

# Ronald V. Maier, M.D.

- Harborview Injury Prevention & Research Center
- Clinical Trials in the Surgical Intensive Care Unit
- Modulation of the Excessive Inflammatory Response to Biomaterials
- Modulation of the Trauma-Related Macrophage Inflammatory Response to Prevent ARDS, MOFS and Death
- Genomic Controlled Phenotypic Response to Severe Injury



## AWARDS

### American Trauma Society

- William S. Stone Award

### National Institutes of Health

- NIGMS Institutional NRSA

### Shock Society

- Scientific Achievement Award

## FUNDING

### Centers for Disease Control

- National Center for Injury Prevention and Control

### Engineering Research Center (ERC)

### National Institutes of Health

- Institutional National Research Service Award
- National Heart, Lung and Blood Institute
- National Institute of General Medical Sciences

### National Science Foundation

**T**rauma remains a major cause of death and morbidity in America. It is the number one cause of mortality among 1-45-year-olds and is the overall number one cause of loss of productive years of life in America. Death due to injury occurs in three peaks: 1) at the scene; 2) during the acute resuscitation phase; and 3) late, after one to two weeks of ICU support, secondary to multiple organ failure and sepsis. My research focuses on each of these phases. Prevention provides the best means to minimize deaths at the scene. Trauma system developments and improvements in acute care, including early resuscitation will reduce early deaths and minimize subsequent morbidity. Finally, elucidation of the genomic and molecular responses to severe injury will identify treatment modalities to prevent the autodestructive inflammatory response causing organ dysfunction and death following trauma.

### Harborview Injury Prevention and Research Center

Dr. Maier is Senior Advisor of the Harborview Injury Prevention and Research Center (HIPRC). HIPRC is linked closely with the Northwest Regional Trauma Center at Harborview Medical Center. The goal of HIPRC is to diminish the impact of trauma on people's lives and to draw on the effectiveness of the Northwest Regional Trauma Center's injury prevention and trauma treatment programs. Established at HMC in 1985, HIPRC is a component of the University of Washington and the Schools of Medicine and Public Health.

Current projects include identifying the risk

factors for injury while developing new techniques for the application of epidemiology in the field of trauma research. Further goals are to develop and utilize systematic, high-quality data systems to document the types, causes, treatment and consequences of injuries in a wide variety of settings. A particular focus is on assessment of outcomes and the impact of trauma system development. In addition, development and assessment of new, more effective means to resuscitate and treat injured patients along the entire spectrum of care from prehospital to rehabilitation is ongoing. Following are examples of current investigations:

### Evaluation of the Effect of State Firearm Legislation on Firearm Mortality

Firearm-related mortality continues to comprise approximately twenty percent of all injury related deaths in this country, despite the implementation of "preventive" legislation regulating handguns. Numerous handgun laws have been enacted, and the ultimate effect of such legislation on firearm violence is questionable and highly debated. We have investigated whether a "shall issue" law permitting unrestricted carrying of concealed handguns, a minimum age of twenty-one for private purchase, a minimum age of twenty one for possession, a mandatory registration law, restricting purchases to "one gun a month," or a ban on "junk guns" would reduce firearm related mortality.

We have reviewed vital statistics for the entire United States from 1979-1998 looking at total and firearm, homicide and suicide death rates. "Shall

issue” and mandatory registration laws were associated with a respective 17% and 21% increase in homicide rates. Mandatory registration and a ban on “junk guns” reduced firearm suicide rates. Individual gun legislation varies in regard to the effect on firearm mortality. Permitting unrestricted carrying of concealed weapons through “shall issue” laws increases firearm and total homicide rates. Implementing laws restricting the purchase or possession of handguns by persons younger than twenty-one years of age reduces firearm homicide and firearm suicide rates in youths.

### **Relationship Between Trauma Center Volume and Outcome**

The premise underlying regionalization of trauma care is that optimal outcomes can be achieved at greatest efficiency if care is restricted to relatively few dedicated trauma centers. Implicit in this premise is that higher patient volumes will lead to greater experience and this experience translates into better outcomes. This relationship appears to hold for other areas of surgical care involving complex procedures but, in contrast, there is no such relationship when less complex procedures are evaluated. Previous stud-

on the pathophysiologic response of the severely injured patient, many in conjunction with the Division of Pulmonary and Critical Care in the Department of Medicine. In particular, clinical studies and associated basic investigations are focused on the acute respiratory distress syndrome (ARDS), which affects critically ill and injured patients.

