# A comparison of a two-dimensional depth averaged flow model and a three-dimensional RANS model for predicting tsunami inundation

Xinsheng Qin<sup>a,\*</sup>, Michael R. Motley<sup>a</sup>, Randall J. LeVeque<sup>b</sup>, Frank I. Gonzalez<sup>c</sup>, Kaspar Mueller<sup>d</sup>

<sup>a</sup>Department of Civil and Environmental Engineering, University of Washington, More Hall Box 352700, Seattle, WA 98195 <sup>b</sup>Department of Applied Mathematics, University of Washington, Seattle, WA 98195 <sup>c</sup>Department of Earth and Space Sciences, University of Washington, Seattle, WA 98195

<sup>d</sup>School of Computer Science and Communication, KTH, Royal Institute of Technology, SE-100 44

Stockholm, Sweden

#### 12 Abstract

4

6

8

9

10

11

The numerical modeling of tsunami inundation that incorporates the built environment 13 of coastal communities is challenging for both depth-integrated 2D and 3D models, not 14 only in modeling the flow, but also in predicting forces on coastal structures. For depth-15 integrated 2D models, inundation and flooding in this region can be very complex with 16 variation in the vertical direction caused by wave breaking on shore and interactions 17 with the built environment and the model may not be able to produce enough detail. 18 For 3D models, a very fine mesh is required to properly capture the physics, dramati-19 cally increasing the computational cost and rendering impractical the modeling of some 20 problems. In this paper, comparisons are made between GeoClaw, a depth-integrated 21 2D model based on the nonlinear shallow water equations (NSWE), and OpenFOAM, 22 a 3D model based on Reynolds Averaged Navier-Stokes (RANS) equation for tsunami 23 inundation modeling. The two models were first validated against existing experimen-24 tal data of a bore impinging onto a single square column. Then they were used to 25 simulate tsunami inundation of a physical model of Seaside, Oregon. The resulting 26 flow parameters from the models are compared and discussed, and these results are 27 used to extrapolate tsunami-induced force predictions. It was found that the 2D model 28 did not accurately capture the important details of the flow near initial impact due to 29 the transiency and large vertical variation of the flow. Tuning the drag coefficient of 30 the 2D model worked well to predict tsunami forces on structures in simple cases but 31 this approach was not always reliable in complicated cases. The 3D model was able to 32 capture transient characteristic of the flow, but at a much higher computational cost; it 33 was found this cost can be alleviated by subdividing the region into reasonably sized 34 subdomains without loss of accuracy in critical regions. 35

36 Keywords: Tsunami inundation, tsunami forces, NSWE, RANS, GeoClaw,

37 OpenFOAM

<sup>\*</sup>Corresponding author

Email addresses: xsgin@uw.edu (Xinsheng Qin), mrmotley@uw.edu (Michael R. Motley), Preprint submitted to Elsevier. December 25, 2016 TJl@uw.edu (Kandall J. LeVeque), figonzal@uw.edu (Frank I. Gonzalez), kasparm@kth.se (Kaspar Mueller)

# 38 1. Introduction

For many years, researchers have been working on different numerical models that can predict tsunami behavior. Tsunami prediction generally requires modeling at a wide range of spatial scales, including (from large to small scale): offshore wave propagation, beach runup, inland inundation, and impact on individual structures.

Due to the large differences in scale for the different processes, most tsunami mod-43 els solve two-dimensional depth-integrated equations, e.g., the nonlinear shallow water 44 equations (NSWE) or some form of Boussinesq wave equations to predict tsunami be-45 havior, using computational grids that vary in spatial resolution from an order of several kilometers far from the shoreline to an order of 10 meters inland. The NSWE are of-47 ten used in the nearshore and inundation zone, since they can handle nonlinearities 48 that arise in very shallow water and can be adpated to deal robustly with wetting and 49 drying. However, it is not clear that these equations are adequate to properly model 50 fully three-dimensional turbulent flow, particularly at the scale necessary to determine 51 tsunami impact and corresponding tsunami-induced forces on individual structures. 52

It would be preferable to solve the three-dimensional Navier-Stokes equations with a proper turbulence closure. However, this is still extremely expensive computationally relative to two-dimensional models, and only practical for detailed simulations over small spatial regions.

The scale of modeling inland tsunami inundation with an explicitly represented 57 constructed environment lies between that of modeling the large-scale tsunami wave 58 propagation offshore and the small-scale tsunami impact on individual structures. This 59 process is actually even more challenging to model since for two-dimensional depth-60 integrated models, inclusion of the constructed environment increases the complexity 61 of the topography and the flow begins to have more variation in the vertical direction, 62 while for the three-dimensional model that solves the Navier-Stokes equations, a fine 63 mesh needs to be generated around each individual structure, which dramatically in-64 creases the number of cells in the computational domain. 65

In this paper, we compare results from a two-dimensional NSWE model and a 3D 66 Navier-Stokes model for the test case of flow through a scale model of a portion of Sea-67 side, Oregon. The experiment was performed in the directional wave basin at the O.H. 68 Hinsdale Wave Research Laboratory at Oregon State University and produced a large 69 set of observed data of flow depth and velocities, as well as corresponding momentum 70 flux, at many locations in the model Park et al. (2013). We use two open source mod-71 els, the 2D GeoClaw software from Clawpack Clawpack Development Team (2015), 72 which is widely used for modeling tsunamis (both global propagation and local inun-73 dation), and the 3D OpenFOAM software (The OpenFOAM Foundation, 2014). The 74 two models are first compared and validated against an experiment in which a simple 75 bore impinges on a single column, and then compared for the Seaside model. The goal 76 is to explore the differences between 2D and 3D modeling for this complex case, and 77 to provide some guidance for modeling tsunamis or other flooding events in similar 78 constructed environments. 79

Before introducing the two numerical models used in current study, a brief review
 of previous research involving different types of models is given below.

<sup>82</sup> The two-dimensional depth-integrated equations are most widely used tsunami

models for their simplicity and computational efficiency. Popinet (2012) simulated 83 the 2011 Tohoku tsunami by solving the 2D NSWE with dynamically-adapted spa-84 tial resolution that varied from 250 m in flooded areas nearshore up to 250 km off-85 shore. The model accurately predicted long-distance wave and coarse-scale flooding; 86 the initial surface elevation was determined from a source model based on seismic in-87 version (as opposed to inversion of DART buoys and tidal gauge time series). This 88 also showed that an accurate and consistent model of tsunami wave propagation can 89 sometimes be constructed using only seismic wave inversion. Wei et al. (2013) used 90 the Method of Splitting Tsunamis (MOST) model to model the same tsunami event. 91 The MOST model solves the shallow water equations in spherical coordinates with 92 numerical dispersion. Their results demonstrated that it may be possible to forecast 93 near-field tsunami inundation in real time. Hu et al. (2000) presented an NSWE model 94 that can simulate storm waves propagating in the coastal surf zone and overtopping 95 a sea wall. They found that waves overtopping a vertical wall may be approximately 96 modeled by representing the wall as a steep slope, and that the overtopping rate is 97 sensitive to the bottom friction and the minimum friction depth. The two-dimensional 98 NSWE model of wave run-up and overtopping by Hubbard and Dodd (2002) features 99 an adaptive mesh refinement algorithm. Their model can accurately reproduce 1D and 100 2D wave transformation, run-up and overtopping in physical experiments. Their mod-101 eling of seawall overtopping by off-normal incident waves showed that there can be 102 more flooding in such a situation than at normal incidence. Lynett (2007) simulated 103 long wave runup obstructed by an obstacle and concluded that the obstacle can help 104 reduce runup and maximum overland velocity if the wave is highly nonlinear (with a 105 ratio of wave height to shelf water depth  $\geq 0.5$ ). The sensitivity study also showed 106 that in cases of breaking waves, the Boussinesq model was more accurate than the 107 nonlinear shallow water equations in terms of wave runup (maximum differences up 108 to 10%). For nonbreaking long waves, differences between the two were negligible. 109 Shi et al. (2012) developed a high-order adaptive time-stepping TVD solver for a fully 110 nonlinear Boussinesq model and validated it against a series of laboratory experiments 111 for wave shoaling and breaking and a suite of benchmark tests for wave runup. The 112 results showed that the model was able to accurately model wave shoaling, breaking, 113 and wave-induced nearshore circulation. With a Boussinesq model, Lynett et al. (2010) 114 simulated overtopping of levees of the Mississippi River-Gulf Outlet (MRGO) during 115 Hurricane Katrina at four several characteristic transects along the 20 km-long stretch 116 of the levees. The predicted overtopping rates agreed well with the observed data. 117

