

**U.S. Department of the Interior
National Park Service**

**Financial Assistance
Funding Opportunity Announcement**



Program Name	Ethnographic Survey of African American Homesteading in Lanfair Valley
Funding Opportunity Announcement Number:	P16AS00201
Announcement Type:	Initial

Issue Date:	<u>06/01/2016</u>	Time:	<u>23:59 PDT</u>
Application Due Date:	<u>07/15/2016</u>		

Agency Contact Information for Questions and Requests

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NATIONAL PARK SERVICE CULTURAL ANTHROPOLOGY PROGRAM

SECTION I: FUNDING OPPORTUNITY DESCRIPTION

Federal Agency Name:	Department Of The Interior, National Park Service (NPS)
Funding Opportunity Title:	Ethnographic Survey of African American Homesteading in Lanfair Valley
Announcement Type:	Funding Opportunity Announcement (FOA)
Funding Opportunity Number:	P16AS00201
Catalog Of Federal Domestic Assistance (CFDA) Number:	15.945 – Cooperative Research and Training Programs – Resources of the National Park System (CESU)
Dates:	July 15, 2016, 23:59 PDT
Eligible Applicants:	Non-federal entities which are partners of the following Cooperative Ecosystems Studies Units: the Californian CESU; Colorado Plateau CESU; Desert Southwest CESU; Great Basin CESU; Pacific Northwest CESU; and the Rocky Mountain CESU. Refer to Section III.A. of this announcement for further eligibility information.
Legislative Authority:	54 USC §101702(b)
Recipient Cost Share:	Not Required
Federal Funding Amount:	\$214,332, subject to the availability of funding
Estimated Number Of Agreements To Be Awarded:	1
Estimated Amount Of Funding Available For Award:	The amount of funding available for award for this FOA will be determined once final FY 2016 appropriations have been made. This FOA will be cancelled if FY 2016 appropriations are insufficient to support new awards.

SECTION II: FUNDING OPPORTUNITY ANNOUNCEMENT OBJECTIVES AND GOALS

A. Program Background Information

For generations the California deserts represented both opportunity and the possibility of being left alone to live your own life. These ideals were alluring to many African Americans in the late 19th and early 20th centuries. In 1910, the first year of homesteading in the Lanfair Valley, in the eastern portion of Mojave National Preserve and southern portion of Castle Mountains National Monument (the parks), six land claims were filed by black people. All in all, 17 African-American families homesteaded the valley, most of them in the vicinity of Dunbar - a settlement intended to serve as a center for African Americans. Dunbar's Post Office opened in 1912, within a month of the opening of the Lanfair Post Office one tenth of a mile away. The two offices operated in a kind of de facto racial segregation until 1914, when the U.S. Post Office noticed the redundancy and closed the Post Office at Dunbar.

The new settlement included an orphanage for black youth. The nearby planned community of Harts was billed by its founders as "bringing freedom and independence to a limited number of colored people." Black families lived with their white neighbors in an integrated and democratic fashion with the adults helping on each other's farms and the kids sitting together in school. This early integration had its limits, but in the end it was lack of rain as much as racism that undid the African American community in the Lanfair Valley. By the second half of the decade the climate reverted to its arid normal, the wheat crops failed, and one by one, homesteaders moved away to better opportunities elsewhere. By 1927, the population had dwindled to the point where the U.S. Postal Service was compelled to close the Lanfair Post Office.

This ethnographic study seeks to bring to light this little known, little researched, and almost completely unaddressed history of the African American homesteading event in what is now Mojave National Preserve and Castle Mountains National Monument. Visitors to, and most employees of, the Preserve and Monument have little or no knowledge of this aspect of African American history and experience in the California Desert. This study will provide baseline documentation and information for staff and public interpretive programs speaking to the African American experience in our National Parks, specifically in Mojave National Preserve and Castle Mountains National Monument. This research effort will compile ethnographic information about historical African American homesteaders in Lanfair Valley, document traditional associations between these peoples and the parks, and provide direction for future ethnographic research. It will also provide information that can be used to develop and enhance collaborative relationships between the parks and associated communities. It is anticipated that the study will identify culturally specific uses of park resources and culturally or historically significant sites, places or objects within the parks. It will provide important information about the extent to which natural and cultural resources are considered to be heritage resources in the context of the history, cultural traditions, and practices of the contemporary groups. The research will examine traditional uses of plant and animal communities, geographic features and structures, and identify those places and resources significant to associated groups. The results of the project will be used to provide the ethnohistorical and ethnographic context of park resources as baseline ethnographic documentation. Among other applications, the information will help managers identify and place historical and traditional (ongoing) uses of natural and cultural resources within the parks; will serve as the basis for dialogue and conversations with park neighbors about the heritage values of the park to local communities and groups; and will enhance park resource management decision-making and interpretation.

