

Reducing Indoor Particulate Air Pollution Improves Student Test Scores: A Randomized Double-Blind Crossover Study

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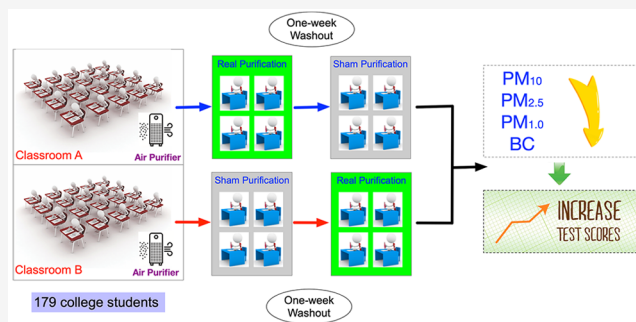
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ABSTRACT: Short-term exposure to air pollution is associated with a decline in cognitive function. Standardized test scores have been employed to evaluate the effects of air pollution exposure on cognitive performance. Few studies aimed to prove whether air pollution is responsible for reduced test scores; none have implemented a “gold-standard” method for assessing the association such as a randomized, double-blind intervention. This study used a “gold-standard” method—randomized, double-blind crossover—to assess whether reducing short-term indoor particle concentrations results in improved test scores in college students in Tianjin, China. Participants ($n = 162$) were randomly assigned to one of two similar classrooms and completed a standardized English test on two consecutive weekends. Air purifiers with active or sham (i.e., filter removed) particle filtration were placed in each classroom. The filtration mode was switched between the two test days. Linear mixed-effect models were used to evaluate the effect of the intervention mode on the test scores. The results show that air purification (i.e., reducing PM) was significantly associated with increases in the z score for combined (0.11 [95%CI: 0.02, 0.21]) and reading (0.11 [95%CI: 0.00, 0.22]) components. In conclusion, a short-term reduction in indoor particle concentration led to improved test scores in students, suggesting an improvement in cognitive function.

KEYWORDS: Indoor air pollution, air purification, test score, intervention, randomized double-blinded crossover study



INTRODUCTIONS

Ambient air pollution, especially particulate matter (PM), is associated with adverse health effects, including hospitalization, mortality, and morbidity from cardiovascular and respiratory diseases.^{1–4} Some recent studies have documented adverse effects of air pollution exposure on cognitive performance, such as on attention, visuo-construction, memory, math ability, reading comprehension, and verbal and nonverbal intelligence,^{5–13} as well as the prevalence of some brain-related diseases, e.g., dementia and Alzheimer’s disease.^{14–16}

Among various measures of cognitive performance, standardized tests are important tools for evaluating students’ academic performance. Because of their high demands on cognitive function, standardized tests are hypothesized to be susceptible to impacts of air pollution exposure.¹⁷ Several recent studies have provided evidence that exposure to air pollution during testing days can affect test scores. Heissel et al.¹⁸ found that children who experienced higher traffic-related air pollutant exposures during commuting had lower test scores than those with similar characteristics but lower traffic-related air pollutant exposures. In a longitudinal study of students taking multiple exams over time, Ebenstein and co-workers⁶ found that contemporaneous PM_{2.5} exposure was

negatively associated with test performance. Others have also reported negative associations between PM and standardized test scores.^{17,19–22} Most of these studies used air pollution data from administrative monitoring sites that were usually located several miles from the students taking the tests, raising possible concerns that the concentrations might not reflect the true exposures. Roth,²³ however, used indoor air pollutant measurements to estimate pollutant impacts on test scores and found that a 1 $\mu\text{g}/\text{m}^3$ increase in indoor PM₁₀ reduced students’ test scores by 0.3 standard deviations. Stafford¹⁰ found that standardized test scores were significantly improved after indoor renovation projects.

These existing studies provide evidence that exposure to air pollution is linked to reduced test scores. However, all were observational studies of associations that suggest but do not prove that air pollution exposure is directly responsible for the

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reduced test scores. Furthermore, because air pollutants are part of an air pollution mixture, it is difficult to identify which component(s) of the mixture might have been responsible for the observed effects.

In this study, we hypothesize that exposure to indoor PM adversely affects cognition, as assessed by standardized test scores. To implement a “gold-standard” approach for assessing the association, we designed a randomized, double-blind, crossover intervention trial using indoor air purifiers to evaluate the short-term effects of indoor PM exposure on test scores. Specifically, we investigated standardized English language tests in college students in Tianjin, China. To our knowledge, this is the first such “gold standard” study of air pollution and test scores.

METHODS

Study Participants and Design. We recruited 180 healthy college students from the main campus of Nankai University in Tianjin, China. The number of students was based on a sample size calculation of noninferiority tests (PASS 11 software [NCSS, LLC, Kaysville, Utah]).²⁴ The significance level (α) was set at 0.01 with 95% power, with noninferior margins at 0.5. Most participants were in their first year of university. We selected two nearly identical classrooms located on the first and second floors of a university building, each approximately 200 m² in area.

