative Requirements
PP-28 Faxing Protected Health Information	102.G2	Faxing Protected Health Information (PHI)
PP-28 Attachment A: Faxing Protected Health Information - facsimile cover sheet	102.G2	Faxing Protected Health Information (PHI)
PP-29 Notification of Impermissible Use or Disclosure of PHI	COMP.105	Breach Notification
PP-29 Attachment A: Sample Notification Letter	SUNSET.	SUNSET.
PP-30 Safeguarding Patient Information	COMP.102	Safeguarding the Privacy and Security of Protected Health Information (PHI)
PP-31 Identity Theft Prevention	COMP.302	Identity Theft Prevention
SS# Standard	DELETE LINK.	DELETE LINK.
PP-32 Patient Audio and Images Security Use and Disclosure	102.G1	Use and Disclosure of Protected Health Information (PHI) in Patient Audio/Video Recordings, Photographs, & Digital Images
SP-01 Electronic Data Policy	COMP.107	Information Security (In Development). See SP-01 Electronic Data Policy
SP-02 Computing Device and System Security Policy	COMP.107	Information Security (In Development) See SP-02 Computing Device and System Security Policy
SP-03 Workforce Member Policy	COMP.107	Information Security (In Development). See SP-03 Workforce Member Policy
Wearable Technology Guidance	GDN_M_WearableTechnology	Wearable Technology Guidance