This study will be developed in such a way as to encourage active involvement between park officials and staff and the communities upon which the study is focuses. Consultation with park officials will

include discussion of how community support and interest might be continued beyond the conclusion of the study. It will provide baseline documentation and information for staff and public interpretive programs speaking to the African American experience in the parks. In addition, the ethnographic overview and assessment will partially fulfill requirements of the 1966 National Historic Preservation Act, as amended, and the National Park Service Director's Orders #28: Cultural Resource Management for the identification and management of ethnographic resources.

The NPS will work closely with the Cooperator and provide access to relevant planning and other documents, relevant park museum collections, knowledgeable park staff, and introductions to known and potential interviewees. GIS data associated with the ethnographic overview will also be collected by the selected research team in coordination with NPS. The Cooperator will communicate with the superintendent and/or designated park contact and the Bureau Cultural Anthropologist, who will serve as the Agreement's Technical Representative. The NPS encourages collaboration with community members and local historical societies by the Cooperator during the life of the project.

B. Program Objectives

1. Document Ethnohistoric Context of Lanfair Valley, San Bernardino County, California

This project will describe the broad history of community formation, growth, and change in the valley from the first homestead settlement to the present time. The influx of populations and groups at different periods for different reasons will be summarized in terms of settlement locations and differentiation (by industry, ethnicity, or other relevant factors). Historical connections between local towns and communities, and important historical events such as the establishment of cattle ranching, mining interests, transportation such as the railroads (California Eastern, Nevada Southern), and local industries (yucca fiber processing plant, local farming practices, mining operations, water exploration and drilling).

2. Document African American Associations and Uses Related to the Homesteading Period

The research shall document the cultural and natural resources in the parks that descendent African American populations of the Lanfair Valley homesteading experience define as having cultural significance and value or use in culturally distinctive ways. Such uses may involve recreation, subsistence and religious uses of cultural and natural resources (including open land and farms); plants and wildlife; certain places and sites that are viewed as appropriate for certain kinds of cultural practices; and other uses that have been customarily practiced by members of the homestead community. Potential ethnographic communities may include, but are not limited to, residents connected to the Lanfair School, workers tied to the yucca fiber processing plant at Maruba, mine workers especially connected to the Sagamore Mine in the New York Mountains, descendants of African American homesteaders, associations with postal workers at the African American designated Post Office at Dunbar, family associations with cemetery sites in the park, and congregations of (at the time) local churches.

This research will identify and describe traditional harvesting (farming, hunting and gathering) and uses of animal, plant and other natural resources for subsistence, commercial, sport, or recreational purposes by the homesteading communities. Descriptions of traditional use will present the annual round of economic, social and cultural activities that occurred, including but not limited to, farming, hunting, and gathering of naturally-occurring resources, recreational activities, and recurring community events, celebrations and group activities. The research will be based primarily on ethnographic observation and interviews in conjunction with oral history.

3. Summary of specific points to be included in the ethnographic overview and assessment:
 - a. Provide demographic, cultural and historical characteristics of the communities and neighborhoods from which African American homestead families of Lanfair Valley are drawn. Differentiate from other homesteader groups.
 - b. Determine any contemporary and current associations with the parks, specifically Lanfair Valley, on the part of individuals or groups of African American descent.
 - c. Document the values and significance that African American descendent ethnographic groups place on the park's sites and resources for the Lanfair Valley area.
 - d. Describe the nature and significance of the continuity and longevity of association with the Lanfair Valley homestead communities.