As computing power increases, it becomes possible to model the tsunami runup 118 process, instead of simply wave impact on an individual structure, by solving three- or 119 two-dimensional Navier-Stokes equations with a proper turbulence closure. Choi et al. 120 (2007) solved three-dimensional Reynolds Averaged Navier-Stokes (RANS) equations 121 to simulate wave runup on an conical island and compared different turbulence clo-122 sure models including  $k - \epsilon$ , RNG (Re-Normalisation Group methods, (Yakhot et al., 123 (1992))  $k - \epsilon$  and LES (Large Eddy Simulation). Their results showed that LES and 124 RNG  $k - \epsilon$  are similar and more accurate than  $k - \epsilon$  is worse than those two. Williams 125 and Fuhrman (2016) solved incompressible RANS equations with a transitional vari-126 ant of the standard two-equation  $k-\omega$  turbulence closure to study boundary layer flow 127 induced by tsunami-scale waves. Their results indicated that the boundary layer gener-128

ated by a tsunami is both current-like due to the long duration and wave-like due to its 129 unsteadiness. The study also indicated that an existing expression for maximum bed 130 shear stress under wind wave scale can be reasonably extrapolated to full tsunami scale. 131 Mayer and Madsen (2000) investigated wave breaking in the surf zone by solving the 132 RANS equations with a  $k - \omega$  turbulence model. They found that the volume-of-fluid 133 method could be used successfully to simulate wave breaking and that although some 134 instabilities occurred in applying the RANS equations, they can be eliminated by an 135 ad-hoc modification of the turbulence model. 136

The prediction of tsunami impact on individual structures is also important because 137 it provides guidance on designing coastal structures in tsunami inundation zones. The 138 two-dimensional depth-integrated model may not work properly for these scenarios 139 since the problems are more three-dimensional with large variation in vertical direc-140 tion and with transient and turbulent flow impacting the structure. In these cases, a 141 three-dimensional model that solves the Navier-Stokes equation may give much better 142 results. Researchers at University of Washington modeled a series of dam break ex-143 periments by solving the 3D Reynolds Averaged Navier-Stokes (RANS) equations for 144 bore-type impact of a wave on a series of 1/20-scale model girder bridges to assess the 145 3D effects on bridge skew (Motley et al., 2015; Wong, 2015). 146

The scale of modeling tsunami inundation inland with an explicitly represented 147 constructed environment lies between that of modeling the large-scale tsunami wave 148 propagation offshore and the small-scale tsunami impact on individual structures. This 149 process is actually even more challenging to model since for two-dimensional depth-150 integrated models, inclusion of the constructed environment increases the complexity 151 of the topography and the flow begins to have more variation in the vertical direc-152 tion, while for the three-dimensional model that solves the Navier-Stokes equations, a 153 fine mesh need to be generated around each individual structure, which dramatically 154 increases the number of cells in the computational domain. 155

Some researchers have tried to model this process with two-dimensional models. 156 Ozer Sozdinler et al. (2015) used the numerical code NAMI DANCE to investigate 157 tsunami inundation hydrodynamic parameters in inundation zones with idealized struc-158 tures – three rows of 20 blocks representing three-story concrete buildings. The code 159 solved the NSWE using a finite-difference technique in a staggered leapfrog scheme. 160 The effect of wave period, wave shape, protection structures, building layout and Man-161 ning's friction coefficient are discussed. Some major conclusions included that the 162 coastal protection structures like seawalls and breakwaters have very limited effect if 163 the waves are able to overtop them and that it is preferable to use different Manning's 164 coefficients for the sea, land and buildings if more accurate values of hydrodynamic 165 parameters are needed, but at the expense of more computational time. Similar con-166 clusions on the Manning's coefficient were presented by Park et al. (2013). They sim-167 ulated tsunami inundation in part of Seaside, Oregon and compared flow parameters 168 with their physical experiment. The comparison showed that the flow parameters were 169 sensitive to the friction coefficient, especially for the momentum flux, which is propor-170 tional to tsunami loads on structures. For instance, decreasing the friction coefficient 171 by a factor of 10 increased the predicted momentum flux by 208%. Muhari et al. 172 (2011) compared three different tsunami inundation models for evaluating tsunami im-173 pact on coastal communities: 1) a Constant Roughness Model (CRM) which uses a 174

constant friction coefficient and does not include the constructed environment and as-175 sumes that all buildings are not able to withstand the tsunami; 2) a Topographic Model 176 (TM) which includes the constructed environment by incorporating building shape and 177 height information into the topography; 3) an Equivalent Roughness Model (ERM) 178 which represents the building by using a different equivalent friction coefficient at the 179 site of a building on the original topography (with only terrain information but not 180 building height). Both the TM model and the ERM model gave more reliable prediction 181 than the CRM model did, which confirmed the importance of taking the constructed 182 environment into consideration. 183

However, few researchers have tried to use a three-dimensional model to model 184 the inundation process. Shin et al. (2012) applied 3D LES (Large Eddy Simulation) 185 model with two-phase flow to simulate inland tsunami inundation in a coastal city with 186 hundreds of buildings and compared the prediction with experimental measurements. 187 However, a fairly coarse mesh was used on land and each building had only 3 to 5 188 mesh cells along its edge in the along-shore or cross-shore direction, so that the result-189 ing agreement in flooding depth can only be considered qualitative. Qin et al. (2016) 190 used 3D RANS (Reynolds-averaged NavierStokes equations) to predicted tsunami in-191 undation process and loads on individual buildings in part of Seaside, and demonstrated 192 that the whole part can be modeled using subsections with proper width without loss 193 of accuracy in areas of interest. 194

In this paper, the two models are first validated against an experiment in which a single bore impinges on a single column. Then they were used to simulate tsunami inundation of Seaside, Oregon, as represented by a physical model and experiments conducted by Park et al. (2013).

## 199 2. Simulation Methodology

## 200 2.1. Two Dimensional Model

The nonlinear shallow water equations can be written as

$$h_t + (uh)_x + (vh)_y = 0 (1)$$

$$(hu)_t + (huv)_y + (hu^2 + \frac{1}{2}gh^2)_x = -ghB_x - Du$$
<sup>(2)</sup>

$$(hv)_t + (huv)_x + (hv^2 + \frac{1}{2}gh^2)_y = -ghB_y - Dv$$
(3)

where u(x, y, t) and v(x, y, t) are the depth-averaged velocities in the two horizontal directions, h is the water depth, g is gravitational acceleration, B(x, y) is the topography, and D = D(h, u, v) is the drag coefficient. The drag coefficient D could have many forms; in this study it is represented by

$$D = \frac{gM^2\sqrt{(u^2 + v^2)}}{h^{5/3}} \tag{4}$$

where M is the Manning's friction coefficient and is set to 0.025 for all two-dimensional simulations in this study. This value for the Manning's coefficient is the same as that used in the Constant Roughness Model of Muhari et al. (2011). The subscripts in these
 equations represent first order partial derivatives.

