COMMUNICATION

Therapeutic intraspinal microstimulation improves forelimb function after cervical contusion injury

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Abstract

Objective. Intraspinal microstimulation (ISMS) is a promising method for activating the spinal cord distal to an injury. The objectives of this study were to examine the ability of chronically implanted stimulating wires within the cervical spinal cord to (1) directly produce forelimb movements, and (2) assess whether ISMS stimulation could improve subsequent volitional control of paretic extremities following injury. Approach. We developed a technique for implanting intraspinal stimulating electrodes within the cervical spinal cord segments C6–T1 of Long-Evans rats. Beginning 4 weeks after a severe cervical contusion injury at C4–C5, animals in the treatment condition received therapeutic ISMS 7 hours/day, 5 days/week for the following 12 weeks. Main results. Over 12 weeks of therapeutic ISMS, stimulus-evoked forelimb movements were relatively stable. We also explored whether therapeutic ISMS promoted recovery of forelimb reaching movements. Animals receiving daily therapeutic ISMS performed significantly better than unstimulated animals during behavioural tests conducted without stimulation. Quantitative video analysis of forelimb movements showed that stimulated animals performed better in the movements reinforced by stimulation, including extending the elbow to advance the forelimb and opening the digits. While threshold current to elicit forelimb movement gradually increased over time, no differences were observed between chronically stimulated and unstimulated electrodes suggesting that no additional tissue damage was produced by the electrical stimulation. Significance. The results indicate that therapeutic intraspinal stimulation delivered via chronic microwire implants within the cervical spinal cord confers benefits extending beyond the period of stimulation, suggesting future strategies for neural devices to promote sustained recovery after injury. (Some figures may appear in colour only in the online journal)

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1. Introduction

Among individuals with spinal cord injury, incomplete injury to the cervical spinal cord is the most common diagnosis (NSCISC 2012). Based on a survey of individuals with cervical spinal cord injuries, restoration of hand and arm function would have the greatest impact on their quality of life (Anderson 2004). Despite this frequent occurrence and high priority, few studies have demonstrated improved forelimb function in models of cervical spinal cord injury (Houle et al. 2006, Wang et al. 2011). Here we explored intraspinal microstimulation (ISMS) as a method to promote long-term recovery of forelimb function after cervical contusion injury.

By implanting wires within the spinal cord, ISMS is capable of directly activating spinal motor neurons and interneuron circuits, often in highly functional patterns. Several groups have utilized ISMS of the lumbosacral spinal cord to reanimate the hind limbs, evoking functional and complex movements in the rat, cat and frog (Bamford et al. 2005, Giszter et al. 1993, Mushahwar et al. 2002, Tresch and Bizzi 1999). ISMS applied to the cervical spinal cord via acute electrodes is capable of evoking forelimb movements in spinally-intact monkeys (Moritz et al. 2007, Zimmermann et al. 2011). Our group has recently found that a contusion injury to the cervical spinal cord only briefly interrupts the ability of ISMS to elicit a wide range of functional movements (Sunshine et al. 2013). While ISMS generates functional forelimb movements, the stability of a chronic electrode implant caudal to a contusion injury, and the subsequent ability of ISMS to promote long-term recovery of forelimb function after injury, have not previously been explored.

Recent studies have demonstrated functional improvements in movement during tonic epidural stimulation applied to the dorsal surface of the lumbar spinal cord (Harkema et al. 2011b, Ichiyama et al. 2005, van den Brand et al. 2012). Epidural stimulation of the lumbar spinal cord combined with serotonergic agonism evoked hind limb stepping in partially (van den Brand et al. 2012) and completely transected rats (Gerasimenko et al. 2008, Ichiyama et al. 2005). A case study demonstrated that epidural stimulation of the lumbo-sacral spinal cord permitted volitional control of leg movements in a patient with a largely motor complete T1 subluxation injury (Harkema et al. 2011b). In these studies, epidural stimulation is believed to enhance lower extremity function by bringing spinal circuits closer to threshold, such that residual descending input from the brain or peripheral sensation is sufficient to trigger volitional movements (Edgerton and Harkema 2011).