C. Project Objectives

1. The NPS will:
 - a. Provide the cooperator with previously collected ethnohistorical and ethnographic studies as well as contacts for the present study.
 - b. Coordinate findings with the appropriate park units, the State Historic Preservation Office, and Tribal Historic Preservation Offices.
 - c. Assist the cooperator in locating and determining park and jurisdictional boundaries.
 - d. Aid the cooperator in producing a report on the project that meets the guidelines of NPS Management Policies and Director's Order 28.

2. The Recipient will:
 - a. Appoint a principal investigator who is an applied cultural anthropologist with a Ph.D. or comparable research experience, and a record of applied experience and high professional standing in the anthropological community.
 - b. Appoint a project team with relevant experience in ethnographic research, conducting oral histories, and GIS.
 - c. Identify the roles, relationships, associations, and significance of African American homestead descendants attached to the Lanfair Valley community as it once existed.
 - d. Provide a meaningful exchange of knowledge and ideas that will enhance scholarly research and the park's and public's understanding of the area, including potential peer-reviewed publications.
 - e. Identify how descendants and their ancestors attach cultural meaning and significance to the area,
 - f. Represent holistically and objectively the legacy of the Lanfair Valley homesteading experience on African American homestead descendants attached to the community as it once existed.
 - g. Complete and provide to NPS report(s) and project products that meet relevant standards established by the Secretary of the Interior.
 - h. Create publication-ready documents, intended for the general public, to convey findings of the ethnographic resources study in a non-technical and non-specialized style.
 - i. Where appropriate, pursue publication of project findings in academic journals and/or other peer-reviewed publications.
 - j. Provide periodic project progress reports to NPS, at a frequency agreed to by the recipient and the NPS.
 - k. Provide a presentation of project findings to the Parks' Superintendent, staff, community members, and other stakeholders.

1. Collect and provide GIS data associated with project research to the NPS.
3. The NPS and Recipient together will:
 - a. Access relevant documents, relevant park museum collections, knowledgeable park staff, and potential interviewees.
 - b. Inform community representatives about the project prior to the beginning of field research.
 - c. Meet with community members.
 - d. Collaborate with community members and local historical societies.
 - e. Collaborate on dissemination strategies for project products.
 - f. Collaboratively determine the cultural themes and issues addressed in this project.

D. Term of the Agreement

Agreements are not effective until fully executed with signature from the NPS Awarding Officer. It is anticipated that the Task Agreement awarded as a result of this Funding Opportunity will expire after two years from the effective date, unless terminated earlier in accordance with 2 CFR §§200.338-339. The actual term of the agreement will be established in the Task Agreement, signed by the recipient and the NPS Awarding Officer.

SECTION III: APPLICATION AND SUBMISSION INFORMATION

A. Eligibility Information

This announcement is limited to partners of the following Cooperative Ecosystem Studies Units (including university, state, and non-governmental organizations): Californian CESU; Colorado Plateau CESU; Desert Southwest CESU; Great Basin CESU; Pacific Northwest CESU; and the Rocky Mountains CESU. A list of active partners and the master Cooperative Agreements for these CESUs are linked to the following webpage: <http://www.cesu.psu.edu/>. The NPS strongly encourages multiple partner engagement in this project. This may occur through subawards to partner institutions from the primary awardee, or broad expertise from multiple PIs within a single institution. Further, proposals may consider the use of subcontracts to non-academic entities with full justification as to why this may be more effective than solely university entities.

B. Address to Request Application Package

Applications for this announcement will be submitted electronically through grants.gov. Submission information is posted in Section III.C-G., below.

An **Application Package** has been included within this Funding Opportunity Announcement for your convenience, found in **Appendix A**. The **Application Package** contains four mandatory forms, which must be submitted with your proposal: **Standard Form (SF) 424** (Application for Financial Assistance); **SF 424A** (Budget Information – Non-Construction Programs); **SF 424B** (Assurances – Non-Construction Programs); and the “Certification Regarding Lobbying Activities,” which is included in the Application Package available on grants.gov. **SF-LLL** (Disclosure of Lobbying Activities) is required if disclosure of lobbying activities is required, pursuant to 43 CFR §18.110. The Application Package can also be accessed and downloaded from the Synopsis page of this announcement in [Grants.gov](https://grants.gov).

