

Has the MSA Led to Stock Rebuilding?

**a synthesis of all U.S.
overfished stocks**

**Bevan Symposium
Seattle, WA
April 2014**

**Ana Parma
Centro Nacional Patagónico
Argentina**





**Evaluating the Effectiveness of
Fish Stock Rebuilding Plans
in the United States**

NATIONAL RESEARCH COUNCIL
OF THE NATIONAL ACADEMIES

In 2011, NOAA commissioned the National Research Council of the National Academies to analyze the effects of the MSA mandate to rebuild overfished stocks

Cover art by Ben Miller
"Abstract Fish"

*...and the NRC Committee
on Evaluating the Effectiveness of Fish Stock
Rebuilding Plans in the United States*

- Patrick Sullivan (Co-Chair), Cornell University
- Jeremy Collie, University of Rhode Island
- Troy Hartley, College of William & Mary
- William Heyman, Texas A&M University
- Robert Johnston, Clark University
- André Punt, University of Washington
- Kenneth Rose, Louisiana State University
- James Sanchirico, University of California
- Michael Sissenwine, Woods Hole Oceanographic Institution
- George Sugihara, University of California

The committee was tasked with:

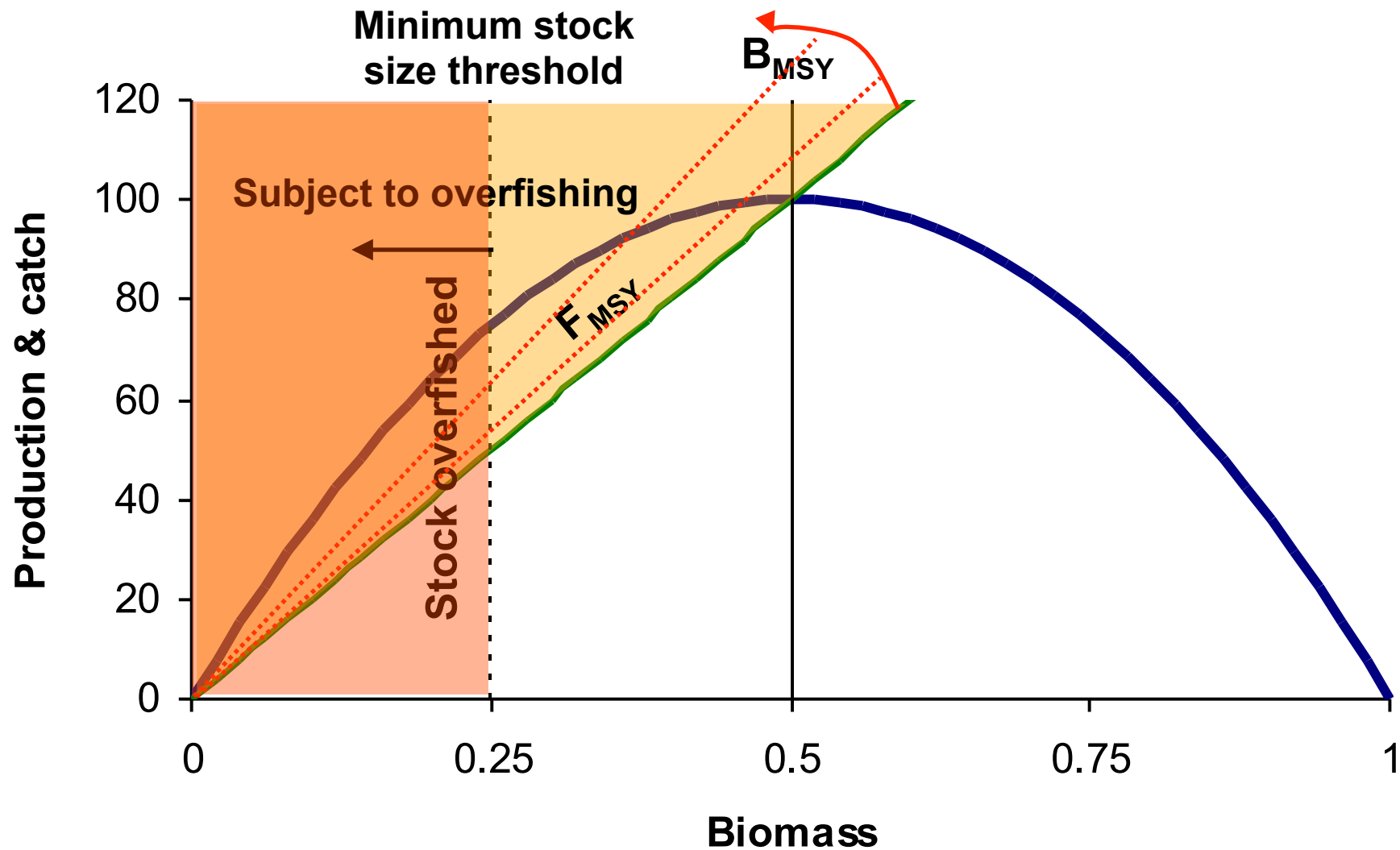
Identifying changes made to fisheries management in response to the MSA rebuilding requirements and evaluating the success in stock rebuilding, including:

1. Methods and approach
2. Outcomes of rebuilding plans
3. Effects of uncertainty
4. Environmental Considerations
5. Economic and social impacts
6. Effects of management measures
7. Knowledge gaps

MSA rebuilding requirements

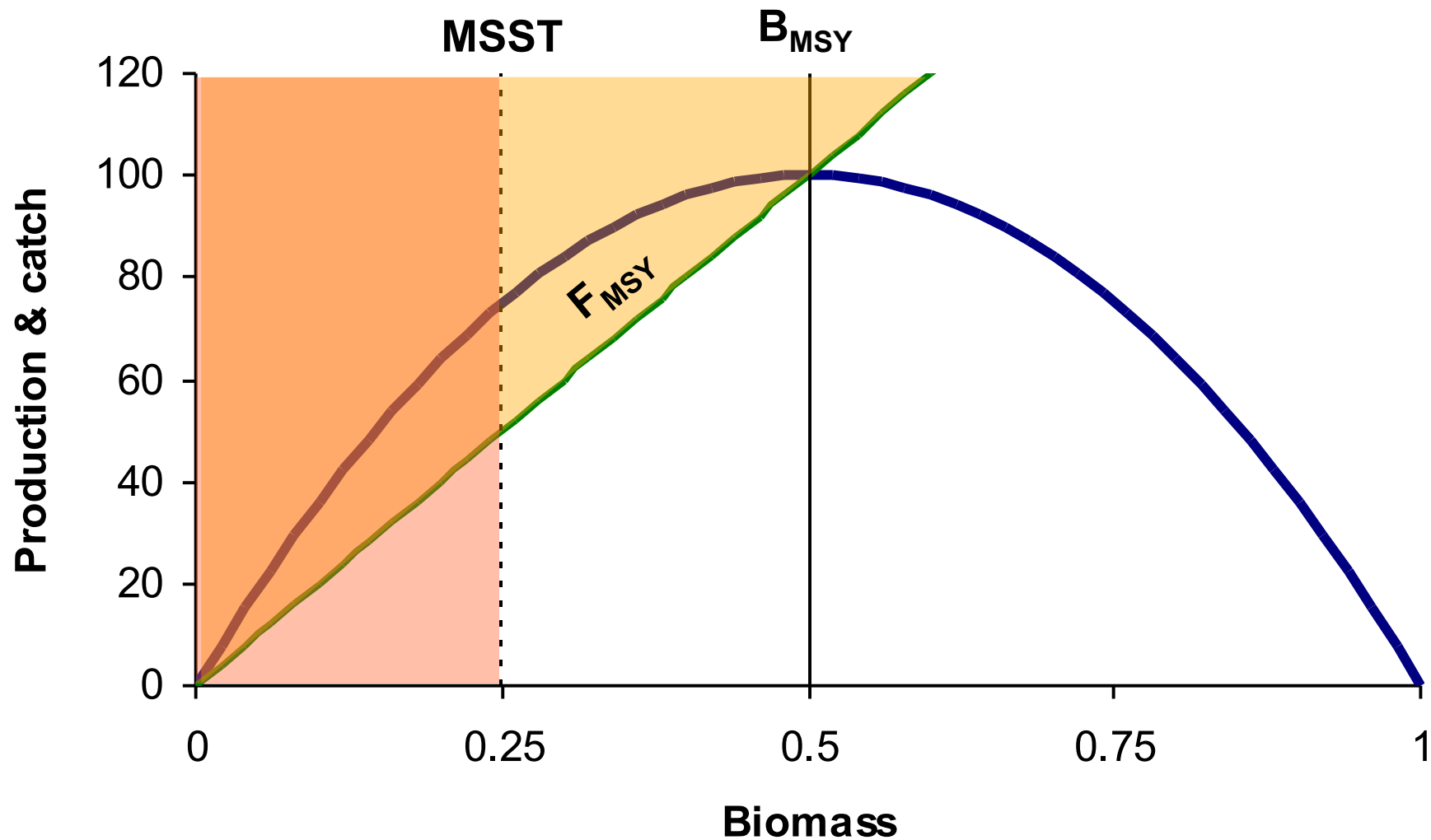
1996 – Sustainable Fisheries Act	<ul style="list-style-type: none"><input checked="" type="checkbox"/> Define overfishing as $F \geq F_{MSY}$<input checked="" type="checkbox"/> Required overfished stocks to be rebuilt<input checked="" type="checkbox"/> Limited the rebuilding time to 10 years with exceptions
2006 Amendment	<ul style="list-style-type: none"><input checked="" type="checkbox"/> Called for overfishing to end immediately<input checked="" type="checkbox"/> Required annual catch limits (ACLs)<input checked="" type="checkbox"/> Required accountability measures if ACLs are exceeded

MSA requires annual reports to Congress on the status of stocks



Stock overfished: Biomass < Minimum stock size threshold

Subject to overfishing: Fishing mortality rate > F_{MSY}



85 federally managed stocks declared overfished over 1997-2011



North Pacific:

1. Blue king crab – Pribilof I.
2. Blue king crab - Saint Mathew I.
3. Southern Tanner crab - Bering Sea
4. Snow crab - Bering Sea

New England:

- | | | |
|---|--------------------|---------------------|
| 1. Acadian redfish | 16. Barndoor skate | 24. Silver hake |
| 2. American plaice | 17. Smooth skate | 25. Windowpane-SNE |
| 3. Atlantic cod – GB | 18. Thorny skate | 26. Winter skate |
| 4. Atlantic cod - GM | 19. Sea scallop | 27. Goosefish- GM |
| 5. Atlantic halibut | 20. Haddock- GB | 28. Goosefih- GB/MA |
| 6. Atlantic salmon | 21. Haddock- GM | 29. Spiny dogfish |
| 7. Atlantic wolffish | 22. Pollock | |
| 8. Ocean pout | 23. Silver hake-GM | |
| 9. Yellowtail flounder-GB | | |
| 10. Yellowtail flounder- SNE/Mid-Atlantic | | |
| 11. Yellowtail flounder- C. Cod/Gulf of Maine | | |
| 12. White hake | | |
| 13. Windowpane - GM/GB | | |
| 14. Winter flounder | | |
| 15. Witch flounder | | |