The GeoClaw model (LeVeque et al., 2011; Berger et al., 2011) features adap-205 tive mesh refinement (AMR) and is released as a submodule of the Clawpack soft-206 ware (Clawpack Development Team, 2015), an open source package for solving hy-207 perbolic systems of partial differential equations (PDEs) of one, two and three dimen-208 sions, through finite volume implementation of high-resolution Godunov-type "wave-209 propagation algorithms". Cell averages of the solution variables q are computed over 210 the volume of each cell and updated with waves propagating into the cell from all 211 surrounding cell edges. The wave at each edge is computed by solving a "Riemann 212 problem" with initial piecewise constant data determined by cell averages on each side 213 of the edge. This method is especially good at solving problems with discontinuous 214 solutions like shock waves, which usually arise in the solution of nonlinear hyperbolic 215 equations (e.g. bores in the case of NSWE). 216

Specifically, GeoClaw uses a variant of the *f*-wave formulation of the "wave-217 propagation algorithms" that allow incorporation of the topography source terms on 218 the right hand side of equations 2 and 3 into the Riemann problem directly. The aug-219 mented Riemann solver in GeoClaw combines the desirable qualities of the Roe solver 220 (Roe, 1981), HLLE-type (Harten, Lax, van Leer and Einfeldt) solvers (Einfeldt, 1988; 221 Einfeldt et al., 1991) and the f-wave approach (Bale et al., 2003). The Roe solver pro-222 vides an exact solution for the single-shock Riemann problem. It is also depth positive 223 semidefinite like the HLLE solves, has a natural entropy-fix by providing more than 224 two waves and yields a better approximation for problems with large rarefactions. A 225 large class of steady states is also preserved, even for non-stationary steady states with 226 non-zero fluid velocity. In addition, it is able to handle the presence of dry states in the 227 "Riemann problem", in which one state is wet (h > 0) while another is dry (h = 0), or 228 both states are dry. It also works robustly in situations where the topography changes 229 abruptly from one cell to another by an arbitrarily large value. For more details of the 230 augmented Riemann solver in GeoClaw, see George (2008). 231

A typical characteristic of tsunami inundation models, especially those that incor-232 porate the built environment, is that the spatial scale of regions of interest may vary 233 from kilometers to meters. For regions several kilometers offshore, grid cells can be as 234 large as thousands of meters, while for regions near shoreline or near built environment 235 onshore, grid cells must be refined to several meters or less, since the size of a building 236 may be only several meters and an adequate number of grid cells are required to achieve 237 acceptable accuracy. In GeoClaw, a patch-based AMR technique can efficiently handle 238 these situations (LeVeque et al., 2011; Berger and Leveque, 1998). 239

## 240 2.2. Three Dimensional Model

For the three-dimensional model, version 2.3.1 of the open-source CFD package OpenFOAM was used (The OpenFOAM Foundation, 2014). The package comes with different solvers for different types of flow. For tsunami inundation, in which there are two immiscible fluids (air and water) with a free interface, the interFoam solver can be chosen which solves the RANS equations with a volume-of-fluid (VOF) approach to model the free surface. The VOF approach defines a scalar field  $\alpha_{water}$  which represents fractional volume of water in each cell. A cell full of water ( $\rho = 1000$  kg/m<sup>3</sup>,  $\nu = 1.0 \times 10^{-6} \text{ m}^2/\text{s}$ ) has  $\alpha_{water} = 1.0$ , while a cell full of air ( $\rho = 1.22$ kg/m<sup>3</sup>,  $\nu = 1.48 \times 10^{-5} \text{ m}^2/\text{s}$ ) has  $\alpha_{water} = 0.0$ . Here  $\rho$  is the mass density of the fluid and  $\nu$  is the kinematic viscosity. A cell with  $\alpha_{water}$  between 0 and 1 contains the interface. A special transport equation is solved to advance the  $\alpha_{water}$  field. To close the RANS equations, Menter's k- $\omega$ -SST model (Menter and Esch, 2001) was applied. There are many other turbulence closure models, among which the  $k - \epsilon$  model is

also very popular. It is suitable for fully turbulent and non-separated flows and has the shortcoming of numerical stiffness in the viscous sublayer, which can result in stability issues (Menter, 1993). It was also applied to model the inundation process in this study but became unstable during the simulation. The k- $\omega$ -SST is generally more stable and behaves better in modeling partially separated flows, which is the case in the current study (flow becomes separated after passing around the built environment).

It is worth noting that Mayer and Madsen (2000) showed excessive nonphysical production of turbulence in spatially large-scale and low-strain-deformation waves if standard  $k - \epsilon$  or  $k - \omega$  models are used. In this paper, using a standard  $k - \omega$ -SST turbulence model does not cause such a problem. Their study showed no excessive turbulence before 7 periods of a cnoidal wave. However, all problems modeled in this paper are one-time single-wave problems, which do not give the turbulence enough time to blow up.

With the assumption of an incompressible fluid, the RANS equations are listed below:

$$\frac{\partial \overline{u}_i}{\partial x_i} = 0 \tag{5}$$

$$\rho \frac{\partial \overline{u}_i}{\partial t} + \rho \overline{u}_j \frac{\partial \overline{u}_i}{\partial x_j} = -\frac{\partial \overline{p}}{\partial x_i} + \mu \frac{\partial^2 \overline{u}_i}{\partial x_j \partial x_j} - \frac{\partial \rho \overline{u'_i u'_j}}{\partial x_j}$$
(6)

where  $\overline{u}_i$  is the mean velocity in the *i* direction,  $u_i'$  is the fluctuating component of velocity in the *i* direction and  $\overline{p}$  is the mean pressure. If  $u_i$  is the velocity component in the *i* direction, then  $u_i = \overline{u}_i + u_i'$ . The Reynolds Stress term in equation (6) is:

$$-\rho \overline{u'_i u'_j} = \nu_t \rho \left[ \frac{\partial \overline{u}_i}{\partial x_j} + \frac{\partial \overline{u}_j}{\partial x_i} \right] - \frac{2}{3} k \rho \delta_{ij} \tag{7}$$

where k is the turbulence kinetic energy and  $\nu_t$  is the turbulence eddy viscosity. The equations above need to be closed with some closure model. Here Menter's k- $\omega$ -SST model (Menter and Esch, 2001) was applied:

$$\frac{\partial k}{\partial t} + \nabla \cdot (\mathbf{U}k) = \widetilde{G} - \beta^* k \omega + \nabla \cdot \left[ \left( \nu + \alpha_k \nu_t \right) \nabla k \right]$$
(8)

$$\frac{\partial \omega}{\partial t} + \nabla \cdot (\mathbf{U}\omega) = \gamma S^2 - \beta \omega^2 + \nabla \cdot \left[ \left( \nu + \alpha_\omega \nu_t \right) \nabla \omega \right] + \left( 1 - F_1 \right) C D_{k\omega} \quad (9)$$

where  $\widetilde{G}$  is defined as  $\widetilde{G} = \min \{G, c_1 \beta^* k \omega\}$ , where G is the production term and defined as:

$$G = \nu_t S^2 \tag{10}$$

and S is the invariant measure of the strain rate, defined by:

$$S = \sqrt{2S_{ij}S_{ij}} \tag{11}$$

and  $S_{ij}$  is the strain rate tensor defined by  $S_{ij} = \frac{1}{2} (\nabla \mathbf{U} + \mathbf{U}^T)$ .  $F_1$  is a blending function defined by:

$$F_1 = \tanh\left\{\left\{\min\left[\max\left(\frac{\sqrt{k}}{\beta^*\omega y}, \frac{500\nu}{y^2\omega}\right), \frac{4\alpha_{\omega 2}k}{CD_{k\omega}^*y^2}\right]\right\}^4\right\}$$
(12)

where  $CD_{k\omega}^*$  is defined by:

$$CD_{k\omega}^* = \max\left(CD_{k\omega}, 10^{-10}\right) \tag{13}$$

and  $CD_{k\omega}$  is defined by:

$$CD_{k\omega} = 2\sigma_{\omega 2}\nabla k \cdot \frac{\nabla\omega}{\omega} \tag{14}$$

After solving equations (8) and (9),  $\nu_t$  can be calculated by:

$$\nu_t = \frac{a_1 k}{\max\left(a_1 \omega, SF_2\right)} \tag{15}$$

where  $F_2$  is a second blending function defined as:

$$F_2 = \tanh\left\{\left[\max\left(\frac{2\sqrt{k}}{\beta^*\omega y}, \frac{500\nu}{y^2\omega}\right)\right]^2\right\}$$
(16)

All other constants are computed using a blend from the corresponding constants associated with the k- $\epsilon$  and k- $\omega$  models via blending functions like  $\phi = \phi_1 F_1 + \phi_2 (1 - F_1)$ . Values for these constants are:  $\alpha_{k1} = 0.85013, \alpha_{k2} = 1.0, \alpha_{\omega 1} = 0.5, \alpha_{\omega 2} = 0.85616, \beta_1 = 0.075, \beta_2 = 0.0828, \gamma_1 = 0.5532, \gamma_2 = 0.4403, \beta^* = 0.09, a_1 = 0.31, c_1 = 10.0$  (Menter et al., 2003).