Electrical stimulation may also have long-term benefits for the injured brain and spinal cord. Stimulation applied to the forelimb area of contralateral motor cortex (Carmel et al. 2010) or contralateral medullary pyramid (Brus-Ramer et al. 2007) after a unilateral pyramidotomy promotes axon sprouting and improves motor function persisting beyond the period of stimulation. Furthermore, electrical stimulation of the descending tracts promotes sprouting and maintenance of spinal connections that are otherwise pruned during development (Salimi and Martin 2004). New connections are formed spontaneously after midthoracic partial dorsal hemisection but subsequently lost if they do not connect with intact neurons such as long propriospinal neurons that bridge the lesion site after injury (Bareyre et al. 2004). Thus, electrical stimulation applied to physiologically relevant circuits caudal to a lesion may be critical for creating and maintaining connections that bypass an incomplete spinal injury.

The goals of the present study were both to develop a method for delivering chronic ISMS within the rodent cervical spinal cord and to determine whether therapeutic ISMS could improve forelimb motor function beyond the period of stimulation. We found that electrodes implanted within the cervical spinal cord caudal to a contusion injury evoked relatively stable forelimb responses, and that therapeutic ISMS resulted in modest but sustained improvement in forelimb function. These results demonstrate a new application for ISMS in producing durable improvements in motor function after neurological injury.

2. Materials and methods

Experiments to quantify the effect of therapeutic ISMS on recovery of forelimb function were performed on 22 adult female Long-Evans rats (250 g). All procedures were approved by the University of Washington Institutional Animal Care and Use Committee (IACUC).

2.1. Methods overview

All animals were trained to proficiency on the precision forelimb reaching task (McKenna and Whishaw 1999, Schrimsher and Reier 1992) before receiving a severe, lateralized C4–C5 contusion injury (see experimental timeline in figure 1). Chronic intraspinal stimulating electrodes were implanted ipsilateral and caudal to the injury three weeks later. Animals were randomly assigned to the stimulated or unstimulated condition (n = 11 animals per group), with stimulation beginning a total of four weeks after injury. Animals in the stimulated group subsequently received therapeutic ISMS for 7 hours/day, 5 days/week for 12 weeks. Both groups of animals received reach training 5 days/week in the absence of stimulation.

2.2. Cervical injury

Animals were deeply anaesthetized via intraperitoneal injection of ketamine (80 mg kg−1) and xylazine (12 mg kg−1). Animals then received a severe, lateralized contusion injury (0.8 mm displacement, 14 ms dwell time) to spinal segments C4–C5 using a modified Ohio State injury device (McTigue et al. 1998, Stokes et al. 1992). Buprenorphine (0.05 mg kg−1) was given twice daily for three days for analgesia. These injuries result in substantial grey matter cavitation with radiating demyelination of the surrounding white matter fibre tracks at the level of the injury (Sunshine et al. 2013).
To study the therapeutic benefits of ISMS following contusion injury, a method for chronic stimulation of the cervical spinal cord was developed. Stimulating electrode arrays were adapted for the cervical spinal cord from those developed by the Mushahwar group for the lumbar spinal cord of rodents (Bamford et al. 2005). Arrays consisted of six 30 μm polyimide-coated platinum–iridium wires (California Fine Wire). Wire tips were cut at an acute angle to minimize electrical impedance and facilitate insertion, with insulation near the tips otherwise undisturbed. Due to the high density of motor pools, insulation was not further stripped from wire tips to aid in isolating individual motor pools (Sunshine et al. 2013). Wires were routed through a 19 gauge epidural catheter (Arrow International) to a skull-mounted connector (Plastics One).