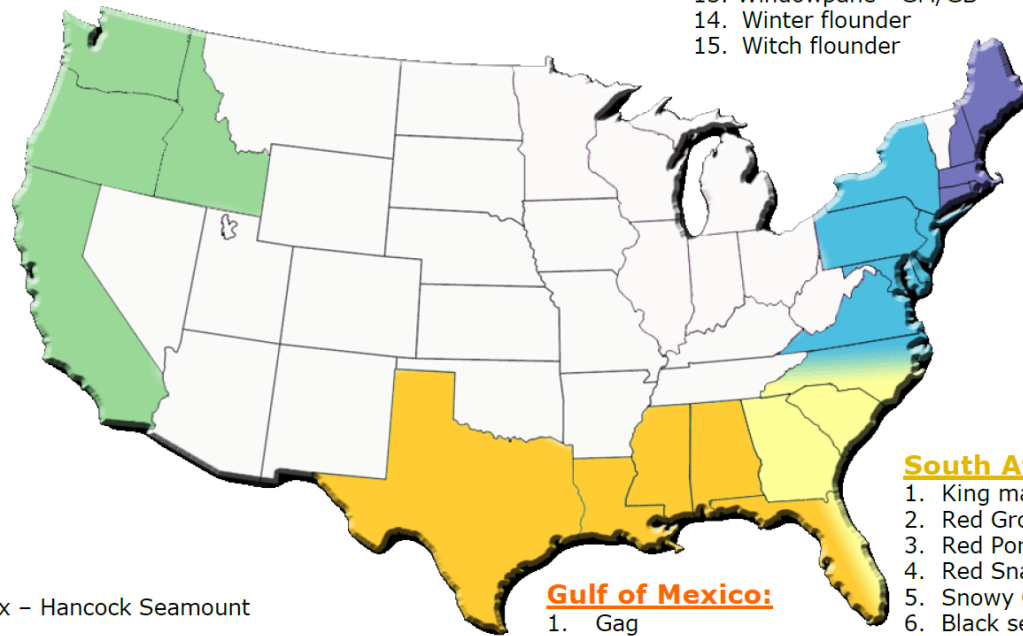
Pacific:

1. Boccacio
2. Canary rockfish
3. Cowcod
4. Darkblorched rockfish
5. Pacific ocean perch
6. Petrale sole
7. Chinook salmon - CA Central Valley: Sacramento (fall)
8. Coho salmon - WA
Western Strait of Juan de Fuca
9. Lingcod - Pacific coast
10. Yelloweye rockfish
11. Pacific hake
12. Widow rockfish
13. Chinook salmon - Klamath
14. Coho salmon - Queets



Western Pacific

1. Seamount Groundfish Complex – Hancock Seamount



Highly Migratory Species:

1. Albacore – North Atlantic
2. Blacknose shark
3. Blacktip shark - Gulf of Mexico
4. Blacktip shark - South Atlantic
5. Blue marlin – Atlantic
6. Bluefin tuna – West Atlantic
7. Bigeye tuna - Atlantic
8. Dusky shark
9. Porbeagle shark
10. Sandbar shark
11. Sailfish – West Atlantic
12. Scalloped hammerhead
13. Swordfish - N Atlantic
14. White marlin – Atlantic

South Atlantic:

1. King mackerel
2. Red Grouper
3. Red Porgy
4. Red Snapper
5. Snowy Grouper
6. Black sea bass
7. Yellowtail snapper

Mid-Atlantic:

1. Black sea bass
2. Bluefish
3. Butterfish
4. Scup
5. Summer flounder
6. Tilefish

Gulf of Mexico:

1. Gag
2. Gray triggerfish
3. Greater amberjack
4. Red snapper
5. Vermillion snapper
6. Red grouper



Caribbean:

1. Grouper Unit 1
2. Grouper Unit 2
3. Grouper Unit 4
4. Queen conch

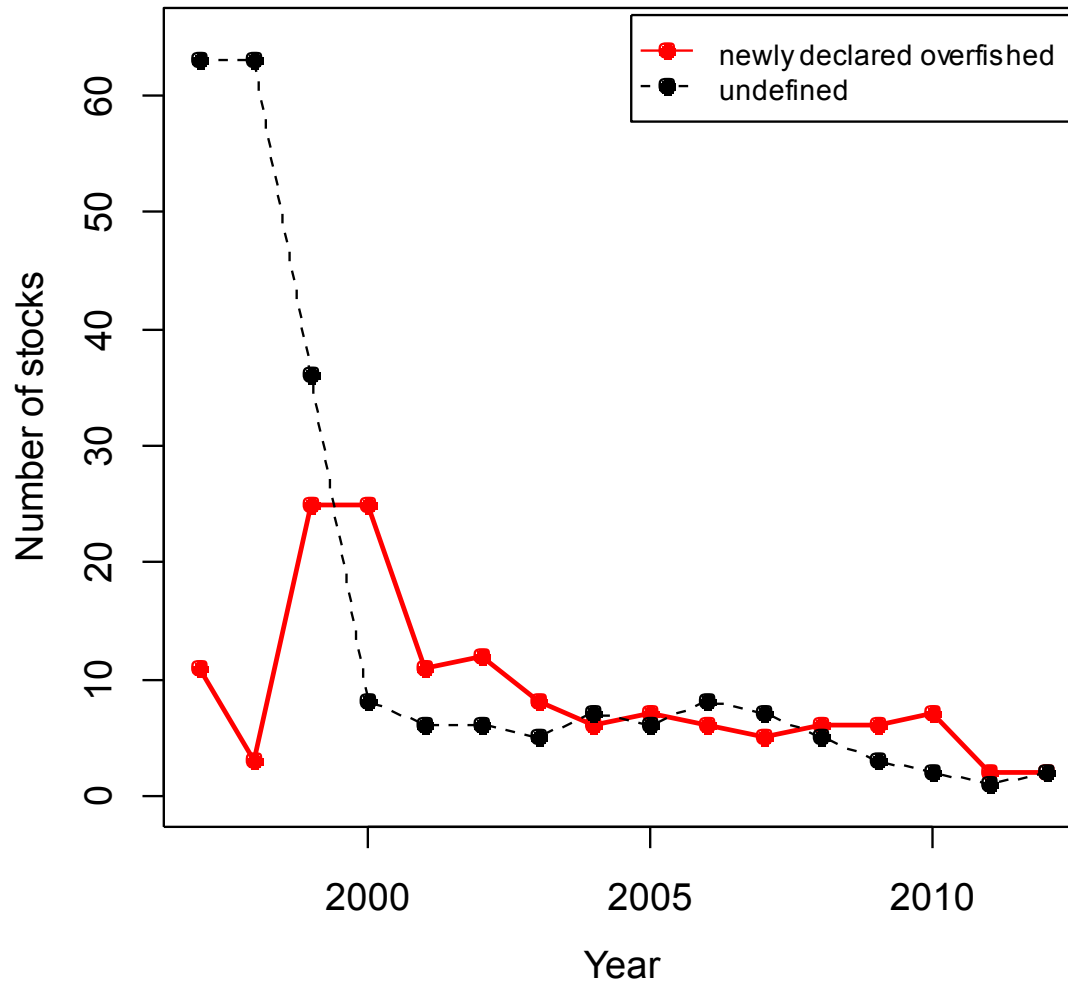
Approach of the study

Evaluate the effectiveness of rebuilding plans
based on these 85 stocks

The talk will cover two aspects:

- **Part I: Management response to MSA
rebuilding requirements**
- **Part II: Outcomes of management actions**
- **Conclusions**

Most stocks were declared overfished within the first 4 years after the Sustainable Fisheries Act of 1996



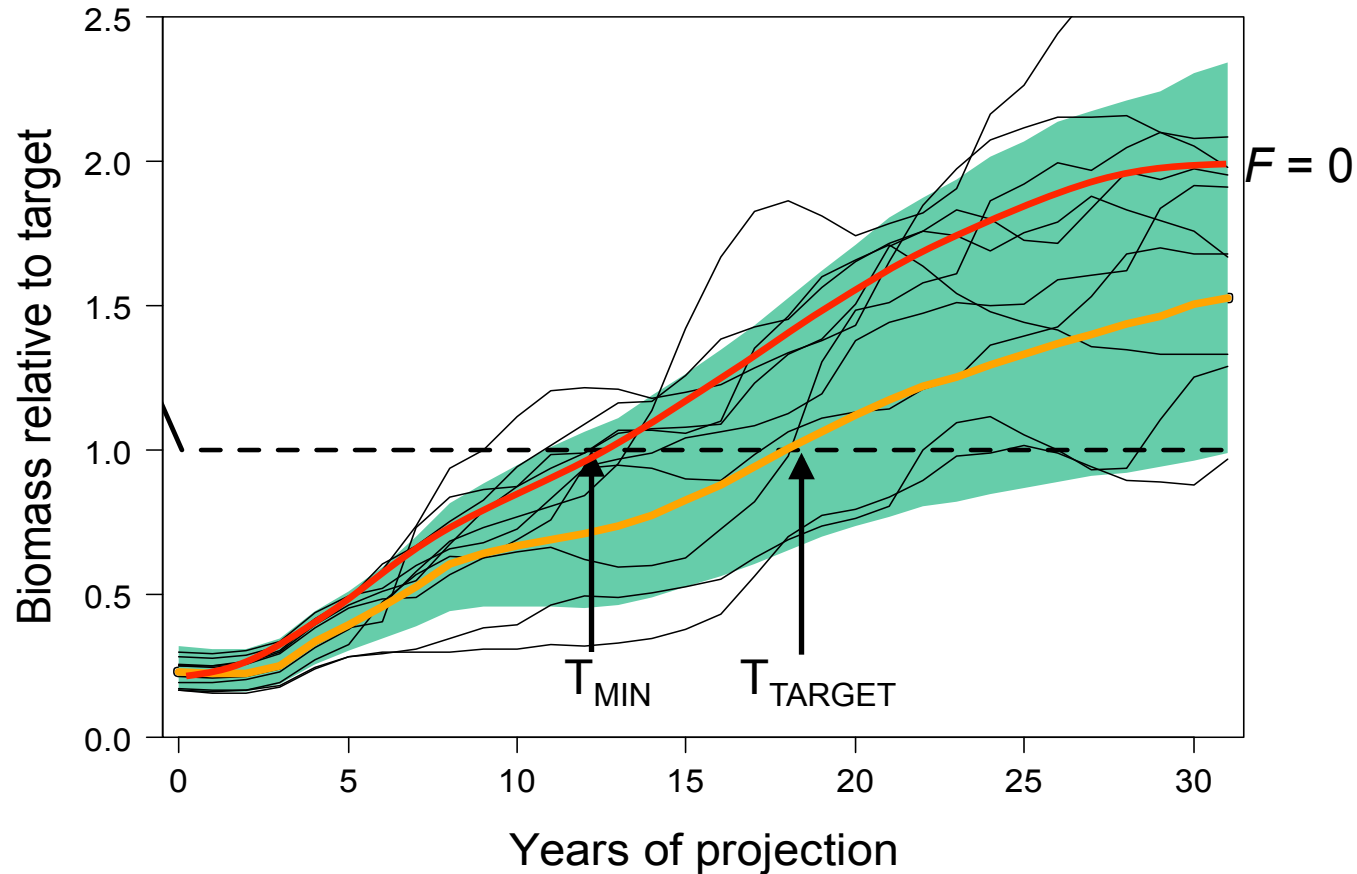
Rebuilding plans were implemented for 79 stocks