A force vector,  $\mathbf{F}$ , on a structure is computed by summing forces from pressure,  $\mathbf{F}_{p}$ , and from viscous stress,  $\mathbf{F}_{v}$ .

$$\mathbf{F} = \mathbf{F}_p + \mathbf{F}_v \tag{17}$$

 $\mathbf{F}_p$  and  $\mathbf{F}_v$  are calculated respectively by:

$$\mathbf{F}_{p} = \sum_{i} \left( -p_{i} A_{i} \mathbf{n}_{i} \right) \tag{18}$$

$$\mathbf{F}_{v} = \sum_{i} \left\{ \left( \tau_{\mathbf{i}} \cdot \mathbf{n}_{\mathbf{i}} \right) A_{i} \right\}$$
(19)

where *i* is the index of cell faces on the building on which forces need to be evaluated,  $p_i$  is the total pressure on face *i*,  $A_i$  is area of face *i*,  $n_i$  is the unit normal vector of face *i* pointing into the computational domain and  $\tau_i$  is the viscous stress tensor at face

*i* which can be expressed by  $\tau_{\mathbf{i}} = \{\rho (\nu + \nu_t) [\nabla \mathbf{U} + \nabla \mathbf{U}^T]\}$  on face *i*.

## 281 3. Initial Comparison of The 2D and 3D Numerical Models

An initial comparison of the two numerical models was conducted by modeling the interaction between a bore and a free-standing coastal structure, with experimental results from Árnason (2005). The experiment was performed at the Charles W. Harris Hydraulics Laboratory at the University of Washington (UW), Seattle. In the experiment, a square column was placed in a 16.6 m long, 0.6m wide and 0.45 m deep wave tank, and aligned in parallel to the tank side walls (Fig. 1).

A thin gate separated water in the tank into two parts with different depths: 0.02m deep on the square column side and 0.25 m deep on the other side. When the gate was lifted to the top of the tank in 0.2 s by a 6.4-cm diameter pneumatic piston, a bore formed and propagated toward the square column downstream. The square column with a  $12 \times 12$  cm square-shaped cross section was placed 5.2 m downstream from the gate. To measure hydrodynamic forces, the column was supported from above and connected with a force sensor.

Both the three-dimensional model and the two-dimensional model were developed 295 at model scale to simulate the physical experiment. The three-dimensional OpenFOAM 296 model incorporated the column into the computational domain by simply cutting off a 297 block of mesh of the same shape from the computational domain. The mesh was coarse 298 far from the column (1 cm by 1 cm by 0.5 cm in the x, y, z directions where the z 299 direction is perpendicular to the flume bottom) and was refined gradually to 0.125 cm 300 by 0.125 cm by 0.0625 cm in the x, y, z directions near the column surface. The mesh 301 was finer in the z directions to better capture the water surface. Forces on the column 302 were obtained by integrating pressure and shear forces from fluid on the surface of the 303 column 304

In the two-dimensional GeoClaw model, the column was incorporated into the 305 computational domain through the topography term B(x, y) on right hand side of equa-306 tions 2 and 3. Values for B(x, y) are set to a very large constant value,  $h_c$ , in the region 307 of the column and to 0 elsewhere. This prevents water from overtopping the area, thus 308 simulating a column. Setting  $h_c$  to a very large value also made all four side walls 309 of the square column be more "vertical" in the model since they are represented by 310 steep slopes arising from B = 0 (outside the column) to  $B = h_c$  (inside the column). 311 The coarsest level grid had a resolution of 0.02 m by 0.02 m and covered most of the 312 computational domain; the finest mesh near the column was 0.25 cm by 0.25 cm. 313

First, a case without the column was modeled. Fig. 2 shows predictions of water level history, measured at 5.2 m downstream from the gate (i.e., at x = 11.1, the center of the column) by the two numerical models and the experiment. In general, both 2D and 3D models accurately predict the arrival time of the bore, which is t = 3.2 s.

The OpenFOAM model matches the measurement better than GeoClaw with a sharp (but not vertical) slope at the front, a gradually rising surface to the peak near t = 8 s, then a downward slope, followed by interactions with the reflected wave from the back wall that creates the second jump in water level at around t = 14 s.

OpenFOAM includes water viscosity, which diffuses sharp discontinuities. In contrast, GeoClaw does not include viscosity and solutions of the nonlinear shallow water equations for the dambreak problem with an initial discontinuity yields a shock wave (discontinuity) propagating to the right as a vertical bore front followed by a region



Figure 1: Schematic of the experimental setup for the interaction between bore and square column. The top figure shows a plan view and the bottom figure shows a cross section through the center of the column, illustrating also the bore.(Reprinted with permission from Motley et al. (2015). Copyright by ASCE.)

with constant water depth; as a consequence, GeoClaw slightly overestimates the initial height of the bore front, underestimates the height at t= 8 s, and presents the reflected wave as a second sharp discontinuity at t = 13.1 s.



Figure 2: Time history of water level at 5.2 m from the gate (center of the column) with the column removed

At the same location, streamwise (the along-channel direction) components of the velocity at different depths were also predicted. Fig. 3 shows time histories of streamwise velocity at 9 different distances from the bottom. Note that since the twodimensional model is depth-averaged, its predicted velocity is constant with depth. Near the water surface, the prediction from the two-dimensional model matches the measurements very well except for the spike at the front, which is captured by the three-dimensional model.

Fig. 4 shows a comparison of total forces on the square column from the experiment, the three-dimensional model and the two-dimensional model. The force predicted by the three-dimensional model was obtained by integrating the pressure and viscous fluid forces on the surface of the column (See Eq. 17). The three-dimensional model predicts the force very well in terms of magnitude and is able to capture even the small spike near t = 4 s. In the two-dimensional model, no pressure field is computed and available for force prediction. To predict forces from the two-dimensional model, data from the previous case without the column was used instead. The water level, h, and streamwise velocity, u, were first sampled at the center of the footprint of the col-



Figure 3: Time history of streamwise velocity at different distances, d, from the bottom at 5.2 m from the gate (center of the column) with the column removed. Abscissa: time (s). Ordinate: velocity (m/s).



Figure 4: Comparison of measured and predicted horizontal forces on the square column

umn that was removed from the domain, to compute the momentum flux,  $M = hu^2$ . Then forces were computed from the definition of the drag coefficient, which is

$$C_d = \frac{2F_d}{\rho A u^2} = \frac{2F_d}{\rho (h u^2)b} \tag{20}$$

where  $C_d$  is the drag coefficient,  $F_d$  is the streamwise component of the fluid forces,  $\rho$ is the density of the fluids, A is the wet area on the surface of the structure normal to the direction of flow, h is the water depth on the surface used to calculate wet area, u is the streamwise component of the fluid velocity, and b is the breadth of the structure in the plane normal to the direction of flow. Note that the  $hu^2$  term in the denominator is the momentum flux, M. This definition can be used to compute fluid forces on structures from momentum flux (ASCE 2013, Chock):

$$F_d = \frac{1}{2} C_d \rho(hu^2) b \tag{21}$$

where the drag coefficient may be conservatively chosen as  $C_d = 2.0$  as recommended 343 by FEMA P646 (2012). Note that in the experiment or three-dimensional model, the 344 water level on the upstream side of the column is different from that on the downstream 345 side of the column. This causes a difference in hydrostatic pressure and thus a hydro-346 static force on the column. For this reason, it may be more appropriate to refer to this 347 value as the coefficient of resistance instead of solely as a drag coefficient. Using a 348 drag coefficient of 2.0 overestimates the force by 13% in general. This is as expected 349 since it is said to be "conservative" according to FEMA P646 (2012). Fig. 4 also 350 shows that if a drag coefficient of 1.76 is used instead, the force prediction from the 351 two-dimensional model matches the measurement more closely. 352

# **4. The Seaside Wavetank Model**

## 354 4.1. The Physical Experiment

A 1:50 scale physical model of part of Seaside, Oregon, adjacent to the Cascadia Subduction Zone (CSZ), was constructed in the Tsunami Wave Basin at the O.H. Hinsdale Wave Research Laboratory at Oregon State University, and a series of experiments were conducted to measure flow velocities and water levels at 31 locations within the model-scale community. For full details of the experiment, one can refer to Park et al. (2013).

