

Provost Reinvestment Fund Requests - Autumn 2013

Unit/Campus
UW FINANCE&FACILITIES

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Amount Requested	Fiscal Year	Permanent or Temporary?	# of Years Needed	Description
\$550,000	2015	Permanent		<p>Environmental Stewardship & Sustainability: The permanent annual funding required for the Environmental Stewardship & Sustainability (ESS) office is \$550,000 and this amount is requested in permanent funds from the Provost. ESS has proven to be an essential resource for the University providing the necessary connection point with students, faculty, staff, senior leadership, the three campuses, and community and national organizations. The ESS office is responsible for reporting all institutional sustainability metrics and responds to all external surveys. More importantly, funding for the office is critical for the implementation of the UW Climate Action Plan and management of University-wide sustainability programs and initiatives.</p>
\$206,600	2014	Permanent		<p>Grant & Contract Accounting: Grant & Contract Accounting (GCA) is requesting funding to reclassify eligible current staff from Budget Fiscal Analyst (BFA) to BFA Lead positions to increase retention of critical BFA post award processing staff from the historic high turnover experienced. GCA performs a critical role in mitigating institutional risk with compliant post award processing and timely adherence to deadlines. The current process is staffed with BFAs who are required to command an extensive knowledge of compliance and accounting requirements for over 3,000 sponsors (Federal, State, Corporations, Foundations, etc.) and who guide faculty and campus administrators on post award issues. To adequately train a BFA it typically takes two or more years working with increasingly complex and varied sponsor compliance and accounting requirements. Over the past two years combined, GCA has experienced 92% turnover of the BFA position. GCA has had significantly more success with retention of BFA Lead employees. GCA is faced with increasing complexities in changing Federal processes and increasingly stringent deadlines. Offering eligible current BFA staff an ability to grow in responsibilities and job satisfaction would significantly reduce risk to the UW and enable adequate support for the University's \$1.3-\$1.5 billion research enterprise.</p>

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Amount Requested	Fiscal Year	Permanent or Temporary?	# of Years Needed	Description
\$137,500	2014	Permanent		<p>Grant & Contract Accounting: GCA is requesting funding to establish a post-award financial compliance program to monitor grant and contract financial transactions, interpret regulations, and provide guidance and regulatory training. This post-award financial compliance program would consist of two compliance professionals (GCA will self-fund the second with future savings from Lean improvements) to proactively monitor high risk transactions. Their role would be to use data analytics to review transactions and based on the results, provide comprehensive support to campus administrators and faculty to resolve financial compliance concerns and prevent future occurrences. Currently there is not a central-post award financial compliance program in place to ensure that transactions align with sponsor and Federal regulations. Compliance requirements are increasing at a rapid rate and compliance risk continues to grow as the University expands into diverse and complex funding arrangements. Multi-million-dollar audit findings at research institutions continue to generate headlines. Recent findings include unallowable costs charged to Federal awards, overcharged summer salaries, unmet cost sharing, indirect overcharges, and inappropriate cost transfers. For a research university the size of UW, it is essential to have a robust process in place to support and prioritize compliance throughout the life of an award.</p>
\$137,500	2014	Permanent		<p>Grant & Contract Accounting: GCA is requesting funding to enhance its ability to respond to an onerous new process required for reimbursement by our largest sponsor, DHHS (Department of Health and Human Services). Funding is requested for one of two additional skilled staff necessary to address this sudden change to the Federal draw process (GCA will self-fund the second with future savings from Lean improvements). DHHS is implementing changes from one consolidated draw for all DHHS expenditures to individual draws (on-line invoices) for each of our approximately 1400-1500 DHHS awards. GCA historically draws several times weekly via consolidated accounts in the Division of Payment Management System. Additionally, draw requests beyond 90 days after project period expiration will be held and the awarding agency must approve any subsequent release. This policy change could cause loss of reimbursement, will require additional strengthening of timelines for campus departments and GCA, and will require timely reconciliation on all DHHS awards to avoid loss of funding. This change at DHHS follows a change in 2013 to individual draws at NSF (National Science Foundation). The NSF change was absorbed by existing FTE, leaving GCA without existing capacity to absorb significant additional workload with the DHHS changes.</p>

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Amount Requested	Fiscal Year	Permanent or Temporary?	# of Years Needed	Description
\$2,830,000	2015	Permanent		<p>Facilities Services Maintenance & Construction : Facilities Services Maintenance & Construction has been reduced 39 FTE and \$2.83M in permanent funding since FY2008. These cuts resulted in a 37.8% base reduction to Maintenance & Construction and a 26.7% permanent staffing reduction. As the campus has grown over this time, the reductions resulted in the Maintenance & Construction staff maintaining 28.8% more gsf (gross square feet) per FTE (end of FY2008: 58,537 maintenance gsf per FTE, end of FY2013: 75,401 maintenance gsf per FTE). As a result, the UW Maintenance APPA service level has declined from level 2 ("Comprehensive Stewardship") to level 3 ("Managed Care") on a 5 point scale and moved towards level 4 ("Reactive Maintenance") in FY2013. The Maintenance & Construction staff successfully completed only 27.5% of scheduled preventative maintenance in FY2013 as more time was required to perform urgent corrective maintenance due to these previous reductions. The continued erosion of building maintenance will result in reputational risk to the University and impact both the academic and research missions as building maintenance is further deferred.</p>

Compensation-Related Bridge Funding Plans

Unit/Campus	Amount Received	Fiscal Year Rec'd	How does your unit plan to cover compensation-related expenses permanently?
UW FINANCE&FACILITIES			N/A

Carryover Balance Explanation

Unit/Campus	Expenditure Category	Percent of Total	Please provide any additional information about carryover balances for Provost Cauce's review.
UW FINANCE&FACILITIES	Start-up Expenses	0.0%	<p>F2 had just over \$20M in carryover this biennium. Of that, \$2.25M was an accrual of funds received by eProcurement for utility bills that were paid in FY2014.</p> <p>The percentages shown to the left cover the remaining \$17.75M.</p> <p>The majority of the carryover funds, \$6.4M, will be used for temporary salary support. The HR/P Modernization project carry over accounts for \$1.6M of F2's carry over and this \$1.6M will be used to support the project through February 2014. Additional F2 funds totaling nearly \$.5M have been set aside for indirect support for this program. Nearly \$2M has been set aside to support other University-wide programs, including:</p> <ul style="list-style-type: none"> • Environmental Stewardship and Sustainability • Business Diversity Program • Enterprise Risk Management • Cost Accounting • Global Operations Support • Student Employment (approximately \$860K dedicated to student employment) <p>The next largest outlay, \$5.2M is for other strategic initiatives, including:</p> <ul style="list-style-type: none"> • \$3.8M dedicated to staff training and space alterations in pursuit of organizational excellence • \$.5M programing and operations for Ariba expansion • \$.5M held in contingency for HR/P Modernization project support <p>Over \$2M will be used for computing and equipment, including over \$1M in payments to Nebula/UWIT.</p> <p>Less than \$4M is dedicated for reserves.</p> <p>All figures include full biennium costs.</p>
	Aid & Waiver Reserves	0.0%	
	Temporary Salaries	35.0%	
	Deferred Mntc./Capital Investment	3.6%	
	Reserves	21.7%	
	Equipment	11.3%	
	Strategic Initiatives	28.4%	
	Total	100.0%	