T_{TARGET} : target time period for rebuilding the stock to B_{MSY}

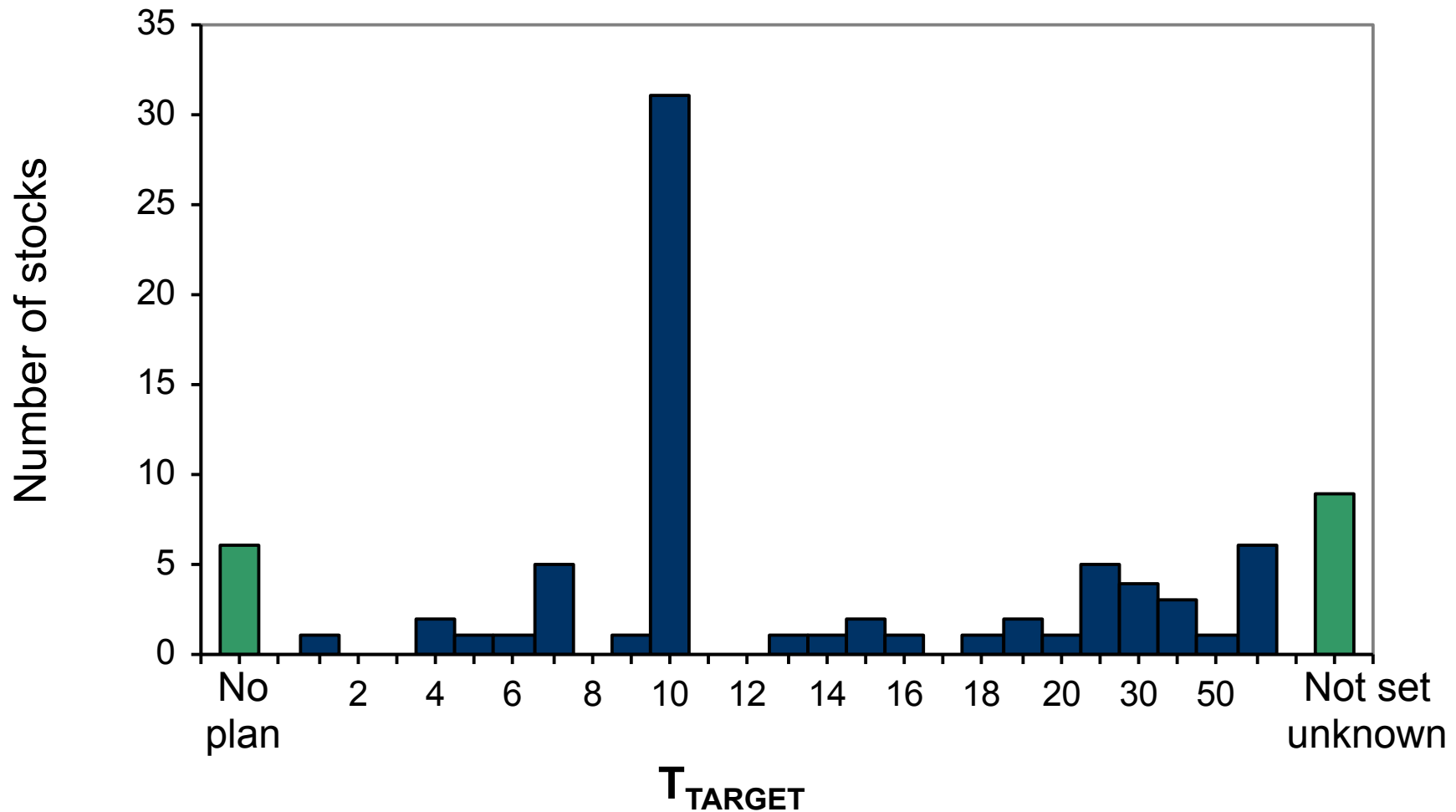
$$T_{\text{MIN}} < T_{\text{TARGET}} < T_{\text{MAX}}$$

T_{MIN} : minimum time to rebuild in the absence of all future fishing

T_{MAX} : maximum rebuilding time set at 10 years except when $T_{\text{MIN}} > 10$ years

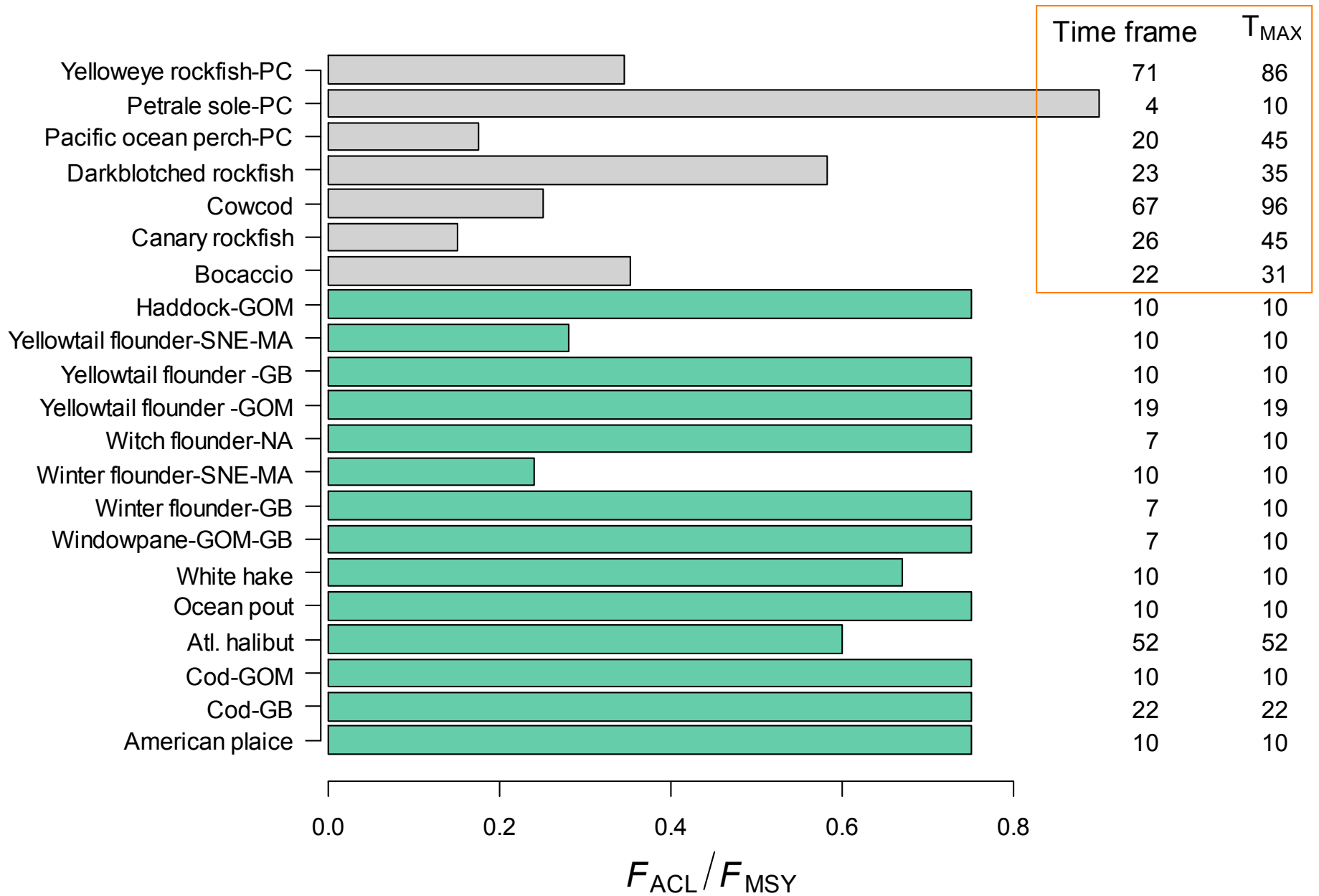


Target time period for rebuilding for the 79 plans



The 10-year rule determined the target year for rebuilding for 40% of the rebuilding plans implemented

Target fishing mortalities used to calculate 2012 acceptable catches for groundfish stocks subject to rebuilding plans under NEFMC & PFMC



On the 10-year rule

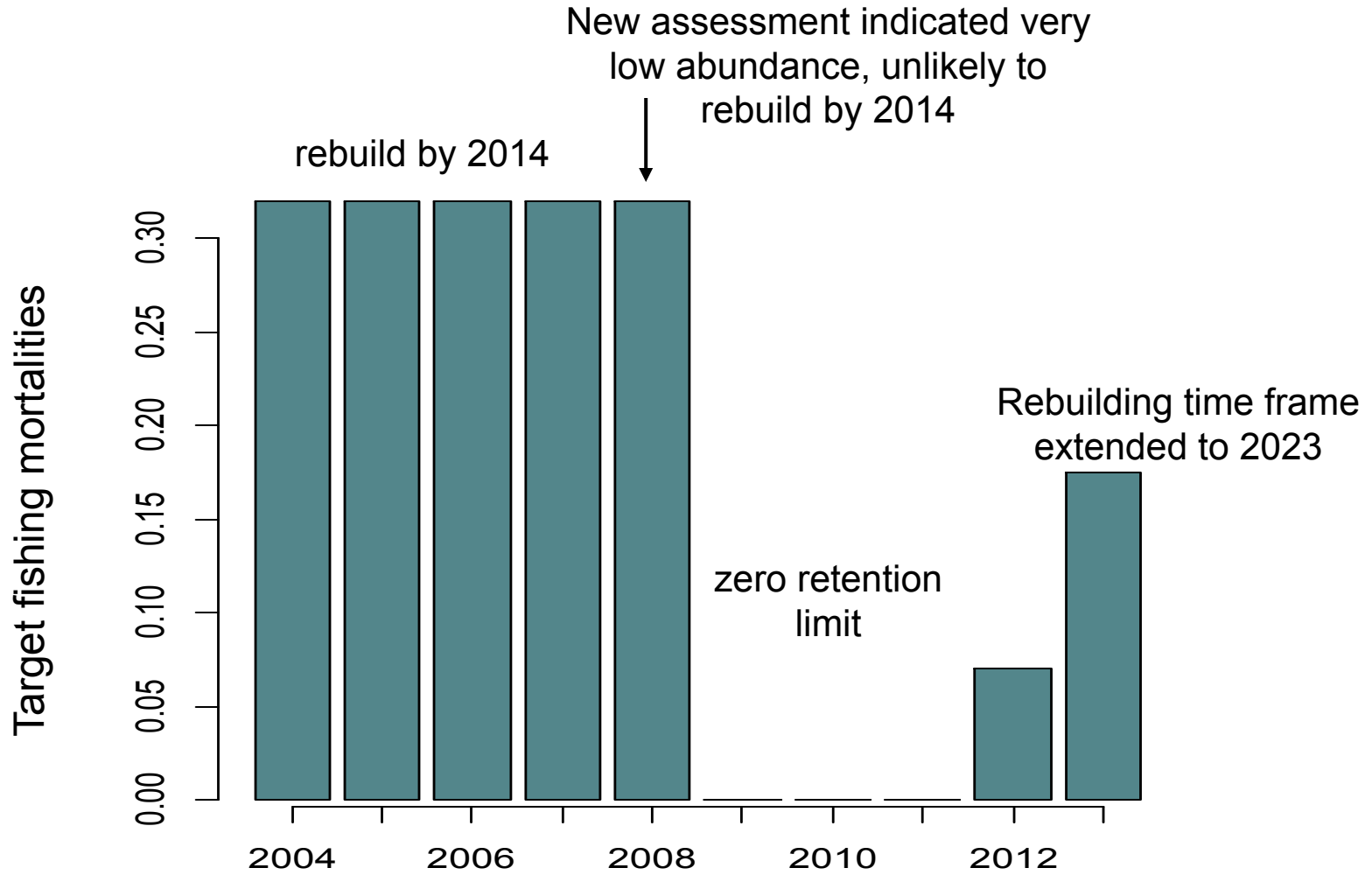
- When the 10-year rule was binding, fishing mortalities that satisfied the rule were in most cases higher than $0.75 F_{MSY}$
- Much larger reductions in F were introduced when rebuilding of abundance was slower than anticipated initially and the target year for rebuilding was approaching

Two examples in which the rebuilding framework has led to abrupt changes in regulations:

- 1) Winter flounder: rebuilding clock reset after major efforts to try to meet the original schedule
- 2) Yellowtail flounder: stock status reclassified after updated stock assessments