ARDS is largely responsible for the prolonged intensive care unit and hospital stay, and contributes significantly to mortality in these patients. Management is primarily supportive while the underlying disease process stabilizes and resolves. Attempts to reduce the consequences of ARDS have focused upon 1) pharmacologic manipulation of the inflammatory response, and 2) modifying positive pressure ventilation techniques to reduce the potential iatrogenic ventilator-associated lung injury. Examples of current studies are:

#### **Low Tidal Volume Ventilation in ARDS**

The mortality rate from acute lung injury and ARDS is approximately 40-50%. Traditional approaches to mechanical ventilation use tidal volumes of 10-15 ml/kg of body weight. These volumes

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ies evaluating the relationship between institutional volume and outcomes in trauma patients are difficult to interpret because of multiple logistic issues.

Two distinct cohorts of trauma patients are being evaluated, including penetrating abdominal injury and multisystem blunt trauma with a minimum head injury and lower extremity, long bone fracture, treated at 31 academic Level I or Level II trauma centers across the United States, participating in the University Health System Consortium. Results indicate a strong association exists between trauma center volume and outcome, with significant improvements in mortality and length of stay, but only when the volume exceeds at least 600 cases per year, and these benefits were only evident in patients at the highest risk for adverse outcomes and not in the vast majority of lesser-injured patients.

#### **Clinical Trials in the Surgical Intensive Care Unit**

We are performing multiple ongoing trials based

are much larger than those in normal subjects at rest, but are frequently necessary to achieve normal values for partial pressure of arterial carbon dioxide and pH. Since atelectasis and edema reduce aerated lung volumes, inspiratory airway pressures are often excessively high to achieve these parameters, suggesting the presence of excessive distension, or “stretch,” of the remaining aerated lung.

Thus, this traditional approach to mechanical ventilation exacerbates or perpetuates lung injury and, in contrast, the use of lower tidal volumes during ventilation reduces or prevents this deleterious process. Previous uncontrolled studies suggest that lower tidal volumes may improve survival. However, this approach may necessitate acceptance of significant acidosis and decreased arterial oxygenation, or increased levels of PEEP. A clinical trial in conjunction with the ARDS Network tested whether lower tidal volumes during mechanical ventilation in patients with acute lung injury improved ARDS severity and/or survival.

The trial has been stopped after enrollment of 861 patients because mortality was lower in the group treated with lower tidal volumes. Mean tidal volumes were 6 cc/kg vs. 12 cc/kg, with a subsequent reduction of mean plateau pressures to 25 cm compared to 34 cm of water. Thus, in patients with acute lung injury and ARDS, mechanical ventilation with a lower tidal volume and, subsequently, a lower mean plateau pressure results in decreased mortality.

### **Modulation of the Inflammatory Response**

The potentially auto-destructive excessive immuno-inflammatory response is thought to contribute to the initiation and progression of ARDS and to ultimately affect patient outcome. Work at Harborview Medical Center (HMC) has shown a high incidence of Vitamin C and potential Vitamin E deficiency in trauma patients admitted to the HMC intensive care unit. A study of patient admissions to HMC found that 64% of patients had plasma Vitamin C levels below the reference range and 23% of patients had plasma Vitamin C levels less than 0.20mg/dL, indicating Vitamin C deficiency as defined by the World Health Organization. Reports from other institutions document a low plasma Vitamin C concentration in 28-83% of select hospitalized patient populations and 12-21% in a random sample of all new hospital admissions.