C. Contents and Form of Application Submission

You must complete the mandatory forms and any applicable optional forms, in accordance with the instructions on the forms and the additional instructions below, as required by this Funding Opportunity Announcement. Do not include any proprietary or personally identifiable information.

1. SF 424 – Application for Federal Assistance

Complete this form as much as possible with all applicable information.

2. SF 424A – Budget Information – Non-Construction Programs

This form must be completed and submitted with your application.

Indirect costs are limited to the 17.5% rate, detailed in each CESU’s master Cooperative and Joint Venture Agreement. Proposals that fail to document their indirect costs will have those costs disallowed. The basis against which indirect costs may be applied is the indirect cost basis established in your entity’s negotiated indirect cost rate agreement (NICRA). If your entity does not have a NICRA with the Federal government, indirect costs will be calculated on the basis of modified total direct costs, as defined at 2 CFR §200.68.

Please note, a detailed budget will be required noting all cost categories if your proposal is selected for negotiation and/or award.

3. SF 424B – Assurances – Non-Construction Programs

This form must be signed and submitted with your application.

4. “Certification Regarding Lobbying Activity”

This certification must be signed and submitted with your application.

5. SF LLL – Disclosure of Lobbying Activities (if applicable)

This disclosure must be completed and submitted with your application, if applicable, in accordance with the requirements of 43 CFR §18.110.

6. Project Narrative - Proposal Submission Format

The proposal is a narrative description that should specifically address each of the review criteria (see Section IV). The proposal text must be no longer than 10 pages, the font size must be no smaller than 11 point, the page size must be 8.5 by 11-inches, and the text must have margins no smaller than 1-inch on all sides. The 10-page limit includes all text, figures, and references (résumés/curricula vitae, SF-424, SF-424A, SF-424B, SF-LLL, and the “Certification Regarding Lobbying Activities”) are not counted as part of the 10 page limit). Additionally, only information that is pertinent to the proposal should be included.

7. Résumés/Curricula Vitae

Submit résumés/curricula vitae for the Principal Investigator and key project staff.

8. Complete Application Package should include:

- a. Completed SF 424 – Application for Financial Assistance
- b. Completed SF 424A – Budget Information
- c. Signed SF 424B – Assurances
- d. Signed “Certification Regarding Lobbying Activities”
- e. Completed SF LLL – Disclosure of Lobbying Activities (if applicable)
- f. Project Narrative
- g. Résumés/Curricula Vitae

D. Submission from Successful Applicants

If selected for award, NPS reserves the right to request additional or clarifying information for any reason deemed necessary, including, but not limited to:

- Other budget information
- Name and phone number of the Designated Responsible Employee for complying with national policies prohibiting discrimination (See 10 CFR 1040.5)

E. Submission Dates and Times

Submittals:

- Applicants are held responsible for their proposals being submitted to the National Park Service. Applications must be received by **Friday, July 15, 2016, at 23:59 PDT**. You are encouraged to submit your application well before the deadline.
- **Application preparation time may take several weeks, so please start the application process as soon as possible. Applications received after the deadline will not be reviewed or considered for award.**
- If it is determined that a proposal will not be considered due to lateness, the applicant will be notified.

Where to Submit:

- Applications must be submitted to the NPS by the due date through Grants.gov.

F. Intergovernmental Review

This funding opportunity is **not** subject to Executive Order (EO) 12372 “Intergovernmental Review of Federal Programs.” Applicants subject to EO 12372 must contact their State’s Single Point of Contact (SPOC) to find out about and comply with the State’s process. The names and addresses of the SPOC’s are listed in the OMB’s home page at: http://www.whitehouse.gov/omb/grants_s poc/

G. Registration Process Requirements

There are several actions you **must** complete in order to submit an application with the Federal Government. Each applicant must register with the System of Award Management (SAM). You are required to have a DUNS number (Dun and Bradstreet Data Universal Numbering System) in order to register with SAM. In order to submit an application through Grants.gov you must have an active SAM registration and register for submission permissions through the [Grants.gov](http://www.grants.gov) website. Utilize the following link to guide you through this process:

<http://www.grants.gov/web/grants/applicants/organization-registration.html>

Registration processes for SAM can be found at <https://www.sam.gov>

SECTION IV: APPLICATION REVIEW INFORMATION

A. Review Criteria

- NPS will evaluate and consider only those applications that separately address each of the merit review criterion.
- Each applicant is required to provide a detailed narrative of the following criteria elements. It is **HIGHLY** recommended that the Project Narrative have sections labeled as follows:

Criterion 1	
<i>Demonstrated ability to plan and manage a successful applied ethnographic research project, concluding with a written report and presentation of findings.</i>	Weight 30%
Project Overview	<p>Demonstrate your approach to implementing an applied ethnographic research project. The proposal should discuss data collection methods including fieldwork and archival / historical research. Proposals should demonstrate :</p> <ul style="list-style-type: none"> • Professional evaluation of the significance of place-based associations to contemporary cultural communities. • How ethnographic data is compiled into a complete, professional written report with professional recommendations / conclusions. • How ethical concerns are addressed to respect individual privacy while at the same time publishing interesting research to encourage a culturally informed public and guide federal decisions.
Products	Demonstrate your ability to generate products that comply with relevant professional and NPS standards for ethnographic research activities.

Criterion 2	
<i>Team Composition</i>	Weight 20%
Principal Investigator / Project Management	Identify the principal investigator, and include curriculum vita. Detail anticipated contributions to the project, and demonstrate ability to oversee the project team and manage project performance.
Project Team	Identify staff, faculty, and students who will work on the project and include curriculum vitae of key staff. For key project staff, detail their anticipated contributions to the project.

Criterion 3	
<i>Demonstrated research or applied experience in the area of interest (East Mojave Desert region of California).</i>	Weight 30%
Experience and Past Performance	Demonstrated research/applied experience in the East Mojave Desert region of California. Include any relevant experience from on-going and past projects that relate to this topic (in particular, please list ethnographic projects underway or previously completed in the area of interest).

Criterion 4	
<i>Preparation of Publication-Ready Document for Public Dissemination</i>	Weight 20%
Product	Demonstrate your ability to generate accessible, visually-rich, and publication-ready document(s) that communicate the project’s findings to the general public, and an appropriate dissemination strategy(ies), in conjunction with NPS. Document(s) may take the form of a book, multimedia presentation, or other publication.

B. Review and Selection Process

1. Merit Review

Each criteria element will be scored on a 0-10 point scale:

10	Superior	(100 % of weighted average)
8	Good	(80 % of weighted average)
6	Satisfactory	(60 % of weighted average)
4	Marginal	(40 % of weighted average)
2	Poor	(20 % of weighted average)
0	Not Acceptable	(No score)

The following numerical rating values may be assigned: 10, 9, 8, 7, 6, 5, 4, 3, 2, 1, and 0. The scoring of each criterion must be based on the strengths and weaknesses of the application narrative. To assist in assigning an appropriate score, the following will be used as a guideline:

Rating	Descriptive Statement
10	Superior: Applicant fully addresses all aspects of the criterion, convincingly demonstrates that it will meet the Government's performance requirements, and demonstrates no weaknesses.
8	Good: Applicant fully addresses all aspects of the criterion, convincingly demonstrates a likelihood of meeting the Government's requirements, and demonstrates only a few minor weaknesses.
6	Satisfactory: Applicant addresses all aspects of the criterion and demonstrates the ability to meet the Government's performance requirements. The Application contains weaknesses and/or a number of minor weaknesses.
4	Marginal: Applicant addresses all aspects of the criterion and demonstrates

	the ability to meet the Government's performance requirements. The Application contains significant weaknesses and/or significant minor weaknesses.
2	Poor: A the likelihood of successfully meeting the Government's requirements. Significant weaknesses are demonstrated and clearly outweigh any strength presented.
0	Not Acceptable: Applicant does not address all aspects of the criterion and the information presented indicates a strong likelihood of failure to meet the Government's requirements.

2. Selection

The Selection Official may consider the merit review recommendation, program policy factors, and the amount of funds available.