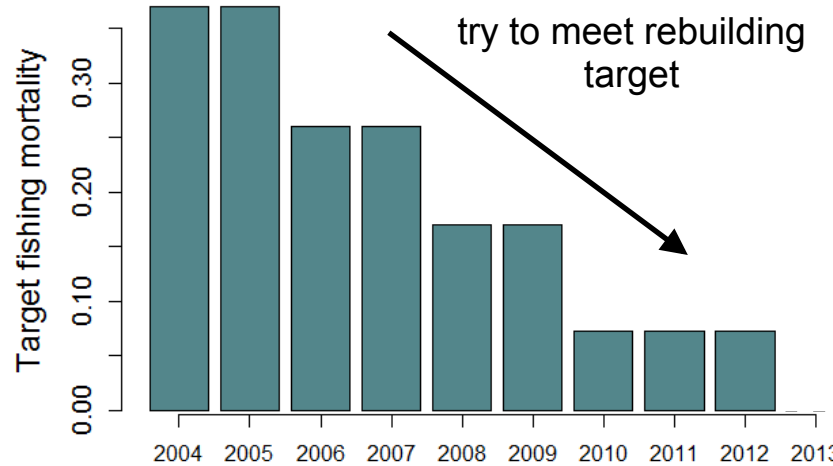
Winter flounder- Southern New England



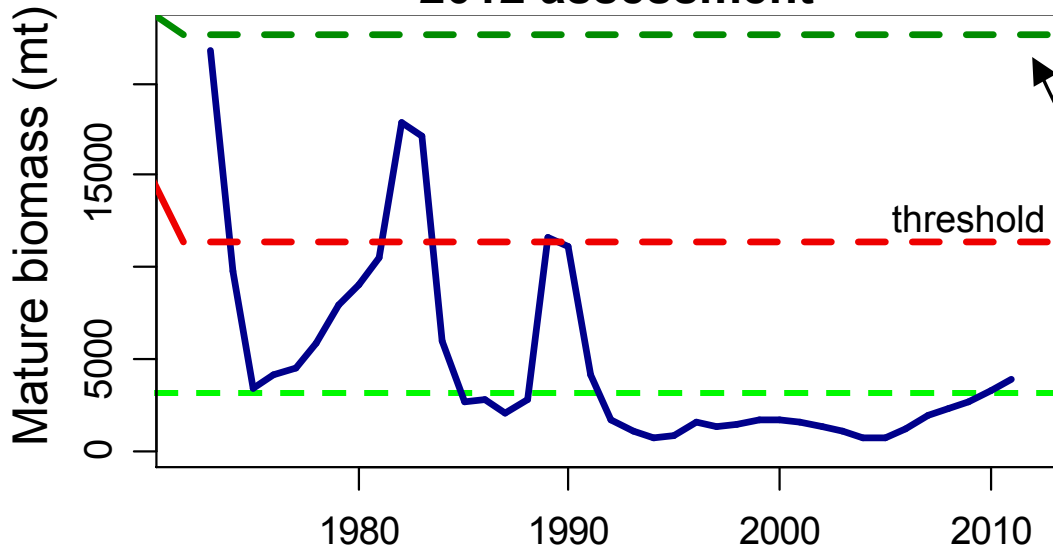


Yellowtail flounder- Southern New England

Original plan
rebuild by 2014



2012 assessment



Two scenarios considered:

1- Low recruitments due to small spawning stock size

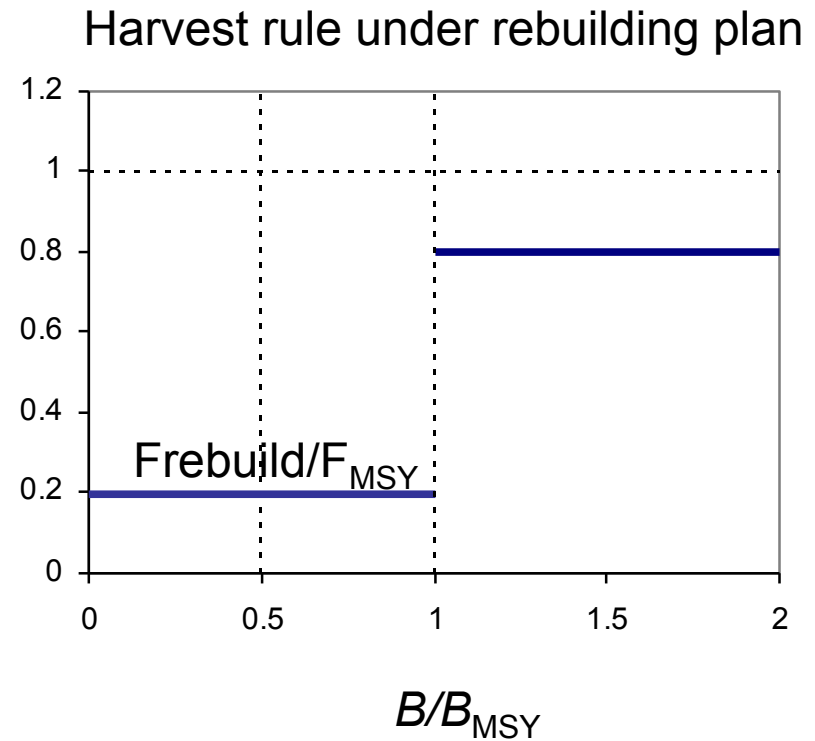
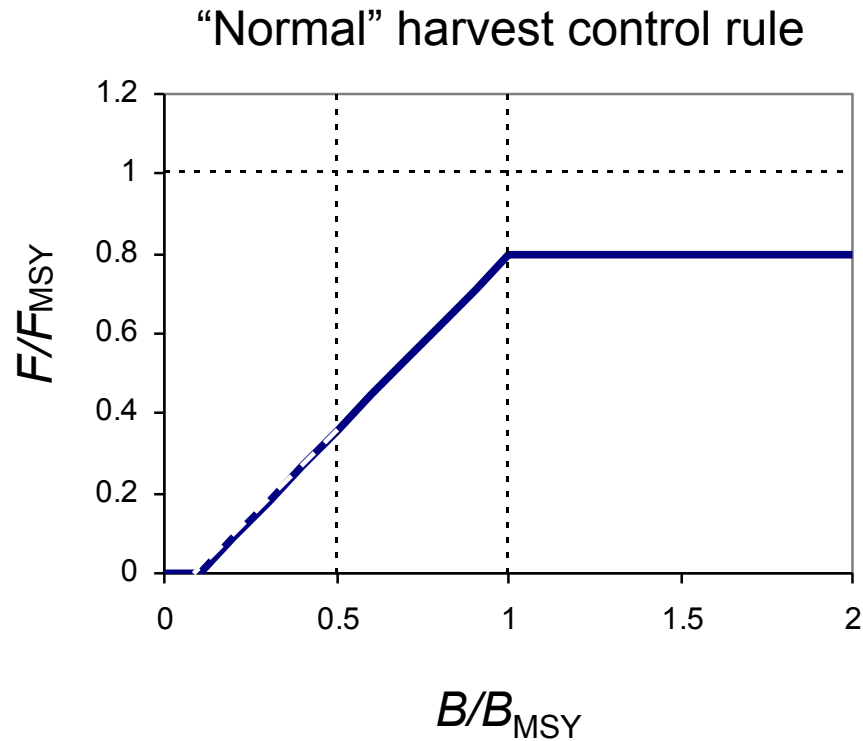
$$B_{MSY} = 22,615 \text{ mt}$$

2- Productivity reduced after 1990

$$B_{MSY} = 2,995 \text{ mt}$$

Stock re-classified as rebuilt

Discontinuity in the harvest control rule



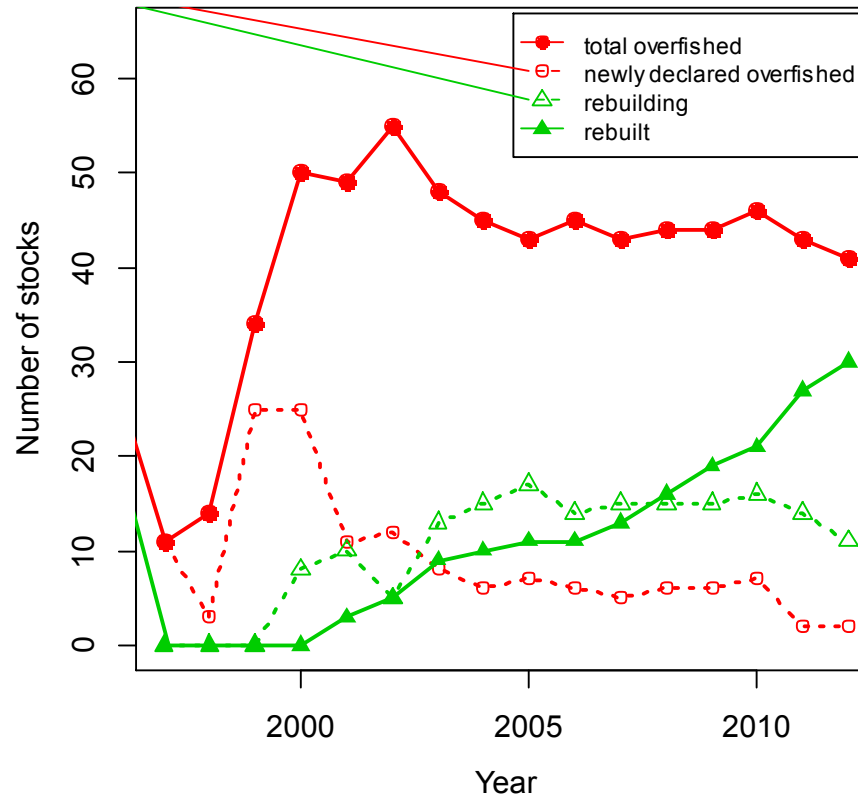
Policy dependence on biomass thresholds and targets exacerbates the impact of uncertainty causing abrupt changes in management

Part I: concluding remarks

- Guidelines on maximum timeframes for rebuilding are useful for planning and for selecting harvest rates according to rebuilding goals
- Adjustments of rebuilding parameters to try to meet the original rebuilding timeframes can lead to abrupt changes in regulations and present difficult challenges especially in the case of mixed-stock fisheries
- The change from the "normal " fishery management plan to a rebuilding plan can lead to a marked change in allowable fishing mortality and catch