Our HMC study demonstrated that supplementing 3 grams/day of Vitamin C and 3000 IU/day of Vitamin E in patients with initially low levels resulted in plasma levels within the normal reference range within seven days. Patients not receiving supplements remained in the low or below the reference range. The significance of Vitamin C deficiency in these patients is illustrated by a study of 78 patients with 105 fractures of the mandible treated at HMC: those patients who had fracture complications (infection, malunion) had significantly lower serum Vitamin C concentration than those with good fracture outcomes. In addition, patients with ARDS have been shown to have high levels of oxidants and suppressed levels of antioxidants, such as Vitamin C and Vitamin E, in bronchoalveolar lavage (BAL) specimens.

We hypothesize that routine supplementation of Vitamin C and E will protect against oxidant-induced injury in severely injured and stressed patients, and avoid the diffuse insult predisposing to ARDS and other organ dysfunction, and also secondary nosocomial infections such as ventilator-associated pneumonia and wound infections. In a prospective

observational study, all trauma admissions to the HMC surgical ICU had three grams of Vitamin C or 3,000 IU of Vitamin E, divided over three doses per day, started at the time of admission. Otherwise, care was standard and the populations were followed to determine the incidence of ARDS, duration in the ICU, mortality and infectious complications. In addition, we studied BAL samples for evidence of oxidant injury and cytokine production.

The results show that the treatment with anti-oxidant supplementation on admission to the surgical ICU produced a 50% reduction in evidence of oxidant injury in the BAL solution, along with a 50% reduction in the production of inflammatory mediators, while having no detrimental effect on the production of antibacterial mediators of the immune system. Concomitant with this decrease in intrapulmonary inflammatory response, there was a decrease by 50% in the incidence of ARDS and a significant decrease in length of stay and ventilator days in these critically ill patients. Concomitant with this decrease in development of ARDS and inflammation was a 50% reduction in mortality in the treated population.

### **Modulation of the Excessive Inflammatory Response to Biomaterials**

The production and release of potent inflammatory mediators by tissue-fixed macrophages coordinate and orchestrate a series of biologic events that lead to either normal wound healing or abnormal chronic granulation and typical "foreign body" reaction. The goal of the experiments performed in conjunction with the University of Washington Engineered Biomaterials (UWEB) program funded by the NSF is to define the cell signaling processes that control the pro-inflammatory phenotype of the macrophage in response to various biomaterials and cause the subsequent chronic inflammatory response that leads to non-healing and extrusion of biomaterials.

Preliminary experiments demonstrated that adherence by the macrophage to various surfaces primes the macrophage for activation. Subsequent steps in the inflammatory response lead to multinucleated giant cell formation and subsequent capsule formation, secretion of extracellular matrix, vascular budding, and fibroblast proliferation with thick collagen deposition. Prevention of the pro-inflammatory phenotype may well equate with prevention of foreign body reaction. In current studies, we are investigating coating of biomaterials with various molecules. These

include osteopontin and various anti-inflammatory agents, such as anti-oxidants, including Vitamin E and components of the extracellular matrix, such as hyaluronic acid derivatives, to test the subsequent response of adherent macrophages to inflammatory stimuli, such as endotoxin.

In addition, we are studying materials of various selected pore sizes to minimize cell spreading and to test spatial structural impact on macrophage response to inflammatory stimuli. End-product analysis of inflammatory mediators, such as TNF, procoagulant activity and IL-8, along with the normally produced anti-inflammatory mediators, IL-10 and PGE<sub>2</sub>, are monitored. These mediators exist in a delicate balance and time sequence to produce normal, as opposed to abnormal, wound healing and chronic inflammation.

The ultimate goal is to modulate the surface characteristics of biomaterials so that they may be adapted as "compatible" and elicit a normal host response and normal wound healing with incorporation of the biomaterial — "true healing."

#### **Modulation of the Trauma-Related Macrophage Inflammatory Response to Prevent ARDS, MOFS and Death**

The last major area of investigation is based on the aberrant host immuno-inflammatory response to trauma and sepsis. This auto-destructive response is thought to be responsible for the induction and persistence of the "malignant systemic inflammatory response" underlying ARDS and multiple organ failure syndrome (MOFS). ARDS and MOFS are the major determinants of late death following trauma.