Three weeks after injury, animals were implanted with intraspinal stimulating electrodes caudal and ipsilateral to injury in the ventral lamina of spinal segments C6–T1. Animals were anaesthetized by inhalation of 1–3% isoflurane in 100% oxygen. An incision was made over C4–T2, muscle layers retracted, and hemilaminectomies of C6–C7 performed to expose the dorsal surface of the cord. The catheter containing the wires was secured to the T2 dorsal spinous process with silk sutures; T2 was chosen as an anchor because of its substantial size relative to adjacent processes in rats and its proximity to the cervical segments targeted by ISMS.

A longitudinal slit was made in the dura and five stimulating wires were inserted into the spinal cord targeting the forelimb motor pools in the ventral horn of the grey matter (figure 2). Wire tips targeted ventral gray matter (depth of 1.2–1.6 mm) and were placed between the centre of C7 and the rostral end of C6 (spread over a rostral/caudal distance of 1.5–2.5 mm) ipsilateral and caudal to the injury. A return wire, with insulation removed, was placed above the surface of the spinal cord. The dura was sewn over the top of the wire bundle using 8–0 silk suture and a microdrop of cyanoacrylate glue delivered via a 30 gauge needle was used to seal the surface of the dura. The catheter was routed under the skin to a connector fixed to the skull via stainless steel screws and dental acrylic. Muscle and skin were closed in layers, and buprenorphine (0.05 mg kg\(^{-1}\)) was given twice daily for three days for analgesia.

### 2.4. Therapeutic intraspinal stimulation

Beginning four weeks after injury, animals in the stimulated group received therapeutic ISMS for 7 hours/day, 5 days/week for 12 weeks. Treatment began four weeks after injury to model the sub-chronic condition (Jin et al. 2002, Lu et al. 2002) at a potentially realistic time point for future clinical intervention. The stimulation regimen was chosen to maximize the duration of daily stimulation delivered to the injured spinal cord, while still permitting animals to be observed during stimulation and forelimb testing to occur shortly after the completion of daily stimulation. The 12-week treatment duration was selected to model a standard clinical intervention (Dobkin et al. 2007, Field-Fote and Tepavac 2002), and because forelimb function plateaued after this duration of therapeutic stimulation in preliminary studies.

Stimulation was delivered during the animals’ active (dark) cycle. Symmetric, biphasic pulses with duration of 300 μs/phase were chosen as the shortest pulse duration still capable of eliciting a maximal response at low stimulus
currents (based on Grill et al. 1999, Stoney et al. 1968). Stimulus current was set at threshold to just evoke forelimb movement when delivered via a single electrode with current returning to the reference electrode. Stimuli were delivered at a rate of 4 ± 1.5 Hz in a Gaussian distribution designed to approximate the average activity of rodent cortical neurons (Freire et al. 2011).

Stimulation duty cycle was set to 75%, with stimulation delivered for periods of 15 min followed by 5 min without ISMS. This paradigm was chosen to maximize therapeutic electrical stimulation, while still providing rest periods to avoid fatigue of muscles. This duty cycle represents a compromise between the nearly 100% duty cycles used in spinal epidural stimulation and closed-loop cortical stimulation (Gerasimenko et al. 2008, Jackson et al. 2006), and the 30–70% duty cycles used clinically for peripheral nerve and muscle stimulation (Baker et al. 2000, Doucet et al. 2012). Alternating periods of stimulation with rest may also allow time for uninterrupted nervous system consolidation of connections that are potentially enhanced by the stimulation therapy as is observed in the induction of long-term potentiation (Abraham et al. 2002). Spinal stimulation thresholds were re-measured weekly on all electrodes. The electrode used to deliver therapeutic ISMS for the subsequent week was changed only if another electrode exhibited a much lower threshold, and/or evoked a more functionally relevant forelimb movement.