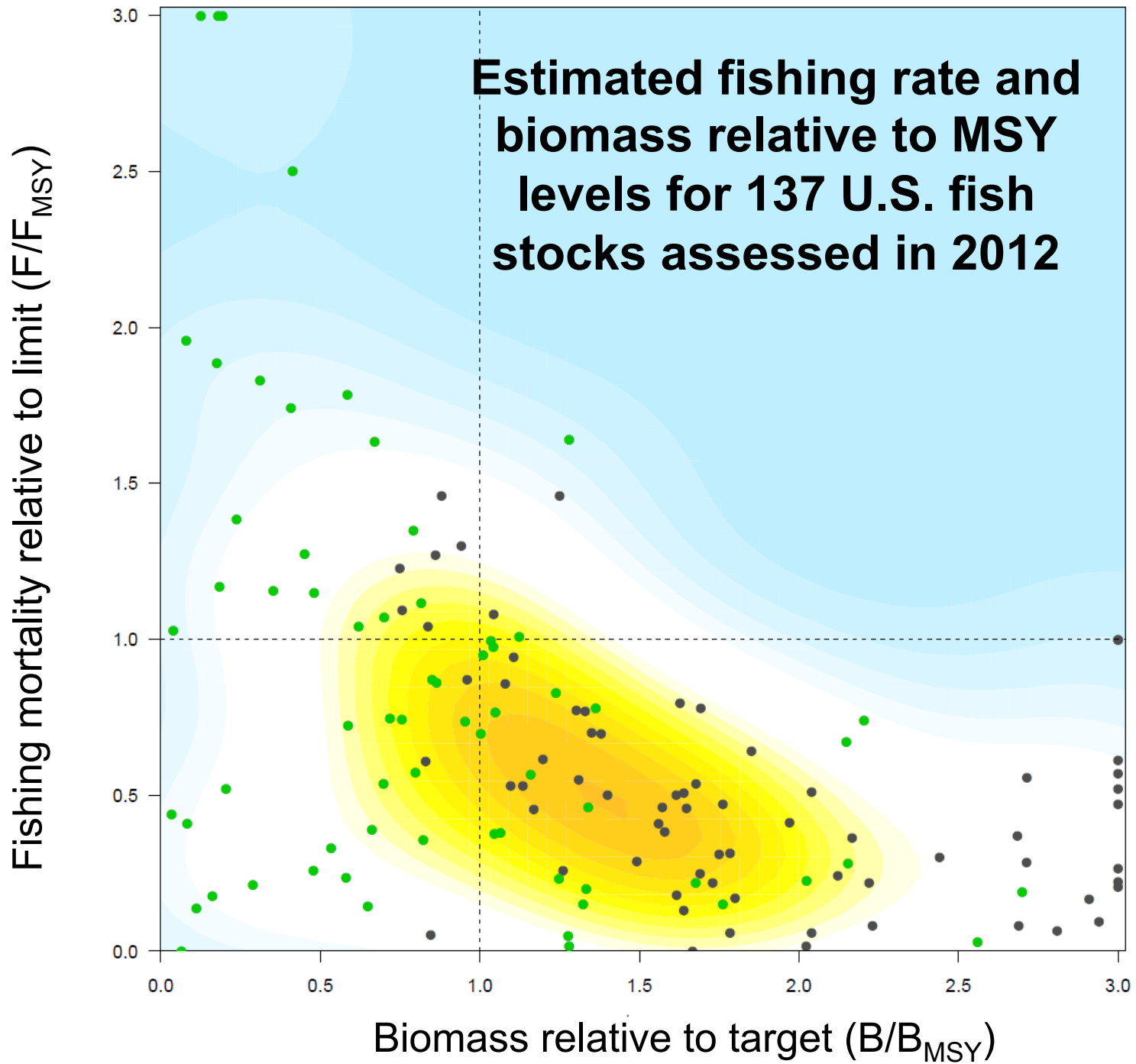
Part II: Outcomes of rebuilding plans

Tally of stocks by biomass status category over time for the 85 stocks declared overfished



Outcomes were analyzed in more detail based on a subset of 55 stocks that had:

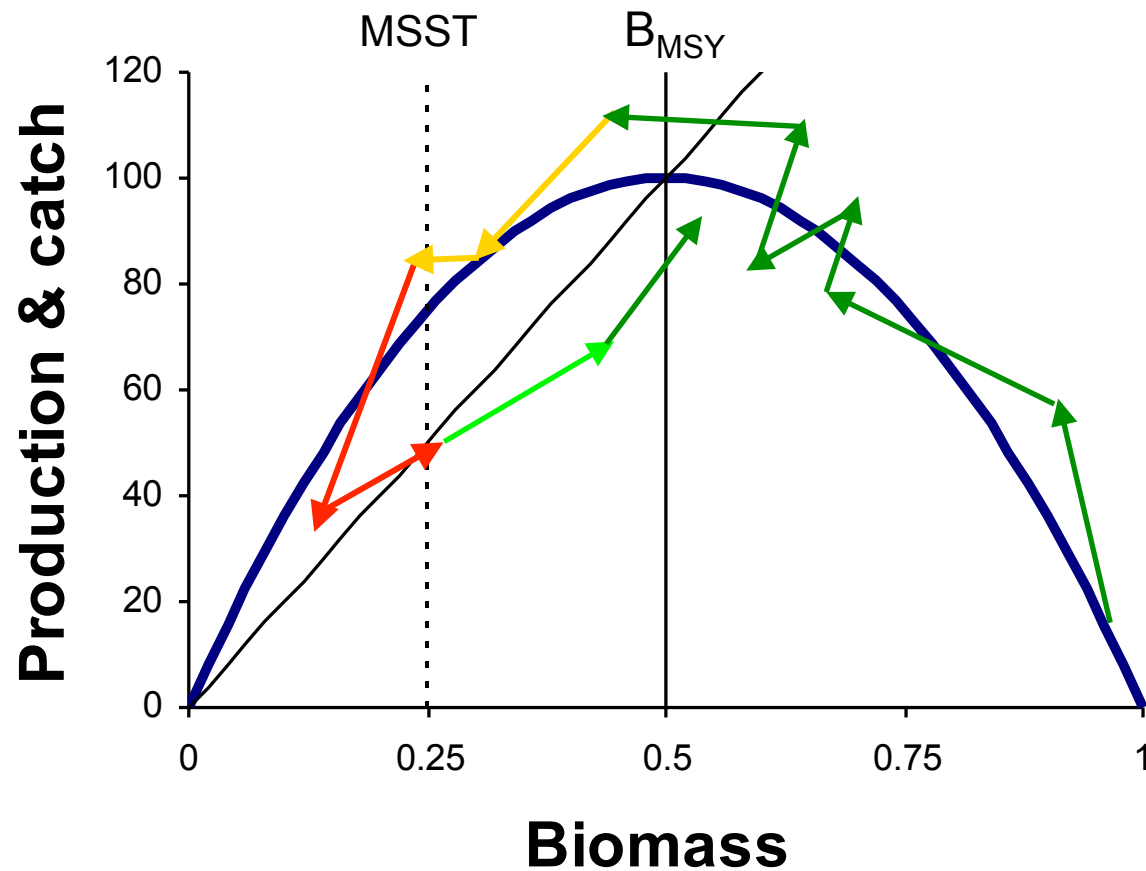
- Quantitative stock assessments providing estimates of trends in fishing mortality and biomass relative to F_{MSY} and B_{MSY}
- At least 3 years of estimates of F/F_{MSY} and B/B_{MSY} after the stock was declared overfished



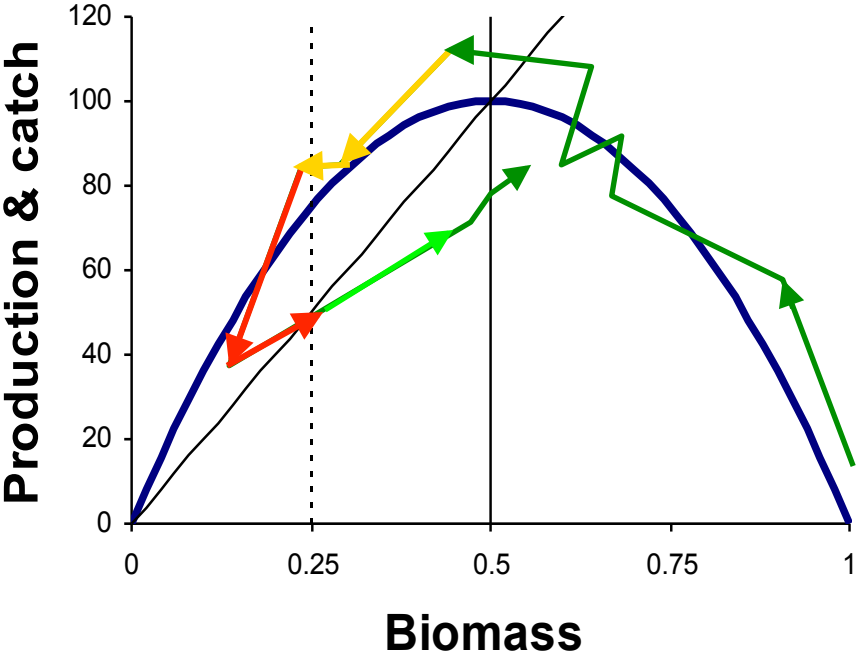
Main questions addressed for this subset of stocks

- 1) How reliable are the classifications of stock status that trigger implementation of rebuilding plans?
- 2) How successful were rebuilding plans at reducing fishing mortality?
- 3) How are stock sizes responding?

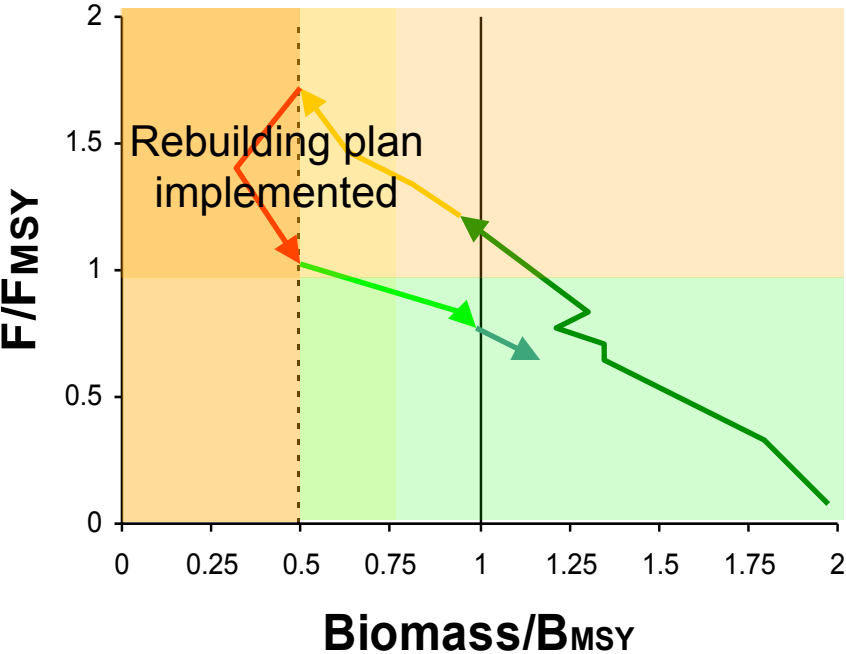
Typical sequence of stages leading to an overfished state followed by rebuilding