The study was designed as a randomized, double-blind crossover intervention study. Participants were equally divided and then randomly assigned to one of the two classrooms. Students took the English language tests (see below) twice: once on November 30th and once on December 7th, 2019 (consecutive Saturdays). Both classrooms had two air purifiers (Honeywell KJ900F, China, Text S1) in the center of the classroom that were turned on starting 3 h before the test and continued running throughout the test. On one test day, the air purifiers included filters (“active”), and on the other test day, the filters were removed (“sham”). The air purifiers were otherwise operated identically across days and rooms. The filter was first “real” (day one) and then “sham” (day two) in one classroom, and in reverse order (“sham” then “real”) in the other classroom.

All study participants and investigating staff were blinded to the filter status of the air purifiers except for the exposure engineer, who stayed away from the classrooms for the entirety of the testing periods. The test started at 9:00 am each day and finished at 12:00 pm. The participants were required to sit in the same seat during the two tests. The Medical Ethics Committee of the Second Hospital of Tianjin Medical University approved the study protocol. All participants provided written informed consent before enrollment. The study was registered with the Chinese Clinical Trial Registry (registration number: ChiCTR1900027773).

To evaluate the students’ perception of the exposure, all participants were required to answer a question after each test as to whether or not they perceived the air in the classroom during the test to be purified. Responses to this question were used to evaluate the students’ perception of exposure, which could potentially affect test performance.²⁵

Exposure Assessment. Classroom windows were kept open throughout the night before the test days. At 6:00 a.m., the windows were closed, and the air purifiers began operating; the purifiers were left on until 12:00 pm, when the exam ended. At 8:50 am, the windows were again opened and kept

open to prevent levels of indoor CO₂ from increasing during tests. Study participants were first allowed to enter the classrooms from 8:50 am to 9:00 am.

Real-time indoor PM monitors (Grimm Model 11-A 1.109, GRIMM Aerosol Technik Pouch GmbH, Germany) were placed at least 1 m from the air purifiers. Gaseous air pollutants and particulate black carbon (BC) were also monitored (Table S1). Before the study, all monitoring devices were calibrated in our laboratory in accordance with the respective standard operating procedures. They were consistently placed in the same classroom each sampling time.

English Language Test. The English language test administered in this study was the mock test paper of the College English Test band 4 (“CET-4”), which is the national English language test in the People’s Republic of China, typically used for undergraduate and graduate students.²⁶ CET-4 includes four sections (see Text S2), two of which are “subjective” (graded manually: writing, translation) and two of which are “objective” (multiple-choice listening and reading, scored by machine). Here, we investigated only the “objective” sections (listening and reading) and the overall combined (four-section) test score. The test order and duration of the two sections are described in the Supporting Information (Text S3).

Statistical Analysis. The three test scores (listening, reading, and four-section combined) were analyzed both as raw (original) scores and also as z scores, with the three test scores for each student being converted into three z scores (calculated in the standard manner: individual score minus mean score of all participants, divided by the standard deviation of all participants) in order to allow comparisons across the three tests (listening, reading, and four-section combined). To test crude (i.e., unadjusted) effects due to the testing period and perception, original scores and z scores between the two intervention groups (active and sham air purification) were compared using the two-sample Wilcoxon rank sum test. Linear mixed-effect models were used to estimate the effect of the intervention on test scores; this approach accounts for repeated tests within individuals.²⁵ A hierarchical approach to model building was used, beginning with univariable models and progressing to more complex multivariable models. The simplest models (base models) included a random effect of the study participant and an indicator variable as a fixed effect for the intervention (sham intervention is the reference group). The next set of models added fixed effects for the test period (first or second) and order of intervention (active intervention first or second) to the base models. The most complex full models added terms for gender, age, university department, perception of exposure (see below), indoor gaseous pollutant concentrations (CO, CO₂, SO₂, and NO₂), and temperature. Study participants were slightly more likely to incorrectly identify the active vs sham intervention (44.6% correct, 55.4% incorrect; see Table S2). To address potential effects of perception on our outcome measures, we adjusted for it in the full model. In a further analysis, the intervention indicator variable was replaced by either the indoor PM_{2.5} concentration or the indoor BC concentration in the mixed-effects models.

All statistical tests were two-sided, with an α of 0.05 considered to be statistically significant. Analyses were conducted using R statistical software (version 4.0.3, R Development Core Team).

RESULTS

Basic Information on Participants. Table 1 presents descriptive statistics. Initially, 180 college students were

Table 1. Characteristics of the Participants (N = 179)

| variable | median (5th–95th percentiles) or number (percentage) | |
|-----------------------|--|-----------------|
| | male (N = 88) | female (N = 91) |
| sex | | |
| age (years) | 18.6 (17–21) | 18.5 (16–20) |
| university discipline | | |
| STEM ^a | 80 (87.9%) | 63 (69.2%) |
| chemistry | 55 (69.6%) | 35 (38.5%) |
| mathematics | 14 (17.7%) | 10 (11.0%) |
| statistics | 5 (6.3%) | 17 (18.7%) |
| physics | 6 (7.6%) | 1 (1.1%) |
| non-STEM | 8 (8.8%) | 28 (30.8%) |
| economics | 2 (2.5%) | 5 (5.5%) |
| business | 1 (1.3%) | 3 (3.3%) |
| language | 5 (6.3%) | 20 (22.0%) |

^aSTEM is Science, Technology, Engineering, and Mathematics.

recruited from eight colleges of Nankai University. Of these, 179 took at least one test (88 males, 91 females): 162 took both tests, and 17 took the test only once. All participants declared that they had no clinically diagnosed cognition-related diseases. No participants said they had never taken CET-4 before the study.