2.5. Precision forelimb reaching task

Rats were trained to perform a precision forelimb reaching task to greater than 70% success prior to injury (McKenna and Whishaw 1999, Schrimsher and Reier 1992). Animals reached across a 1 cm gap to retrieve a 45 mg chocolate-flavoured food pellet (BioServ) from a 3 cm tall block at a total distance of 2 cm from the inside of an acrylic arena. A score of 1 was given for a successful retrieval of the pellet and a score of 0 was given for any unsuccessful attempt in which the animal extended its paw outside the acrylic arena. Each animal was allowed 20 individual attempts to retrieve a food pellet using the injured forelimb, and total scores were calculated for each animal (modified from Gharbawie et al. 2005).

Following injury, all animals were tested 5 days/week at the forelimb reaching task by a blinded trainer. Stimulation was not applied during the reaching task, as animals were detached from stimulation cables 10–60 min prior to testing. Daily reaching scores were averaged across two-week periods for each rat, and then normalized to a percentage of each animal’s pre-injury skill level.

To precisely quantify graded recovery of function, rats were recorded while reaching for food pellets using a high frame rate video camera (60 fps, Toshiba Camileo H30) and an established 12-point scoring system was used to capture the details of forelimb function (Alaverdashvili et al. 2008). Rats were recorded in the sagittal plane while reaching and three representative reaches were selected for detailed scoring. An observer blind to experimental condition assigned a score of 0 for normal movements, a 0.5 for movements present but abnormal, and a 1 for absent movements in each of the 12 standardized categories of Alaverdashvili et al. (2008).

2.6. Statistics

Data from each outcome were tested for normality using Lilliefors test (Matlab 2007; The Mathworks) to determine whether parametric or non-parametric tests were appropriate. Nearly all data sets failed the test for normality, so non-parametric tests were used except where noted below. Movements evoked from chronic spinal stimulation electrodes were analysed using a Wilcoxon signed-rank test to identify changes across the treatment period (SPSS 11; IBM). Precision forelimb reaching scores were compared between treatment groups using the General Estimator Equation (GEE, SPSS 11). Data from both the 12-point video analysis and forelimb asymmetry were compared among treatments using the Wilcoxon rank-sum test (Matlab 2007). A Bonferroni correction for multiple comparisons was further applied to the 12-point video analysis. Allodynia testing results were compared between treatment groups using a T-test, as these data were normally distributed. An alpha level of $p < 0.05$ was used for significance of all tests.

2.7. Untrained behavioural test

Animals were also tested using a forelimb asymmetry task (Schallert et al. 2000). When animals reared to explore an acrylic cylinder, the number of contacts using their forepaw ipsilateral to injury was compared to the total number of forepaw contacts on the wall of the cylinder. Behavior was video recorded and scored at a later time point by a blinded rater. Forelimb asymmetry did not differ between the stimulated and unstimulated animals (rank-sum $p > 0.29$), suggesting the benefits of ISMS may be specific to goal-directed movements.

2.8. Allodynia testing

At the conclusion of the study, the injured forelimb of all animals was assessed for mechanical allodynia and sensory function using both rigid and flexible von Frey hairs (IITC Life Science Inc.). No difference was observed between the stimulated and unstimulated animals in either mechanical allodynia or sensation ($T$-test $p = 0.47$ and $p = 0.32$, respectively), confirming that ISMS did not promote excessive sprouting of sensory fibres distal to the lesion.

3. Results

3.1. Chronic cervical spinal stimulation evokes functional and stable movements

Cervical ISMS via chronically-implanted electrodes elicited a range of forelimb motor responses (figure 3). Extension of the elbow was the most commonly evoked movement, decreasing slightly between the first and sixth week of treatment (Wilcoxon signed-rank $p = 0.03$). Notably, extension of the digits was more often evoked after six weeks of stimulation compared to immediately after implantation (Wilcoxon signed-rank $p = 0.01$). Both extension of the elbow and digits are highly-functional movements that counter the typically flexed posture of the forelimb after injury.
ISMS delivered through chronically implanted electrodes elicits generally similar effects at 1, 6 and 12 weeks of therapeutic stimulation (Wilcoxon signed-rank $p < 0.05$; mean $\pm$ SEM). Stimulation commonly evoked highly-functional movements such as elbow and digit extension, with the later increasing during treatment. Evoked movements at each time-point total more than 100% as synergistic movement were evoked from $\sim 30\%$ of electrodes.