Catch and biomass

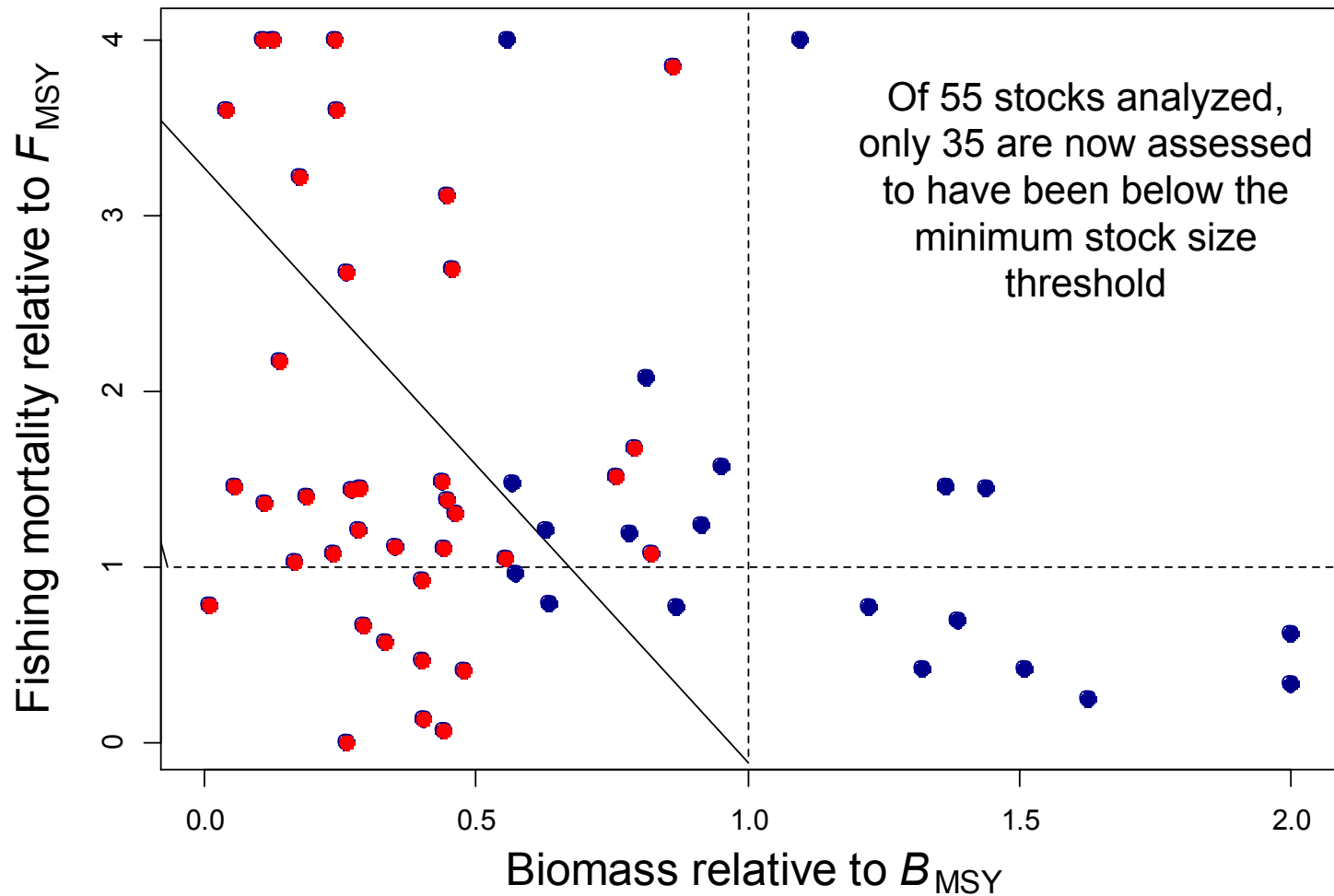


Fishing mortality vs biomass

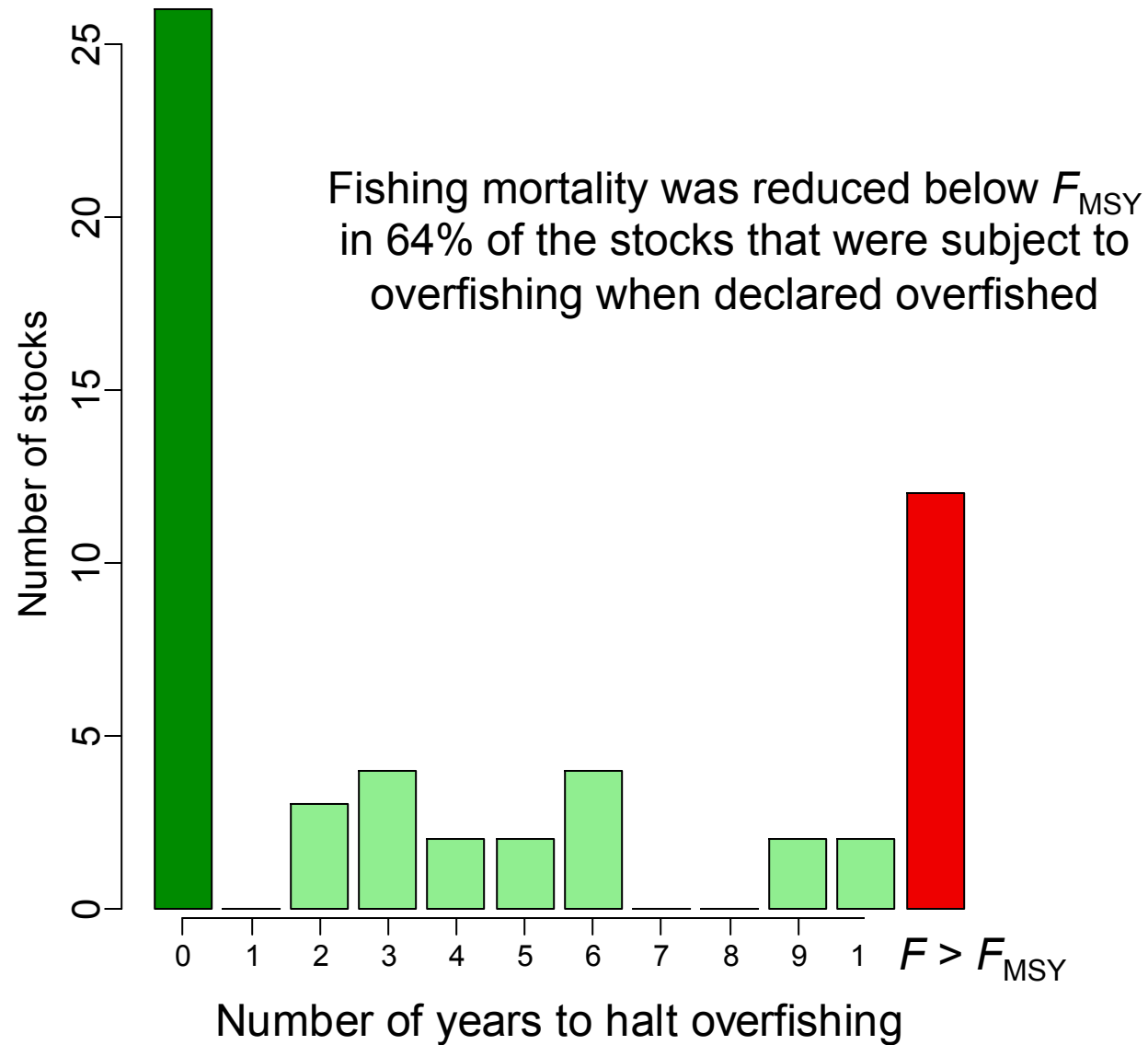


1) How reliable are the classifications of stock status that triggered the implementation of rebuilding plans?

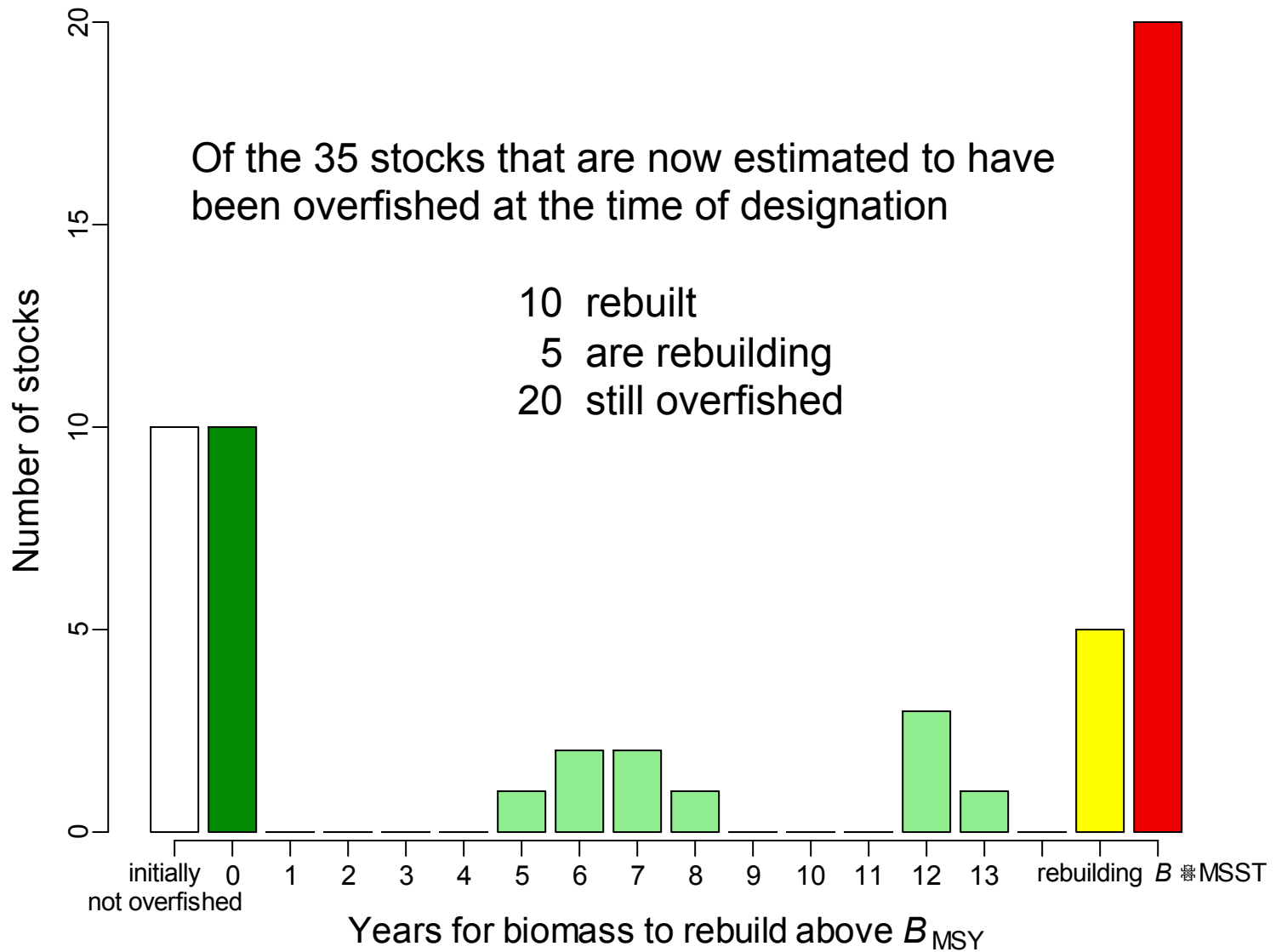
Status at the time of overfished designation according to most recent assessment



2) How successful were rebuilding plans at reducing fishing mortality?

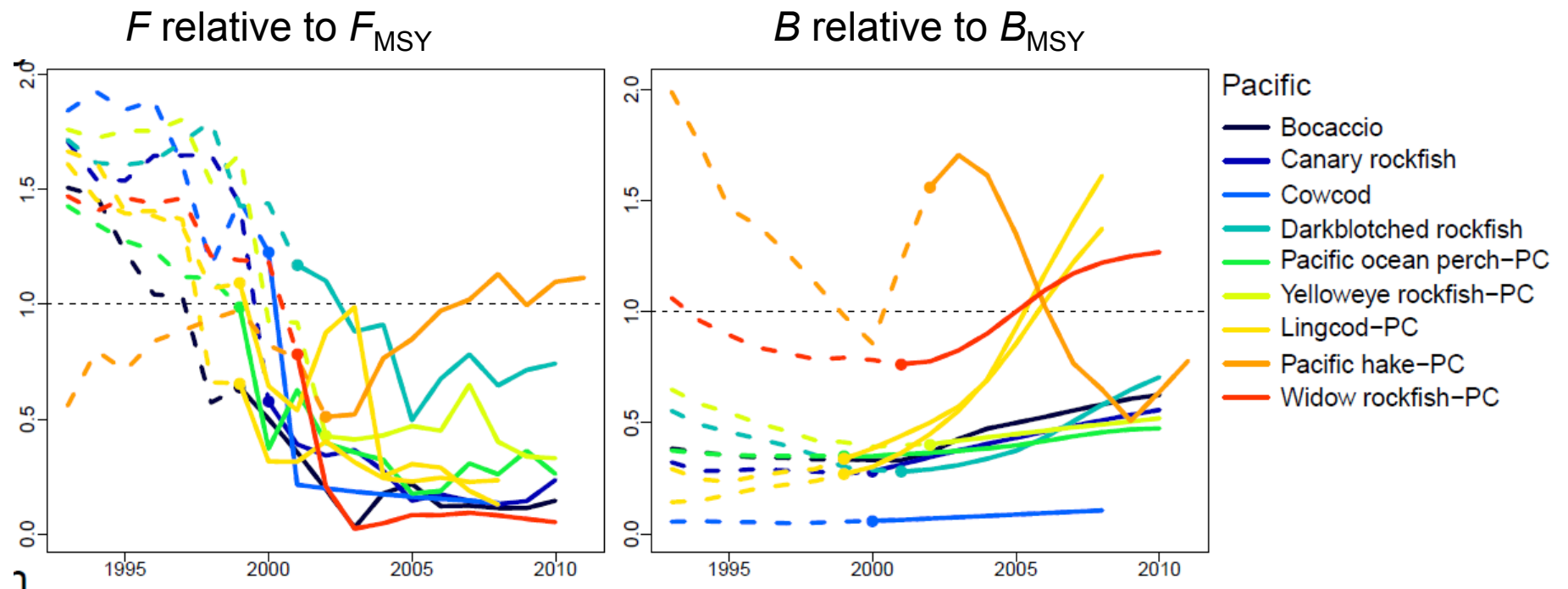


3) How are stock sizes responding?