The rectangular basin for the experiment is 48.8 m long, 26.5 m wide and 2.1 m 361 deep. Fig. 5 shows the top and side view of the basin. The still water depth at the 362 wavemaker is 0.97 m and decreases as it approaches the shoreline. A 0.04 m height 363 (model scale) seawall was also constructed between all idealized buildings and the 364 shoreline and was parallel to the wave maker. Figs. 6 and 7 show the locations of the 31 365 gauges where water level and flow velocity were measured in the experiment (grouped 366 into 4 groups, A, B, C and D (from bottom to top), marked by different symbols). 367 Buildings in blue are large commercial buildings like hotels and hospitals. All red 368 buildings are of the same size and represents small commercial buildings. Buildings in 369 yellow are residential structures and are also all the same size. 370



Figure 5: Top view and side view of the basin. (Reprinted with permission from Qin et al. (2016). Copyright 2008 by Elsevier.)



Figure 6: Layout of all buildings and gauges in the experiment: blue, large hotels or commercial buildings, red, smaller commercial buildings, yellow, residential structures. (Reprinted with permission from Qin et al. (2016). Copyright 2008 by Elsevier.)

In the experiment, the piston-type wave maker was designed to generate an initial wave with a wave height of approximately 0.2 m (model scale) at the lower horizontal section of the basin; this is equivalent to 10 m at full scale, which corresponds to a 500-year CSZ tsunami for this region (Tsunami Pilot Study Working Group, 2006). The experiment was repeated many times with identical initial conditions. Data from multiple trials were averaged to describe the result due to stochastic features in the experiment, more details of which were presented in Park et al. (2013).

# 378 4.2. Setup of Numerical Models

# 379 4.2.1. OpenFOAM Model

In the three dimensional OpenFOAM model, a numerical wave basin was developed to simulate the experiments. It was built at the model scale instead of full scale to exclude scaling effects. This facilitated the comparison between the numerical model and the physical experiment.

To generate the required numerical waves, a numerical wave generator was pre-384 viously developed in OpenFOAM (Motley et al., 2014) and it was validated against 385 available data from a pair of experiments. Two steps are taken by the numerical wave 386 generator to simulate wave generating procedure of a piston-type wave maker. First, a 387 short subsection of the wave basin adjacent to the wave maker is modeled. This step 388 is conducted with the wave maker as the reference frame, eliminating the need for a 389 moving mesh, and fluid is forced to enter the domain at the wave maker's speed from 390 the other end of the domain to simulate the movement of the wave maker. A time-391 varying acceleration vector field is also embedded in the solver to compensate for the 392 non-inertial frame. The second step is to map all field data in this domain (the gener-393 ated wave) to a full model of the basin with the mapFields utility in OpenFOAM, after 394 the wave maker stops moving. Further simulations can then start from here. 395

One disadvantage of the three dimensional model is that it requires heavy computa-396 tional resources. Even with 4 dual 8-core 2-GHz Intel Xeon e5-2650 machines (64 total 397 processors), it was not possible to model the entire basin. Instead, the entire domain 398 was divided into four different subsections of equal width to predict flow parameters at 399 different groups of gauges (See Fig. 7). For clarity, only the onshore domain is shown 400 in the figure; however, the numerical domain spans the entire 48.8 m from the wave-401 maker to the back wall of the basin. For each simulation, approximately 60 million 402 cells were used and the solver was run in parallel with 64 processors mentioned above 403 for 9-10 days to get results. 404

The boundary conditions for each boundary in the numerical wave basin are listed in Table 1. The term *All walls and floor* in the table includes the bottom, side walls, two end walls and surfaces of internal buildings. Another term, *Atmosphere*, refers to the upper boundary of the computational domain. A *zeroGradient* boundary condition prescribes that the normal gradient of a certain field quantity on a boundary face is zero:  $\frac{\partial \phi}{\partial n} = 0$  where  $\phi$  is the quantity on the boundary (the same for all  $\phi$  hereafter in this section) and *n* is a unit normal vector of the wall.

A *fixedValue* boundary condition sets the value of a quantity to a constant specified value on the boundary:  $\phi = c$  where c is a constant value specified by the user. For the velocity field on a wall, this constant value is set to 0 as a no-slip condition. An



Figure 7: Four different subsections and layout of gauges

Table 1: OpenFOAM boundary conditions for the current numerical model

Field	All walls and floor	Atmosphere
Air/water phase indicator, $\alpha_{water}$	zeroGradient	inletOutlet
Velocity, U	fixedValue	pressureInletOutletVelocity
Pressure without hydrostatic part, $p_{rgh}$	fixedFluxPressure	totalPressure
Turbulent kinetic energy, $k$	kqRWallFunction	inletOutlet
Specific dissipation rate, $\omega$	omegaWallFunction	inletOutlet
Turbulence eddy viscosity, $\nu_t$	nutUSpaldingWallFunction	zeroGradient

*inletOutlet* boundary condition is identical to the *zeroGradient* boundary condition if the flux is out of domain (the velocity vector next to the boundary points outside) but is switched to apply a *fixedValue* boundary condition with specified value (0 for  $\alpha_{water}$ in the current model) if the flux is into the domain. The *pressureInletOutletVelocity* condition at the top of the domain applies a *zeroGradient* boundary condition for the velocity field if the flux is out of the domain; if the flux is into the domain, normal component of the velocity is computed with *zeroGradient* and tangential component is set to a specified constant value. In this model, this tangential constant is also set to 0, which makes this *pressureInletOutletVelocity* boundary condition essentially identical to a *zeroGradient* boundary condition. On *All walls and floor*,  $p_{rgh}$  is defined such that there is zero flux, using the *fixedFluxPressure* boundary condition (this is essentially equivalent to *zeroGradient* boundary condition), while the *Atmosphere* was defined with a uniform reference pressure  $p_0$  using the *totalPressure* boundary condition:

$$p_{rgh} = \begin{cases} p_0 & \text{, for outflow} \\ p_0 - \frac{1}{2} |\mathbf{U}|^2 & \text{, for inflow} \end{cases}$$
(22)

Here  $p_{rgh}$  is pressure subtracted by static pressure  $\rho gh$  where  $\rho$  is the water density, g is the gravitational acceleration and h is relative depth under initial free surface. The turbulence quantities near solid walls are obtained with wall functions that model them as functions of distance from the boundary. Centers of the first layer of cells near the wall are chosen as positions in the log-law region of the boundary layer where the wall functions are applied. A *kqRWallFunction* boundary condition can be expressed as  $\frac{\partial k}{\partial n} = 0$  for k on a wall where n is a unit normal vector to the wall. An *omegaWallFunction* boundary condition provides a wall function for the turbulence specific dissipation,  $\omega$ . It is computed with:

$$\omega = \sqrt{\omega_{vis}^2 + \omega_{log}^2} \tag{23}$$

where  $\omega_{vis}$  is the value of  $\omega$  in the viscous region and  $\omega_{log}$  is the value of  $\omega$  in the logarithmic region (Menter and Esch, 2001). The *nutUSpaldingWallFunction* boundary condition for  $\nu_t$  is used for rough walls. It computes a continuous nut profile to the wall based on Spalding's law (Spalding, 1961), which is essentially a unified law of the wall which works for the viscous sublayer, buffer layer and the logarithmic region in a boundary layer. The initial condition for  $\alpha_{water}$  is set to 1 for cells where there is water at the beginning and to 0 for the rest. The initial value of U and  $p_{rgh}$  were zero since the flow is initially at rest. Although the fluid is at rest at the beginning, a small value of the turbulence kinetic energy k must be "seeded" in the domain, because the production term in the governing equation of the turbulence kinetic energy k is zero and thus will produce no turbulence if initially k is zero.