Animals receiving therapeutic ISMS demonstrated modest but durable improvement in forelimb reaching function, with benefits persisting beyond the period of stimulation. The stimulated group performed the forelimb reaching task significantly better than the unstimulated group ($GEE, p < 0.05, n = 11$ animals/group). Improvements in skilled forelimb function began within the first two weeks of stimulation and continued for the duration of the 12 weeks of treatment (figure 5).

3.3. Improved forelimb tone and coordination following intraspinal stimulation

In addition to overall improvements in skilled forelimb reaching, therapeutic ISMS led to reduced forelimb flexor tone and increased movement coordination. We utilized high-speed video recordings to quantify 12 components of each reach (Alaverdashvili et al. 2008). In all twelve components, stimulated rats performed better than unstimulated rats (figure 6). Performance was significantly better in the categories of aim, advance, digit extension and opening, and pronation ($rank \ sum p < 0.05$). Even when controlling for multiple comparisons in the most conservative manner, the stimulated animals’ ability to aim and advance were much better than unstimulated animals ($p < 0.004$; Bonferroni correction). Some of the movements most different between groups were those involved in advancing/extending the arm and extending the digits in preparation for grasping the pellet. Interestingly, the muscles responsible for these functional movements were also the most commonly activated by ISMS (see figure 3). These correlations between stimulation-evoked extension and parallel functional improvement suggests that ISMS may successfully combat the excessive flexor tone observed in the forelimbs after injury, which is a substantial clinical problem (Skold et al. 1999).
Figure 6. Detailed analysis of forelimb reaching demonstrates marked improvement after 12 weeks of therapeutic ISMS treatment. The forelimb reaching task was segmented into 12 components, presented in order of occurrence from top to bottom. In all 12 components, stimulated rats performed better than unstimulated animals, and these differences were significant between treatment groups in 4 of the 12 measures (* rank sum $p < 0.05$; † $p < 0.004$ after Bonferroni correction; mean ± SEM). Inset. Images of a stimulated (left) and unstimulated (right) animal performing the precision forelimb reaching task. Note that the stimulated animal has reduced flexor tone of the forelimb, manifested as improved ability to extend the arm and open the digits. These changes are evident in the group scores for animals receiving therapeutic stimulation (main figure).

4. Discussion

Here we demonstrate the development and implementation of a chronic electrode array for stimulation of the cervical spinal cord following contusion injury. Forelimb movements evoked are relatively stable over 12 weeks of stimulation, and threshold currents to evoke a movement increase similarly on chronically stimulated compared to unstimulated electrodes. Using these implanted electrodes to deliver therapeutic ISMS caudal to a cervical contusion injury leads to modest but sustained improvements in forelimb motor function.

The chronic cervical ISMS electrode was adapted from similar arrays implanted in the lumbar spinal cord of cats and rodents by the Mushahwar group (Bamford et al 2005, Mushahwar et al 2000). Key modifications for the cervical spinal cord included the caudal approach and anchoring procedure to the second thoracic vertebra, performance of hemilaminaectomies in order to preserve stability of the cervical vertebra, and the subdural placement of the electrode leads combined with dural sutures to secure the implant within the spinal cord parenchyma.

Although the exact location of the stimulation electrodes within the spinal lamina was not determined, the chronic electrode array evoked movements that were well aligned with the location of spinal motor neuron locations (compare figure 3 to McKenna et al 2000, Tosolini and Morris 2012). The limited rostro-caudal extent of the implant likely restricted the range of forelimb movements observed compared to our recent study exploring ISMS-evoked movements from a much larger portion of the cervical enlargement both before and after injury (Sunshine et al 2013). In the present study, the implanted electrodes spanned segments C6–T1, nearly perfectly overlapping with the triceps motor neuron pools, and likely explaining the predominance of elbow extension movements observed. A key goal of this implant is to activate motor neurons innervating muscles that are otherwise disconnected from cortical input after injury. The additional observation of a range of wrist, and digit movements evoked via stimulation throughout the duration of chronic implant suggest this is possible (see figure 3).