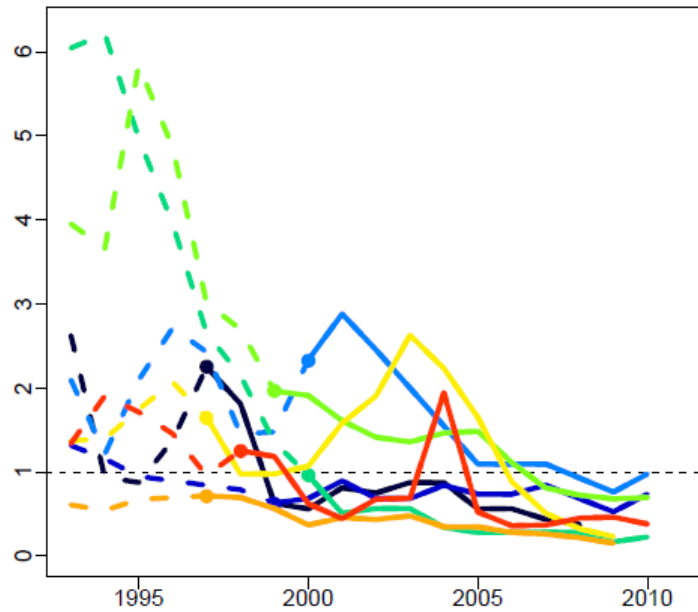
Trends in fishing mortality and biomass

Pacific Council

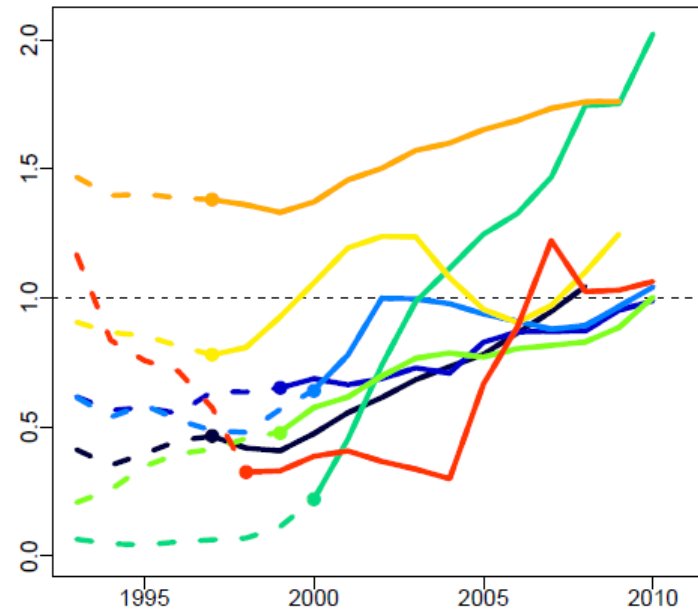


Mid Atlantic and New England/Mid Atlantic

F relative to F_{MSY}



B relative to B_{MSY}

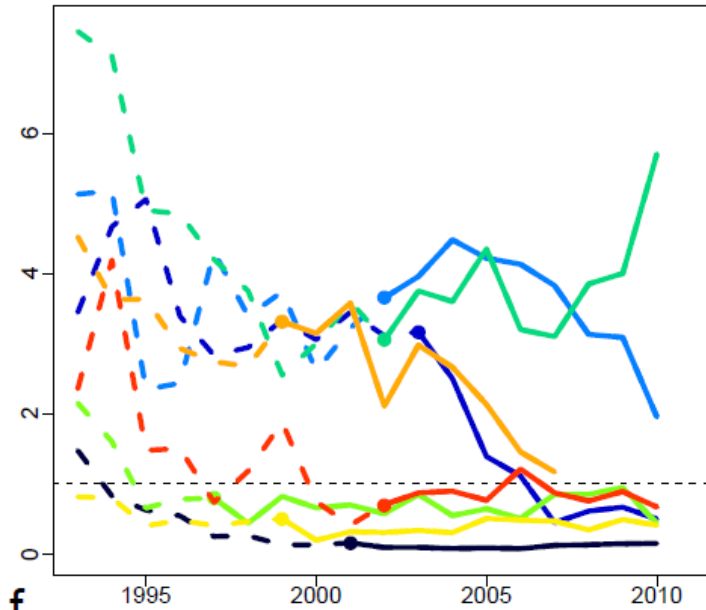


Mid Atl. & New England/Mic

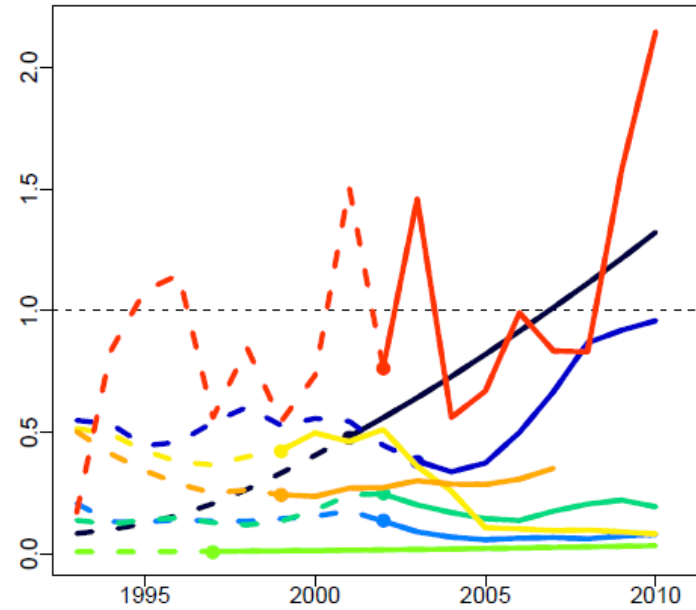
- Tilefish-MA
- Bluefish-A
- Black sea bass-MA
- Scup -A
- Summer flounder-MA
- Goosefish-GOM-GB
- Goosefish-SGB-MA
- Spiny dogfish-A

New England Council

F relative to F_{MSY}



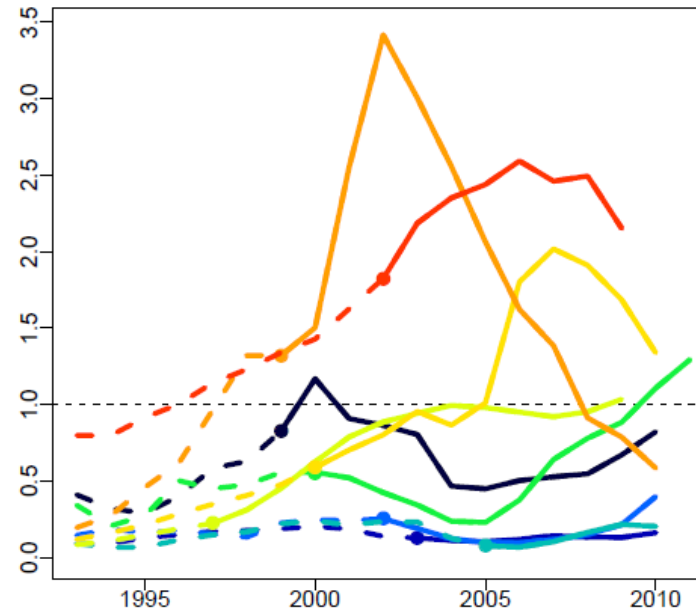
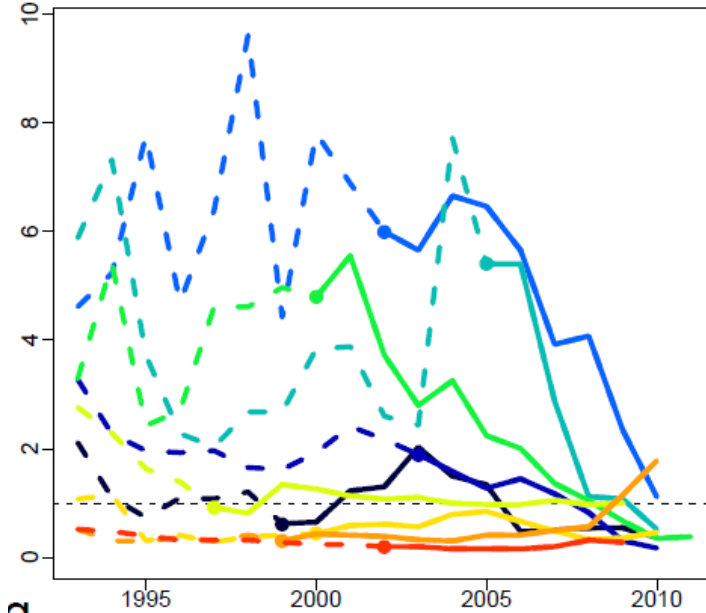
B relative to B_{MSY}



New England a

- Acadian redfish
- American plaice
- Cod-GB
- Cod-GOM
- Atl. halibut
- Ocean pout
- White hake
- Windowpane-SNE-MA