3. Discussions and Award

The Government may enter into discussions with a selected applicant for any reason deemed necessary, including, but not limited to: (1) only a portion of the application is selected for award; (2) the Government needs additional information to determine that the recipient is capable of complying with the requirements of DOI Financial Assistance Regulations and/or (3) special terms and conditions are required. Failure to resolve satisfactorily the issues identified by the Government will preclude award to the applicant.

SECTION V: AWARD ADMINISTRATION INFORMATION

A. Award Instrument Information

Projects will be funded, subject to the availability of funds, by issuance of a Task Agreement against the applicable CESU's master Cooperative Agreement.

The Task Agreement will identify the amount of funding provided by NPS, a detailed Statement of Work (SOW) for the project, a project plan and detailed project budget. The project budget shall include detailed information on all cost categories, and must clearly identify all project costs.

A Task Agreement issued by the NPS and signed by the NPS Awarding Officer obligates NPS funds. Notification of a successful proposal does not constitute authority to incur costs. Costs incurred prior to receipt of a fully executed Task Agreement will not be reimbursed. Once the Task Agreement for a successful proposal has been signed by the NPS Awarding Officer, the recipient may incur costs as specified in the approved award budget.

B. Funding Restrictions

1. Funding:

All funding is contingent upon the availability and appropriation of funds by the United States Congress.

2. Cost Principles:

Costs must be allowable in accordance with the applicable Federal cost principles referenced in 2 CFR Part 200, Subpart E – Cost Principles.

3. Pre-award Costs:

Pre-award costs will not be reimbursed, unless prior approval is granted by the NPS Awarding Officer. Any pre-award costs must comply with 2 CFR §200.458.

C. Award Notices

After an applicant's proposal is selected for award, the applicant will receive a letter from the Awarding Officer. This letter will detail the next steps in the awarding process. Once all clearances and reviews have been conducted, a cooperative agreement will be sent for signature. Work cannot begin before the recipient receives a fully executed copy of the Task Agreement, which contains the signature of the NPS Awarding Officer.

Notice of Selection:

NPS will notify the applicant selected for award by July 29, 2016. This notice of selection is **not** an authorization to begin performance (Pre-award expenses will not be reimbursed). If more time is required by NPS to evaluate proposals, the NPS will notify applicants not previously disqualified of the revised date.

Organizations whose applications have not been selected will be advised as promptly as possible. This notice will explain why the application was not selected.

D. Administrative and National Policy Requirements

1. Code of Federal Regulations (CFR)

By accepting Federal financial assistance, your organization agrees to abide by the applicable federal regulations in the expenditure of federal funds and performance under this program.

http://www.ecfr.gov/cgi-bin/text-idx?tpl=/ecfrbrowse/Title02/2cfr200_main_02.tpl

2 CFR Part 200 – Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards

2. Standard Award Terms and Conditions

Acceptance of a Federal Financial Assistance award from the Department of the Interior carries with it the responsibility to be aware of and comply with the terms and conditions of award. Acceptance is defined as the start of work, drawing down funds, or accepting the award via electronic means. Awards are based on the application submitted to, and as approved by and are subject to the terms and conditions incorporated either directly or by reference in the award document. Code of Federal Regulations/Regulatory Requirements, as applicable are listed below (Contact the Awarding Officer with any questions regarding the applicability of the following):

- a. 2 CFR Part 25, “Universal Identifier and System for Award Management”
- b. 2 CFR Part 170, “Reporting Subaward and Executive Compensation”
- c. 2 CFR Part 175, “Trafficking Victims Protection Act of 2000”
- d. 2 CFR Part 1400, “Nonprocurement Debarment and Suspension”
- e. 2 CFR Part 1401, “Requirements for a Drug-Free Workplace (Financial Assistance)”
- f. 43 CFR Part 18, “New Restrictions on Lobbying”

3. Special Terms and Conditions

- a. Order of Precedence:
Any inconsistency in the agreement shall be resolved by giving precedence in the following order: (a) Any national policy requirements and administrative management standards; (b) 2 CFR Part 200, in its entirety; (c) requirements of the applicable OMB Circulars and Treasury regulations; (d) special terms and conditions; and (e) all agreement sections, documents, exhibits, and attachments; (f) and the recipient’s project proposal.
- b. Modifications:
The agreement may be modified by written agreement signed by both the recipient’s Authorized Representative and the NPS Awarding Officer. Administrative changes (i.e. Awarding Officer name change, etc.) which do not change the statement of work, agreement amount, or otherwise affect the recipient may be signed unilaterally by the Awarding Officer. Additionally, a unilateral modification may be utilized if it should become necessary to impose remedies for non-compliance, suspend or terminate the agreement in accordance with 2 CFR §§200.338–342.