Assuming zero velocity fluctuation in the along-shore and vertical direction, the definition of k gives:

$$k = \frac{1}{2}(u_1'^2 + u_2'^2 + u_3'^2) \approx \frac{1}{2}u_1'^2$$
(24)

The velocity fluctuation  $u'_1$  is computed from  $I = \frac{u'}{U}$  where I is the turbulence inten-424 sity,  $u' = \sqrt{\frac{1}{3}(u_1'^2 + u_2'^2 + u_3'^2)}$  and U can be chosen as wave celerity in this case. This 425 approach is the same as Svendsen (1987) and Lin and Liu (1998). Several choices of 426 initial turbulence intensity was tested. To best match the wave height at wave gauge 427 WG1 and WG3, an initial turbulence intensity of 1% is chosen in this model. For 428 the specific dissipation rate,  $\omega$ ,  $\omega = \frac{\sqrt{k}}{l}$  is used where l is the turbulent length scale 429 and is set to 7% of the hydraulic diameter of the channel-like computational domain, 430 according to Pope (2001). 431

Based on a mesh refinement study balanced with the computational resources at hand, in this model, a typical mesh cell near the wave maker has dimensions (length width × height) =  $(0.3 \text{ m} \times 0.015 \text{ m} \times 0.01 \text{ m})$ , which gradually decrease to 0.0075 m × 0.0075 m × 0.0025 m near the buildings. Several tests with different aspect ratios were also conducted to confirm that the fairly high aspect ratio of mesh cells near the wavemaker has no influence on wave generation and propagation offshore.

## 438 4.2.2. GeoClaw Model

With GeoClaw, it is possible to model the entire basin. Thus, the computational 439 domain is a 48.8 m by 26.5 m rectangle. The geometry of the basin bottom and built 440 environment are described by topography files of different resolution, which specify 441 B(x, y) on the right hand side of equations 2 and 3. A typical computational time for 442 one simulation is approximately six hours with a single core in an Intel(R) Core(TM) 443 i7-4790 CPU processor. Note that the computational resources required by the Geo-444 Claw model is only  $\frac{1}{2500}$  of what is required by the three-dimensional OpenFOAM 445 model in this study. 446

To generate tsunami waves in GeoClaw, user defined time varying boundary conditions can be specified at the inlet of the computational domain, based on data for the wavemaker speed s(t) in the physical experiment. The data from the physical experiment can be fit quite well with a Gaussian of the form

$$s(t) = A e^{\beta (t - t_0)^2}$$
(25)

with  $\beta = 0.25$ ,  $t_0 = 14.75$  and amplitude A = 0.51. However, several trials resulted in a better match at wave gauges WG1, WG2, WG3, and WG4 by setting A = 0.6, which was therefore used for all simulations.

The adaptive mesh refinement (AMR) feature of GeoClaw was used, with a mesh 450 size for the base-level grid of 0.5 m (corresponding to 25 m in full scale) in both cross-451 shore direction and along-shore direction. The term cross-shore is used to refer to the 452 direction that the wave propagates from the wavemaker to the structures onshore, while 453 the direction perpendicular to the cross-shore direction is referred to as the along-shore 454 direction. The mesh is refined in the nearshore region up to 4 levels, with specified 455 refine ratios: 4 for from level 1 to 2, 5 for from level 2 to 3 and 2 for from level 3 456 to 4. The finest mesh in the domain with this setup for AMR is 0.0125 m by 0.0125457 m (corresponding to 0.625 m in full scale) and eventually covers the entire onshore 458 region. 459

One thing to be noted is that for both numerical models described above, all coastal
 structures, including different types of buildings and the seawall, are assumed to be
 undamaged and thus fixed and rigid during the inundation.

# 463 4.3. Comparison of Flow Parameters

The predicted free surface elevation, cross-shore velocity, and corresponding momentum flux from the two numerical models will be compared and discussed in this section. All experimental data in this study were provided by the NTHMP Mapping and Modeling Benchmarking Workshop: Tsunami Currents (University of Southern California, 2015), and descriptions of the physical experiments to gather the data are provided by Park et al. (2013) and Rueben et al. (2011).

Gauges were positioned as shown in Figs.5-7. Ultra-sonic surface wave gauges 470 (USWG) were used to measure the free surface. The bore front propagation speed was 471 obtained by analysis of imagery gathered by two high resolution video cameras located 472 above the wave basin (Rueben et al., 2011). Fluid velocity measurements were acquired 473 by Acoustic Doppler Velocimeter (ADV) only after peaks; air entrainment in the bore 474 at and shortly after the initial impact rendered the ADV measurements inconsistent in 475 repeated trials (Park et al., 2013). Park et al. (2013) then assumed that the propagation 476 speed and fluid velocity at the bore front are equal and fit a second-order polynomial 477 to that value and ensemble-averaged ADV measurements in this region. 478

Offshore experimental and modeled free surface elevation time histories are shown
in Figure 8. Onshore time histories of the free surface elevation, cross-shore velocity and corresponding momentum flux at selected on-shore gauges are shown in Figs.
9-12. After the peak (initial impact), there appears to be a significant drop in discrepancies between modeled and measured water level and fluid velocity; therefore, the
discussion that follows will separately compare the results before and after the peak.

# 485 4.3.1. Offshore time histories

Water level agreement between the measured and modeled elevation was satisfactory, overall (Fig. 8). Although both models slightly underestimate wave height at gauge WG3 and propagation speed of wave, based on the scatter and uncertainties in the experimental results and the qualitative agreement between the models and the experimental data, the numerical wave considered in the models is sufficient for this work.

## 492 4.3.2. Onshore time series near initial impact

Water level amplitude by OpenFOAM and arrival time by both OpenFOAM and 493 GeoClaw are agree fairly well with measurements at many of the gauges in groups A, 494 B and C, but GeoClaw underestimates the amplitude at many gauges. These differ-495 ences reflect the challenge of modeling a turbulent and rapidly varying bore front. An 496 additional factor is that the gauges in groups A, B and C are placed along straight lines, 497 representing roads within the community, whereas those in group D are set behind buildings. As a consequence, flow around group A, B and C gauges is dominated by 499 flow in the cross-shore direction, while flow around group D gauges is more complex 500 and challenging to model. 501

Fluid velocity experimental values derived by optical means are significantly lower 502 than the modeled OpenFOAM and GeoClaw velocity in many of the 16 cases presented 503 in Figs. 9-12. This is because the optical measurement of the bore front is not necessar-504 ily representative of flow velocity (Qin et al., 2016). Here the animation of GeoClaw 505 numerical results was analyzed to obtain estimates of 1.3m/s for peak velocity: Fig. 13 506 showed modeled velocity distributions in the bore at two consecutive time steps in the 507 GeoClaw simulation at gauge A4, illustrating that the modeled maximum fluid occurs 508 at some point behind the bore front. 509

Momentum flux modeled by OpenFOAM and GeoClaw do not agree well with experimental estimates, due to the discrepancies in fluid velocity estimates, discussed above. This is critical, since momentum flux is often used to compute the tsunami forces on structure, as discussed in detail in section 5.

In summary, predictions near the initial impact are challenging for both models, but the three-dimensional OpenFOAM model performs better than the two-dimensional GeoClaw model because it models turbulence and the variation of velocity with depth.