F



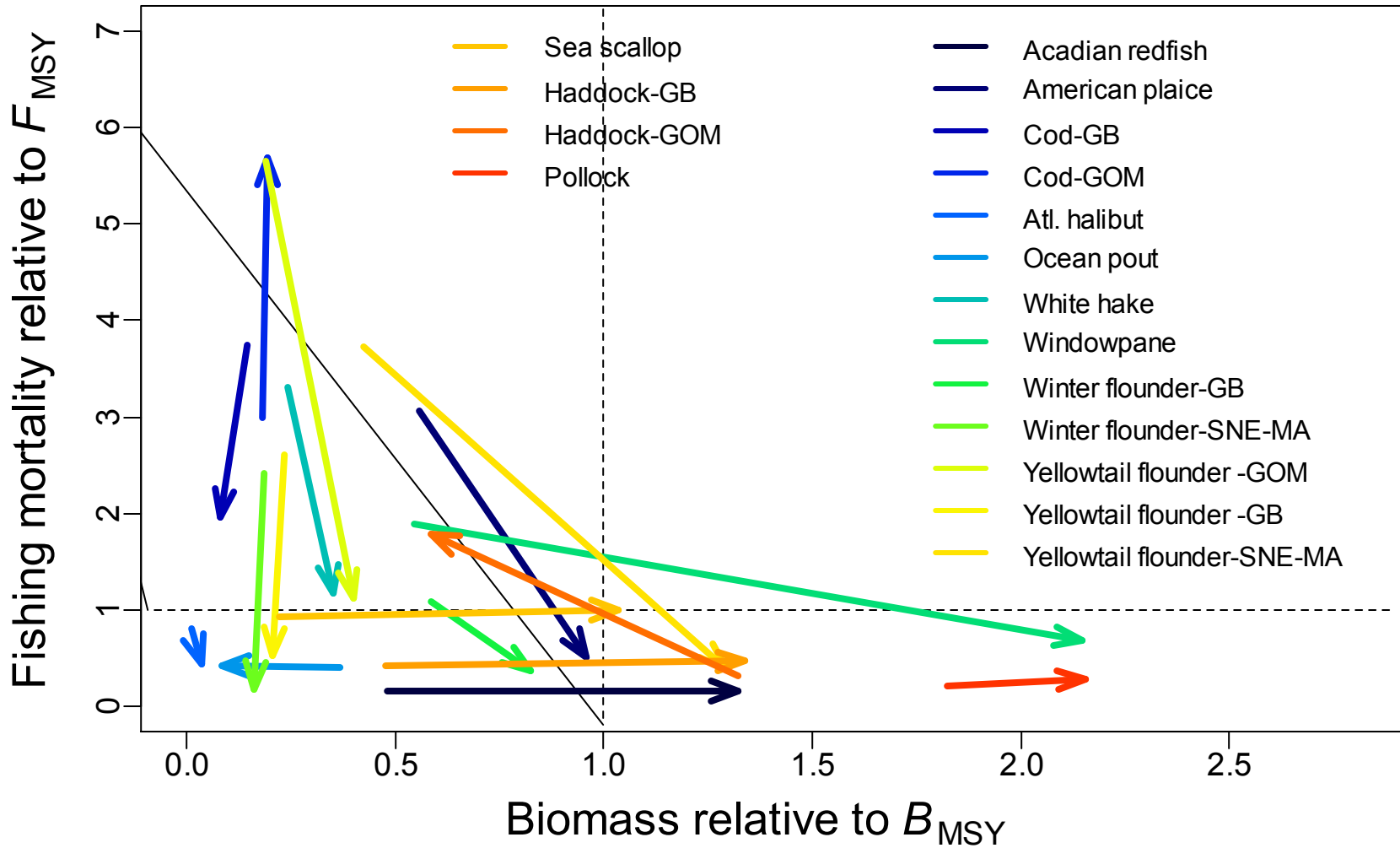
New England b

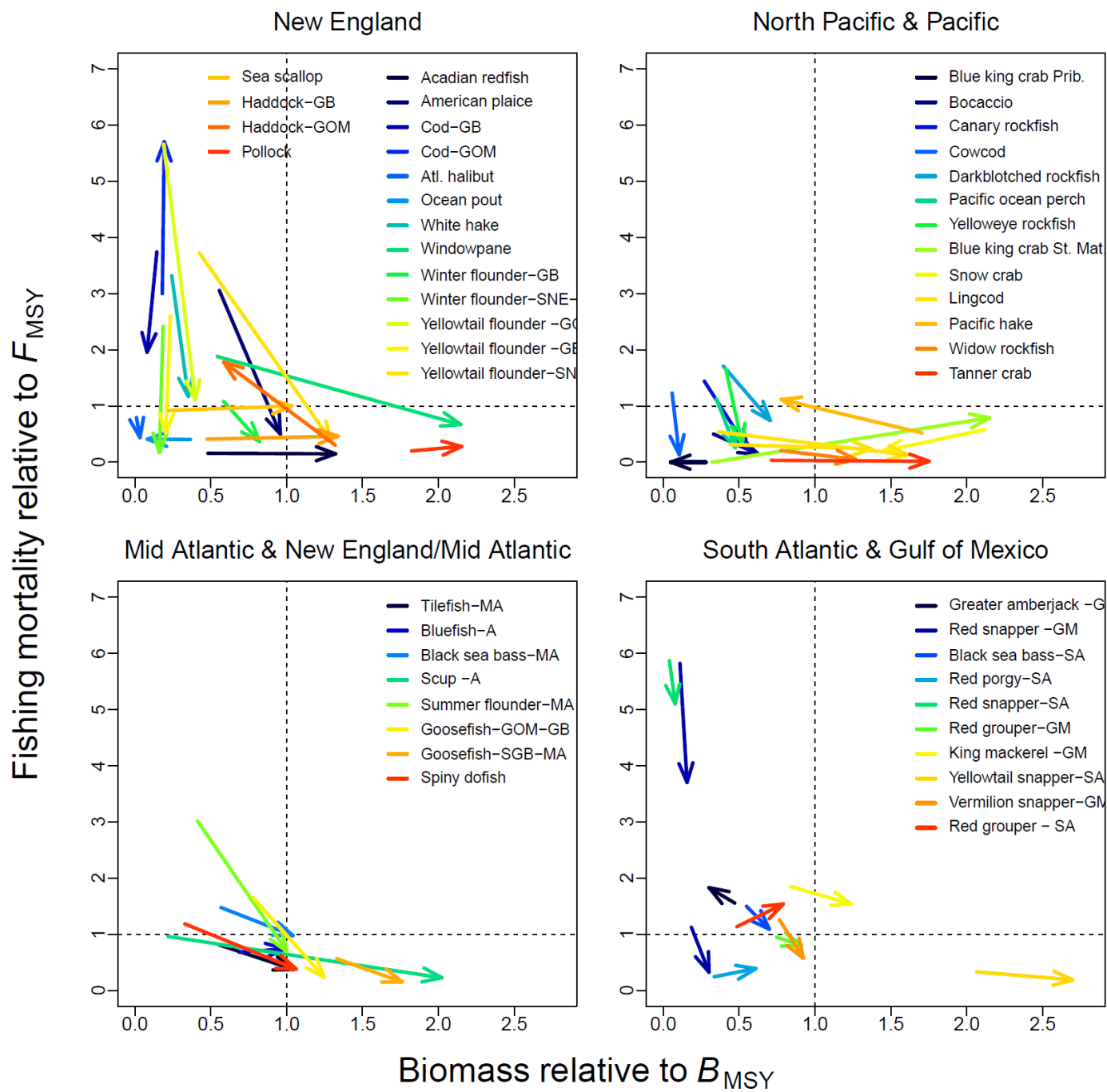
- Winter flounder-GB
- Winter flounder-SNE-MA
- Yellowtail flounder -GOM
- Yellowtail flounder -GB
- Yellowtail flounder-SNE-MA
- Sea scallop-NA
- Haddock-GB
- Haddock-GOM
- Pollock -GOM-GB

B

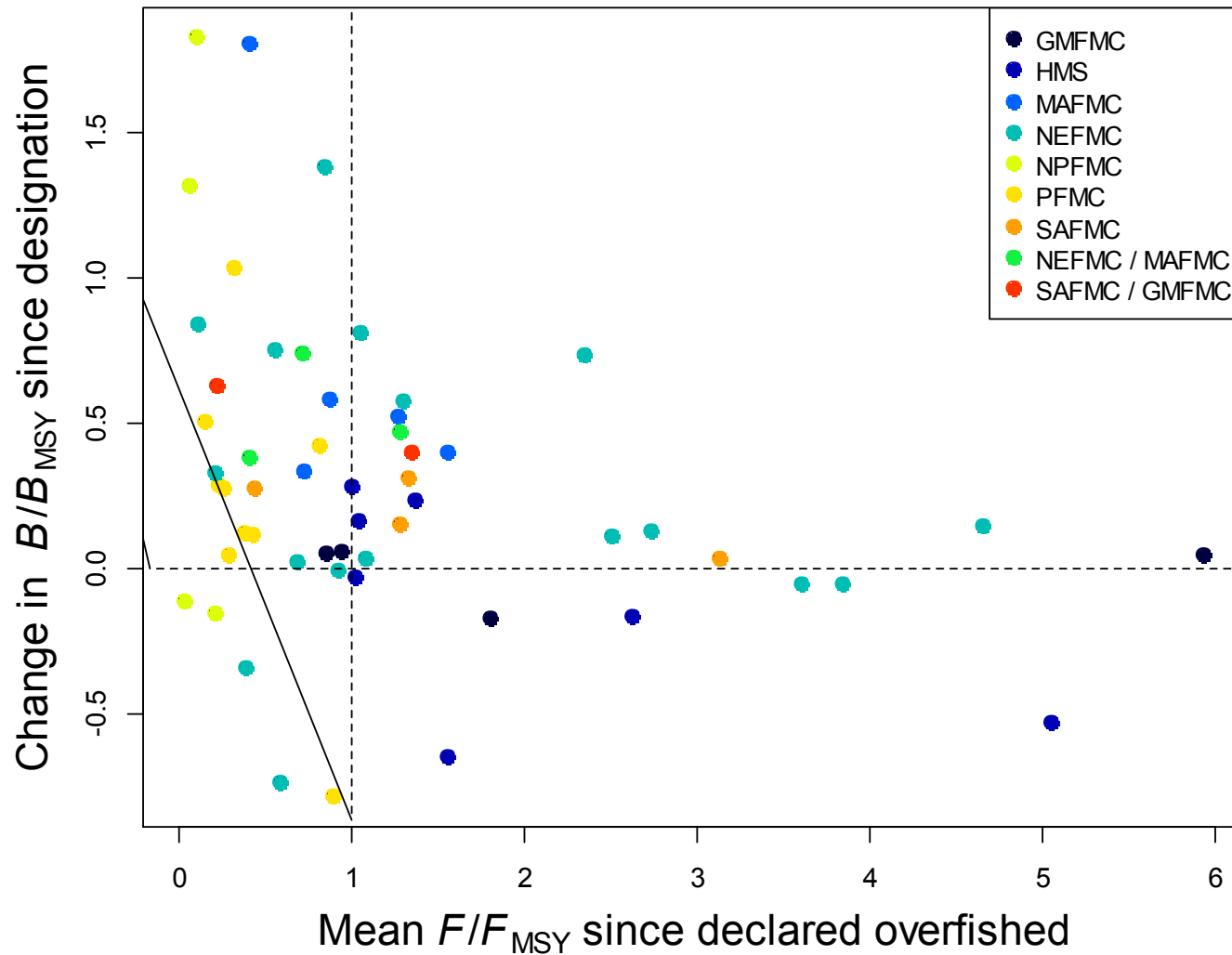
Changes in biomass and fishing mortality from year of overfished designation

New England





Stocks that rebuilt or whose biomass increased appreciably were, in almost all cases, experiencing fishing mortalities below F_{MSY}



Some overall numbers

For the 55 stocks analyzed, the most recent assessments indicate that:

- Overfishing was halted in 23 of the 36 stocks that had fishing mortalities above F_{MSY} when declared overfished
- 20 stocks were not overfished at the time of overfished designation and 10 were actually above B_{MSY} .
- Of the 35 stocks that were overfished:
 - 10 have rebuilt and 5 are rebuilding
 - of the 20 stocks estimated to still be overfished, 11 currently have fishing mortalities well below F_{MSY}
- 9 overfished stocks continued to be subject to overfishing even though fishing mortality targets were set at or below 75% F_{MSY} to allow for rebuilding within the maximum time frame

Failure to achieve intended reductions in fishing mortality

- Ineffective input controls and lack of accountability measures prior to 2007
- Difficulties reducing fishing mortality of species caught as bycatch in other fisheries
- Overestimation of stock size

Final remarks

- The mixed performance of rebuilding plans was attributed in part to uncertainty in the determination of stock status relative to reference points, and the fact that estimates of stock size and productivity often change markedly between successive assessments
- The current policy dependence on biomass thresholds and targets often triggers abrupt changes in management, exacerbating the impact of the inherent variability and uncertainty of stock assessments

Key findings for consideration by scientists, managers, and policy makers

- Harvest control rules that gradually reduce fishing mortality as estimated stock size falls below B_{MSY} could
 - reduce the likelihood of a stock becoming overfished
 - allow for rebuilding if necessary
- Rules that have discontinuities, such as the "10-year rule" used to set the maximum rebuilding time, are problematic because a small change in information or assumptions can lead to a major change in regulations
- Rebuilding plans that focus more on meeting fishing mortality targets than on exact schedules for attaining biomass targets may be more robust to assessment uncertainties, natural variability, and ecosystem considerations