All other changes shall be made by means of a bilateral modification to the agreement. No oral statement made by any person, or written statement by any person other than the NPS Awarding Officer shall be allowed in any manner or degree to modify or otherwise effect the terms of the agreement.

4. Payments

All applicants must also be registered with and willing to process all payments through the Department of Treasury Automated Standard Application for Payments (ASAP) system. All recipients with active NPS financial assistance agreements must be enrolled in ASAP under the appropriate Agency Location Code(s) (ALC) and the Data Universal Number System (DUNS) Number prior to the award of funds. If a recipient has multiple DUNS numbers they must separately enroll within ASAP for each unique DUNS Number and/or Agency. Note that if your entity is currently enrolled in the ASAP system with an agency other than NPS, you must enroll specifically with NPS in order to process payments.

All applicants must be registered in the System for Awards Management (SAM) prior to award under this FOA. Instructions for registering for SAM are located at <http://www.sam.gov/portal/public/SAM>. All applicants must maintain an active SAM registration with current information at all times while they have an active Federal award or an application under consideration.

5. Liability

a) Insurance:

The recipient shall be required to (1) obtain liability insurance or (2) demonstrate present financial resources in an amount determined sufficient by the Government to cover claims brought by third parties for death, bodily injury, property damage, or other loss resulting from one or more identified activities carried out in connection with this financial assistance agreement.

b) Insured:

The federal government shall be named as an additional insured under the recipient's insurance policy.

c) Indemnification:

The recipient hereby agrees to indemnify the federal government, NPS or from any act or omission of the Recipient, its officers, employees, or (members, participants, agents, representatives, agents as appropriate), (1) against third party claims for damages arising from one or more identified activities carried out in connection with this financial assistance agreement and (2) for damage or loss to government property resulting from such an activity. This obligation shall survive the termination of this Agreement.

- i. To purchase public and employee liability insurance at its own expense from a responsible company or companies with a minimum limitation of one million dollars (\$1,000,000) per person for anyone claim, and an aggregate limitation of Three Million Dollars (\$3,000,000) for any number of claims arising from any one incident. The policies shall name the United States as an additional insured, shall specify that the insured shall have no right of subrogation against the United States for payments of any premiums or deductibles due thereunder, and shall specify that the insurance shall be assumed by, be for the account of, and be at the insured's sole risk. Prior to beginning the work authorized herein, the Recipient shall provide the NPS with confirmation of such insurance coverage.

- ii. To pay the United States the full value for all damage to the lands or other property of the United States caused by the Recipient
- iii. To provide workers' compensation protection to the Recipient, its officers, employees, and representatives.
- iv. To cooperate with NPS in the investigation and defense of any claims that may be filed with NPS arising out of the activities of the Recipient, its agents, and employees.
- v. In the event of damage to or destruction of the buildings and facilities assigned for the use of the Recipient in whole or in part by any cause whatsoever, nothing herein contained shall be deemed to require NPS to replace or repair the buildings or facilities. If NPS determines in writing, after consultation with the Recipient that damage to the buildings or portions thereof renders such buildings unsuitable for continued use by the Recipient, NPS shall assume sole control over such buildings or portions thereof. If the buildings or facilities rendered unsuitable for use are essential for conducting operations authorized under this Agreement, then failure to substitute and assign other facilities acceptable to the Recipient will constitute termination of this Agreement by NPS.

d) Flow-down:

For the purposes of this clause, "Recipient" includes such sub-recipients, contractors, or subcontractors as, in the judgment of the recipient and subject to the Government's determination of sufficiency, has sufficient resources and/or maintains adequate and appropriate insurance to achieve the purposes of this clause.