The primary etiology of ARDS and MOFS leading to late mortality following trauma is the clinical "sepsis syndrome," or systemic inflammatory response syndrome (SIRS). This diffuse inflammatory response causes disseminated tissue injury and subsequent organ dysfunction. The long-lived, highly diverse tissue-fixed macrophage is a crucial central coordinator of both the normal and the aberrant host immuno-inflammatory response. The macrophage is both primed and activated by a multitude of stimuli during the inflammatory response.

Until now, therapeutic approaches have focused on control or inhibition of single components of the overall inflammatory response. However, since the inflammatory response is replete with redundancy and feedback amplification mechanisms, it is appealing to take a broader approach to control the inflammatory response and subsequent injury to multiple diffuse

organ beds. To achieve this goal in these basic laboratory investigations, we are focusing on the cellular and molecular mechanisms involved in macrophage signaling and activation by inflammatory stimuli and the subsequent production of multiple inflammatory cytokines.

The goal is to develop therapeutic interventions based on controlling these intracellular transduction pathways and to modulate the over-aggressive macrophage response and the subsequent auto-destructive immuno-inflammatory response. Currently, we are studying the manipulation of cellular signal transduction mechanisms that control inflammatory mediator genes by altering the intracellular levels and release of calcium, the regulation of levels of cyclic AMP and the delineation of regulatory protein kinase signal transduction pathways, particularly the MAP kinase family, including ERK1/2, JNK and p38. In addition, we are investigating signaling processes activated through formation of focal adhesion complexes induced by adherence of the monocyte/macrophage as critical to the host inflammatory cell response.

A major focus is on the ability of anti-oxidants, such as vitamin E, or cytoskeletal spatial disruption with agents, such as cytochalasin D, to modify the cellular response to inflammatory stimuli. Recent investigations have also demonstrated that hypertonic preconditioning similarly disrupts the signaling pathways in the macrophage. Hypertonic saline has been shown to produce an adequate resuscitation for the severely injured while limiting the excessive inflammatory response. Recent investigations have confirmed that hypertonic saline led to a reduction in ERK1/2 phosphorylation with no effect on p38. This was correlated with an inhibition of stress fiber formation in the macrophages and appears to link the necessity for cytoskeletal polymerization for optimal MAP kinase signal transduction and inflammatory mediator production. Thus, hypertonic saline early in the response of the host to reperfusion injury could lead to a reduction in subsequent organ injury and failure. Elucidation and control of these macrophage cellular mechanisms will permit development of future safe therapies to prevent ARDS, MOFS and death in the critically ill surgical patient.

#### **Genomic Controlled Phenotypic Response to Severe Injury**

To better understand the pathophysiologic phenotype in the severely injured patient, a collaborative study has been developed, funded by the

NIH-NIGMS for a “Glue Grant,” a consortium and large-scale project grant. The intent is to study the entire human genomic response across time to the severe stress of injury, resuscitation and subsequent nosocomial infections. To enable this, the technologic developments necessary for reproducible, high quality isolation of RNA and analysis via microarray chips have been developed through this consortium. The analysis of gene expression data in clinical medicine has been plagued by a lack of critical evaluation of accepted methodologies for the collection, processing, and labeling of RNA.

Using whole blood obtained from healthy subjects, the blood was either untreated or stimulated *ex vivo* with SEB. Blood samples were also collected from trauma patients, but were not stimulated *ex vivo*. Total RNA was isolated from the whole blood with the PAXgene proprietary blood collection system or from isolated leukocytes. Biotin cRNA was hybridized

to Affymatrix GeneChips. Correlation coefficients for gene expression measurements and replicates from healthy subjects using both techniques is excellent. Unsupervised analyses, including hierarchical cluster analysis, however, revealed that the RNA isolation method resulted in greater differences in gene expression than stimulation with SEB or among different trauma patients. The intraclass correlation as a measure of signal-to-noise ratio of the difference between SEB stimulated and unstimulated blood from healthy subjects was significantly higher in the leukocyte-derived samples, than in whole blood. Thus, the isolation of RNA from whole blood using the buffycoat is critical to the validity of the microarray analyses. For ongoing studies, the buffycoat and subpopulations are being employed to analyze the serial sequence of genomic responses in the severely injured to identify patterns predictive of trajectory and subsequent outcome.

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