#### 517 4.3.3. Onshore time series in post-impact region

Water level agreement among both models and the experimental data are signif-518 icantly improved after initial impact. Note that some gauges are quite far from the 519 shoreline (for example, gauges A6, B8, C8), where the inundation depth is very shal-520 low compared to the peak value near the shoreline (less than 20% of the peak value). 521 Even at these locations, however, both numerical models provide reasonable predic-522 tions. It is also of interest that, as noted above, GeoClaw predicts a lower bore front 523 propagation speed than OpenFOAM; as a result, arrival of the OpenFOAM bore front 524 agrees well with experiment, but the GeoClaw bore front is significantly delayed at 525 gauges farther inland, such as B8 and C8 (Figs 10d and 11d). This is also consistent 526 with the slower propagation speed of the offshore GeoClaw wave, noted above. 527

Fluid velocity measurements by the ADV are more stable after 30 s, and both Open-FOAM and GeoClaw velocity time series agree much better with the experimental data at gauges in groups A, B and C. Agreement does degrade significantly in group D, especially in the case of GeoClaw; this is no doubt due to the more complicated fluid flow in the group D environment, behind buildings, compared to the relatively simpler cross-shore flow in the street environments of groups A, B and C (Fig. 7).

Momentum flux from both numerical models are in better agreement with the measurements at most gauges, since water level and velocity agreements are better than in the t < 30s time period. Fig. 14 compares snapshots of the simulation near line A from the two models at 3 different times. The three-dimensional model provides substantial detail about the complex flow among buildings, including the strong channeling effect along line A, aligned with the street, and among the buildings on both sides of the street. These channeling effects can alter the forces exerted on both sides of that street, so that any differences between OpenFOAM and GeoClaw in modeling such effects may result in different prediction of forces on the buildings.



Figure 8: Time histories of surface elevation at gauge WG1 and WG3

# 544 5. Force predictions from momentum flux

Some representative buildings along Line A were selected for preliminary analysis 545 of fluid forces on the coastal infrastructure, as shown in Fig. 15. Buildings I is one of 546 the two large structures adjacent to gauge A1 and directly facing the shoreline, with a 547 dimension of 0.29 m by 0.78 m by 0.246 m (length in cross-shore direction by length 548 in along-shore direction by height. The same for the following) and 0.31 m by 0.84 m549 by 0.31 m, respectively. Buildings III has a dimension of 0.39 m by 0.39 m by 0.091 550 m. Buildings III and IV, representing small houses within the community, are identical 551 but placed in different directions, which has a length, width and height of 0.17 m, 0.26 m552 and 0.154 m respectively. 553



Figure 9: Time histories of surface elevation, cross-shore velocity and momentum flux at some selected gauges along line A (Note that ranges of Y axis are different in different subplots)



Figure 10: Time histories of surface elevation, cross-shore velocity and momentum flux at some selected gauges along line B



Figure 11: Time histories of surface elevation, cross-shore velocity and momentum flux at some selected gauges along line C



Figure 12: Time histories of surface elevation, cross-shore velocity and momentum flux at some selected gauges in group  ${\rm D}$ 



Figure 13: Velocity distribution in the bore near gauge A4, from the GeoClaw model





Figure 14: Snapshots of the simulation near line A, colored by cross-shore velocity, at 3 different times (from top to bottom): t = 25.9 s, t = 27 s, t=28.1 s. Left: Geoclaw; Right: OpenFOAM.



Figure 15: Representative buildings along Line A.

Fig. 16 shows predicted forces in the cross-shore direction from the two models on 554 selected buildings. Note that these forces are normalized by the width of western (left) 555 wall of the buildings. Since no pressure field exists in the two-dimensional GeoClaw 556 model, the same approach as was used in section 3 is applied here to compute forces 557 on these selected buildings for the GeoClaw model ( $C_d$  chosen as 2.0 as well). In 558 this case, note that not all the buildings are removed to get the momentum flux for a 559 specific building. Instead, only the building at the center of which the momentum flux 560 is to be predicted is removed with all other constructed environment unchanged. This 561 minimizes the influence of removing that building on the flow overall. 562

Peak values of forces predicted by the GeoClaw model on all buildings are only approximately half of those predicted by the OpenFOAM model, except for building III. This is consistent with smaller peak values in the prediction of momentum flux from the GeoClaw model at most of the 31 gauges since both water level and crossshore velocity are underestimated. For example, as shown in Fig. 9, peak values in momentum flux predicted by the GeoClaw model are approximately half of those predicted by the OpenFOAM model.

Note that, however, prediction of forces from the GeoClaw model becomes bet-570 ter when compared to the OpenFOAM model after the initial impact. This indicates 571 the GeoClaw model's limited ability to capture details of transient interaction between 572 fluids and structures occurs during the initial impact, which is the most important to 573 tsunami hazard assessment in many scenarios, but as the flow begins to interact more 574 with the surrounding coastal infrastructure as the water travels onshore, these strong 575 impact forces may be mitigated. The underestimation of peak forces in Fig. 16, how-576 ever, indicates that to predict tsunami forces on buildings in coastal communities with 577 the current GeoClaw model, a drag coefficient of 2.0 may not be sufficient. 578

## 579 6. Conclusion and extensions

In this paper, two different types of numerical models of tsunami inundation were 580 developed and compared. They were first validated by comparing water level, velocity 581 profile and forces on a single column impacted by a bore from a dambreak. Then the 582 two models were used to predict free surface elevation, velocity and momentum flux 583 of a tsunami inundation on a model-scale constructed environment. The predicted flow 584 parameters agree well with experimental measurements in the post-impact region at 585 most gauges. During initial impact, however, the two-dimensional GeoClaw model 586 has difficulty in capturing transient characteristic of the flow. The three-Dimensional 587 OpenFOAM model can solve this challenge better, however, at an expense of much 588 more computational resources required. This is because the variation in the vertical 589 direction is "eliminated" by the integration in two-dimensional model while all three-590 dimensional characteristics of the flow as well as turbulence are modeled by the three-591 dimensional model. Several primary conclusions can be drawn from this work: 592

<sup>593</sup> 1. The three-dimensional RANS model can predict flow parameters and forces on <sup>594</sup> structures by modeling only a subsection of  $\frac{1}{3}$  width of the entire basin, while the two-<sup>595</sup> dimensional NSWE model can model the entire basin at one time, even with much less <sup>596</sup> computational resources. Both models agree well with experimental measurements at



Figure 16: Predicted forces in cross-shore direction on selected buildings (normalized)

most locations considered after the initial impact. The RANS model, however, can
 provide more details of the flow, especially near the initial impact region.

2. The fluid dynamics in the bore front are transient and turbulent. Thus near the initial impact, prediction of flow parameters and forces is challenging but also the most critical since the flow parameters and forces have maximum value near this point. The three-dimensional RANS model solves this challenge better than the two-dimensional NSWE model but needs much more computational resources.

Using a drag coefficient to predict fluid forces on structures from the two dimensional model in the simple case works well but becomes less reliable with com plex constructed environment. Simply choosing a drag coefficient of 2.0 can underes timate fluid forces by up to half.

This research compares different characteristics of a two-dimensional model and a 608 three-dimensional model of tsunami inundation with constructed environment. Chal-609 610 lenges in prediction of flow parameters and forces are revealed and the capabilities of the two numerical models in solving this type of problem are analyzed. A trade-off 611 needs to be made between the two models due to their different levels of accuracy and 612 required computational resources. The comparisons in the current study can provide 613 a reference when choosing between two-dimensional model and three-dimensional 614 model to predict required information in tsunami inundation. 615

## 616 Acknowledgments

The authors would like to thank the National Science Foundation for their financial support through Grants EAR-1331412 and CMMI-1536198. This work was facilitated through the use of advanced computational, storage, and networking infrastructure provided by the Hyak supercomputer system, supported in part by the University of Washington eScience Institute.

## 622 **References**

American Society of Civil Engineers (ASCE), 2013. Minimum Design Loads for
 Buildings and Other Structures, Standard ASCE/SEI 7-10.