E. Reporting

Financial Status Reports:

Report of expenditures is required as documentation of the financial status of awards according to the official accounting records of the recipient's organization. The financial information will be reported by completing and submitting SF 425, the Federal Financial Report (FFR). FFRs may be required quarterly, semi-annually or annually. The reporting requirements will be determined by the Awarding Officer and defined in the Task Agreement.

The FFR can be downloaded at: http://www.whitehouse.gov/omb/grants/standard_forms/ffr.pdf

The NPS Awarding Officer will review the report for patterns of cash expenditures and assess whether performance or financial management problems exist. Before submitting the FFR to the NPS Awarding Officer, recipients must ensure that the information submitted is accurate, complete, and consistent with the recipient's accounting system. The recipient's Authorized Certifying Official's signature on the FFR certifies that the information in the FFR is correct and complete and that all outlays and obligations are for the purposes set forth in the agreement documents, and represents a claim to the Federal government. Filing a false claim may result in the imposition of civil or criminal penalties.

Performance Reports:

Performance Reports may be required quarterly, semi-annually or annually. The reporting requirements will be determined by the Awarding Officer and defined in the Task Agreement.

Non-Compliance:

Failure to comply with the reporting requirements contained in this agreement may be considered a material non-compliance with the terms and conditions of the award. Non-compliance may result in withholding of future payments, suspension or termination of the agreement, recovery of funds paid under the agreement, and the withholding of future awards.

SECTION VI: OTHER INFORMATION

A. Modification or Changes to the Announcement

Notices of any modifications to this announcement will be posted on Grants.gov. You can receive an email when a modification or an announcement message is posted. When you download the application at Grants.gov; you can also register to receive notifications of changes through Grants.gov.

B. Government Right to Reject or Negotiate

NPS reserves the right, without qualification, to reject any or all applications received in response to this announcement and to select any application, in whole or in part, as a basis for negotiation and/or award.

C. Evaluation and Administration by Non-Federal Personnel

In conducting the merit review evaluation, the Government may seek the advice of qualified non-Federal personnel as reviewers. The Government may also use non-Federal personnel to conduct routine, nondiscretionary administrative activities. The applicant, by submitting its application, consents to the use of non-Federal reviewers/administrators. Non-Federal reviewers must sign conflict of interest and non-disclosure agreements prior to reviewing an application. Non-Federal personnel conducting administrative activities must sign a nondisclosure agreement.

D. Notice of Right to Conduct a Review of Financial Capability

NPS reserves the right to conduct an independent third party review of financial capability for applicants that are selected for negotiation of award (including personal credit information of principal(s) of a small business if there is insufficient information to determine financial capability of the organization).

E. Notice of Potential Disclosure under Freedom of Information Act

Applicants should be advised that identifying information regarding all applicants, including applicant names and/or points of contact, may be subject to public disclosure under the Freedom of Information Act, whether or not such applicants are selected for negotiation of award.

F. Personally Identifiable Information

In responding to this Announcement, Applicants must ensure that Protected Personally Identifiable Information (PII) is not included in the following documents: Project Abstract, Project Narrative, Biographical Sketches, Budget or Budget Justification. These documents will be used by the Merit Review Committee in the review process to evaluate each application. PII is defined by the Office of Management and Budget (OMB) as:

Any information about an individual maintained by an agency, including but not limited to, education, financial transactions, medical history, and criminal or employment history and information that can be used to distinguish or trace an individual's identity, such as their name, social security number, date and place of birth, mother's maiden name, biometric records, etc., including any other personal information that is linked or linkable to an individual. This definition of PII can be further defined as: (1) Public PII and (2) Protected PII.

Public PII:

PII found in public sources such as telephone books, public websites, business cards, university listing, etc. Public PII includes first and last name, address, work telephone number, email address, home telephone number, and general education credentials.

Protected PII:

PII that requires enhanced protection. This information includes data that if compromised could cause harm to an individual such as identity theft.

Appendix A - Application Package Forms SF 424, SF 424A, SF 424B

Available on the [grants.gov](https://www.grants.gov) posting for this FOA.