The results also demonstrate that therapeutic intraspinal stimulation caudal to a cervical contusion injury leads to modest but sustained improvements in forelimb motor function. This effect begins within the first several weeks of stimulation, with continued improvements over the 12-week duration of the study. With the exception of one case report using epidural stimulation in a human patient (Herman et al 2002), this is the first demonstration of durable functional
improvement induced by spinal cord activation extending beyond the period of stimulation.

The improved function observed in animals treated with therapeutic intraspinal stimulation may occur via similar mechanisms as stimulation of the brainstem or cortex. Axonal sprouting and locomotor recovery are observed after electrical stimulation applied to the contralateral motor cortex or pyramidal tract following unilateral pyramidotomy (Brus-Ramer et al 2007, Carmel et al 2010). The cervical intraspinal stimulation employed here may similarly activate spared, descending fibres as well as local spinal circuits below the injury. Intraspinal stimulation may also act to re-regulate neural circuits deprived of natural descending drive after spinal cord injury (Edgerton and Harkema 2011). In addition, improvements following therapeutic ISMS may result from activating muscles that are partially or completely paralyzed after injury. Functional electrical stimulation applied directly to muscles after incomplete spinal cord injury improves locomotor coordination and tone lasting beyond the period of stimulation (Mirbagheri et al 2002, Jung et al 2009).

Although difficult to quantify in a small animal model, flexor tone and spasticity appear substantially reduced in animals treated with therapeutic ISMS. We observed significant improvements in forelimb aim, extension and opening of the digits during the forelimb reaching task. All of these movements are compromised by the excessive flexor tone typical after cervical contusion injury. Flexor tone, hyperreflexia and spasticity may result from excessive sprouting of sensory fibres and additional synapse formation on motor neurons deprived of cortical input after injury to the descending tracts (Tan et al 2012). It is possible that therapeutic ISMS provides sufficient activation of motor neurons, either directly or via intraspinal circuits, to discourage the formation of additional afferent synapses after injury. Spasticity is a complex and very significant clinical problem, effecting 40–60% of patients with spinal cord injury (Roy and Edgerton 2012, Skold et al 1999).

Therapeutic ISMS may also augment and enhance the limited spontaneous recovery observed following incomplete injuries. Damaged corticospinal tract axons sprout above the injury to form new synaptic connections with propriospinal neurons, and maintain connections with those spinal relay neurons that bypass the lesion (Bareyre et al 2004). These results are confirmed by both electrophysiological and neuroanatomical evidence when coupled with motor retraining and treatment with brain-derived neurotrophic factor (Vavrek et al 2007). Indeed, humans with moderate incomplete spinal cord injury demonstrate substantial recovery of function with intensive motor retraining even years after injury (Fox et al 2010, Harkema et al 2011a). These studies emphasize the potential for rewiring of spinal circuits to bypass an incomplete lesion, a rewiring that may be enhanced by therapeutic ISMS.

5. Conclusions

Intraspinal microstimulation has shown promise for directly re-animating the limbs when applied to the lumbar spinal cord (e.g. Mushahwar et al 2002). Here we demonstrate for the first time a long-term therapeutic benefit of ISMS applied to the cervical spinal cord, where functional recovery persists beyond the period of stimulation. This provides exciting evidence for the therapeutic or perhaps even regenerative capacity of neuromotor prosthetic devices. Studies are underway to elucidate the mechanisms by which ISMS promotes lasting improvements in motor function, and explore the potential for stimulation to positively combine with stem cell transplants and pharmacological interventions to promote regeneration after central nervous system injury.

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