Applied Technology Council, 2012. Guidelines for Design of Structures for Vertical
 Evacuation from Tsunamis. Second Edition (FEMA P-646). FEMA P-646 Publica tion.

Árnason, H., 2005. Interactions between an incident bore and a free-standing coastal
 structure. UMI Dissertation Services.

Bale, D. S., LeVeque, R. J., Mitran, S., Rossmanith, J. A., 2003. A wave propagation
 method for conservation laws and balance laws with spatially varying flux functions.
 SIAM Journal on Scientific Computing 24 (3), 955–978.

Berger, M. J., George, D. L., LeVeque, R. J., Mandli, K. T., 2011. The geoclaw software
 for depth-averaged flows with adaptive refinement. Advances in Water Resources
 34 (0) 1105 1206

- Berger, M. J., Leveque, R. J., 1998. Adaptive Mesh Refinement Using Wave Propagation Algorithms for Hyperbolic Systems. SIAM Journal on Numerical Anal vsis 35 (6), 2298–2316.
- Chock, G. Y. K., 2016. Design for Tsunami Loads and Effects in the ASCE 7-16 Stan dard. Journal of Structural Engineering, ASCE, 1–12.
- <sup>641</sup> Choi, B. H., Kim, D. C., Pelinovsky, E., Woo, S. B., 2007. Three-dimensional simula tion of tsunami run-up around conical island. Coastal Engineering 54 (8), 618–629.
- <sup>643</sup> Clawpack Development Team, 2015. Clawpack software. Version 5.3.1.
- 644 URL http://www.clawpack.org
- Einfeldt, B., 1988. On godunov-type methods for gas dynamics. SIAM Journal on
   Numerical Analysis 25 (2), 294–318.
- Einfeldt, B., Munz, C.-D., Roe, P. L., Sjögreen, B., 1991. On godunov-type methods
   near low densities. Journal of computational physics 92 (2), 273–295.
- George, D. L., 2008. Augmented riemann solvers for the shallow water equations over
   variable topography with steady states and inundation. Journal of Computational
   Physics 227 (6), 3089–3113.
- Hu, K., Mingham, C., Causon, D., 2000. Numerical simulation of wave overtopping of
   coastal structures using the non-linear shallow water equations. Vol. 41.
- Hubbard, M. E., Dodd, N., 2002. A 2D numerical model of wave run-up and overtop ping. Coastal Engineering 47 (1), 1–26.
- LeVeque, R. J., George, D. L., Berger, M. J., 2011. Tsunami modelling with adaptively
   refined finite volume methods. Acta Numerica 20, 211–289.
- Lin, P., Liu, P. L. F., 1998. A numerical study of breaking waves in the surf zone.
   Journal of Fluid Mechanics 359, 239–264.
- Lynett, P. J., 2007. Effect of a Shallow Water Obstruction on Long Wave Runup and
   Overland Flow Velocity. Journal of Waterway, Port, Coastal, and Ocean Engineering
   133 (6), 455–462.
- Lynett, P. J., Melby, J. A., Kim, D. H., 2010. An application of Boussinesq modeling
   to Hurricane wave overtopping and inundation. Ocean Engineering 37 (1), 135–153.
- Mayer, S., Madsen, P. A., 2000. Simulation of Breaking Waves in the Surf Zone using a Navier-Stokes Solver. Proceeding to Coastal Engineering Conference I, 928–941.
- Menter, F., 1993. Zonal two equation k-turbulence models for aerodynamic flows. AIAA paper.
- Menter, F., Esch, T., 2001. Elements of Industrial Heat Transfer Predictions. 16th
   Brazilian Congress of Mechanical Engineering (COBEM), 117–127.

- <sup>671</sup> Menter, F. R., Kuntz, M., Langtry, R., 2003. Ten Years of Industrial Experience with <sup>672</sup> the SST Turbulence Model. Turbulence Heat and Mass Transfer 4 4, 625–632.
- Motley, M., Lemoine, G., Livermore, S., 2014. Three Dimensional Loading Effects
   of Tsunamis on Bridge Superstructures. ASCE Structures Congress 2014 (2011),
   1348–1358.
- Motley, M. R., Wong, H. K., Qin, X., Winter, A. O., Eberhard, M. O., dec 2015.
   Tsunami-Induced Forces on Skewed Bridges. Journal of Waterway, Port, Coastal, and Ocean Engineering, 04015025.
- Muhari, A., Imamura, F., Koshimura, S., Post, J., 2011. Examination of three practical run-up models for assessing tsunami impact on highly populated areas. Natural Hazards and Earth System Science 11 (12), 3107–3123.
- Ozer Sozdinler, C., Yalciner, A. C., Zaytsev, A., 2015. Investigation of Tsunami Hy drodynamic Parameters in Inundation Zones with Different Structural Layouts. Pure
   and Applied Geophysics 172 (3-4), 931–952.
- Park, H., Cox, D. T., Lynett, P. J., Wiebe, D. M., Shin, S., 2013. Tsunami inundation
   modeling in constructed environments: A physical and numerical comparison of
   free-surface elevation, velocity, and momentum flux. Coastal Engineering 79, 9–21.
- <sup>688</sup> Pope, S. B., 2001. Turbulent flows.
- Popinet, S., 2012. Adaptive modelling of long-distance wave propagation and fine scale flooding during the Tohoku tsunami. Natural Hazards and Earth System Sci ence 12 (4), 1213–1227.
- Qin, X., Motley, M. R., Marafi, N., 2016. Three-Dimensional Modeling of Tsunami
   Forces on Coastal Communities. Coastal Engineering.
- <sup>694</sup> Roe, P. L., 1981. Approximate riemann solvers, parameter vectors, and difference <sup>695</sup> schemes. Journal of computational physics 43 (2), 357–372.
- Rueben, M., Holman, R., Cox, D., Shin, S., Killian, J., Stanley, J., 2011. Optical mea surements of tsunami inundation through an urban waterfront modeled in a large scale laboratory basin. Coastal Engineering 58 (3), 229–238.
- Shi, F., Kirby, J. T., Harris, J. C., Geiman, J. D., Grilli, S. T., 2012. A high-order adaptive time-stepping TVD solver for Boussinesq modeling of breaking waves and coastal inundation. Ocean Modelling 43-44, 36–51.
- Shin, S., Lee, K.-H., Park, H., Cox, D. T., Kim, K., 2012. Influence of a Infrasturcture
   on Tsunami Inundation in a Coastal City. Coastal Engineering, 1–10.
- Spalding, D., 1961. A single formula for the law of the wall. Journal of Applied Me chanics 28 (3), 455–458.
- Svendsen, I. A., 1987. Analysis of surf zone turbulence. Journal of Geophysical Re search: Oceans 92 (C5), 5115–5124.

- The OpenFOAM Foundation, 2014. OpenFOAM v2.3.1. http://www. openfoam.org/version2.3.1/.
- Tsunami Pilot Study Working Group, 2006. Seaside, Oregon Tsunami Pilot Study modernization of FEMA flood hazard maps.
- <sup>712</sup> University of Southern California, 2015. NTHMP Mapping and Modeling Benchmark <sup>713</sup> ing Wrokshop: Tsunami Currents.
- Wei, Y., Chamberlin, C., Titov, V. V., Tang, L., Bernard, E. N., 2013. Modeling of
  the 2011 Japan Tsunami: Lessons for Near-Field Forecast. Pure and Applied Geophysics 170 (6-8), 1309–1331.
- Williams, I. A., Fuhrman, D. R., 2016. Numerical simulation of tsunami-scale wave
   boundary layers. Coastal Engineering 110, 17–31.
- Wong, H. K., 2015. Three-Dimensional Effects of Tsunami Impact on Bridges. Master
   thesis, University of Washington.
- 721 Yakhot, V., Orszag, S., Thangam, S., Gatski, T., Speziale, C., 1992. Development of
- turbulence models for shear flows by a double expansion technique. Physics of Flu-
- <sup>723</sup> ids A: Fluid Dynamics (1989-1993) 4 (7), 1510–1520.