Indoor Air Pollutant Concentrations During the Tests. Figure 1 and Table 2 show the indoor pollutant concentrations with active and sham interventions. The concentrations of PM for all three size ranges (PM₁₀, PM_{2.5} and PM₁) gradually declined during the test periods. Table 2 shows the indoor air pollutant concentrations and temperatures during the test periods. The indoor PM_{2.5} concentrations in the classrooms with a sham air purifier were significantly higher on both test days (41.5 and 40.2 μg/m³, respectively) than in the classrooms with an active air purifier (12.5 and 13.4 μg/m³, respectively). The sudden changes in particulate concentrations between 10:00 and 10:20 across days and rooms were caused by an unexpected autocalibration event and brief powering off of the PM monitors, respectively. BC concentrations paralleled those of PM (1.20 (day 1) and 2.76 (day 2) μg/m³ with active filtration; 3.01 (day 1) and 5.39 (day 2) μg/m³ with sham filtration). There was no effect of active filtration on concentrations of the gaseous pollutants (SO₂, NO₂, CO₂), as is expected, because the filter removes only particles, not gases.

The Distributions of Test Scores. Supporting Figures S1 and S2 show the distributions of test scores as z scores (Figure S1) and raw scores (Figure S2) for the two interventions, test periods, and perception of air purification. In these crude analyses, there were no statistically significant differences in the z scores of listening, reading, and combined tests between interventions (Figure S1a,d,g), test period (Figure S1b,e,h), or perception of air purification (Figure S1c,f,i; Wilcoxon rank sum tests, *p* > 0.05).

The Effects of PM Purification on the Test Scores. Linear mixed effects model results are shown in Table 3 for the base model (random effect for subject and fixed effect for intervention); the base model with added fixed effects for test period and intervention order (full model); and the full model with additional fixed effects for sex, age, university department,

intervention perception, and indoor gaseous pollutant concentrations. For the base model, compared with participants in the sham purification group, those who experienced active air purification (reduction in indoor PM) showed a significant increase in z score for the combined score (*p* < 0.05), and a marginal increase in z score for the reading test (0.05 < *p* < 0.10). There was a suggestion that the listening test score was also higher with active air purification relative to sham purification (*p* > 0.10). The results of the models with added fixed effects for test period and intervention order, as well as those of the full models, were not substantially different from the base models.

With the intervention variable replaced by pollutant concentrations (Table S4), lower indoor PM concentrations were significantly associated with elevated CET-4 test z scores. Lower particulate BC concentrations were not significantly associated with test z scores.

DISCUSSION

In this study, it was hypothesized that exposure to high levels of PM decreases cognitive function in healthy young adults as reflected by reduced test scores and therefore that reductions in concentrations would result in an improvement in test scores. To address the hypothesis, we employed a randomized, double-blind, crossover design with sham and effective air purifier interventions that selectively filtered out indoor PM. We found that the air filtration intervention resulted in a statistically significant increase in combined test scores, a marginal increase in reading scores, and a suggestion of an increase in listening scores.

Air purifiers have been used as an intervention method for reducing indoor particulate concentrations, and several studies have reported them to have cardiopulmonary benefits.^{25,27,28} However, no studies have investigated the association between air purification and cognitive function. The results of this study support our hypothesis and provide the first “gold standard” evidence to date regarding air pollution exposure being associated with decreased test scores.

These findings are consistent with evidence from several association studies. Amanzadehand co-workers¹⁷ found that a one standard deviation (SD) increase in ambient PM_{2.5} corresponded to a 0.029 SD decrease in test scores in mock exams of the Iran high-stakes matriculation exam. Similar improvements in standardized test scores were also observed for Israeli matriculation exams,⁶ California public school children,²⁰ and high school students in Chile.²¹ These results suggest that taking exams on more polluted days could have a negative effect on the test scores. To minimize exposure measurement errors in using daily variation in ambient air pollutant concentrations, Roth²³ instead used the concentrations of indoor air pollutants; he found that a one unit increase in indoor PM₁₀ (μg/m³) in a London university was associated with a 0.003 SD reduction in students' test scores. Although the results in those studies are not necessarily comparable, they reflect growing evidence that exposure to higher PM levels can result in reduced test scores.

As observational studies of association, the studies mentioned above are susceptible to a number of biases that could produce confounded results. Furthermore, the uncontrolled exposures in those studies make it difficult to identify the specific air pollutants or factors associated with these pollutants that might be responsible for the observed effects. Our study used a “gold standard” approach to investigate a

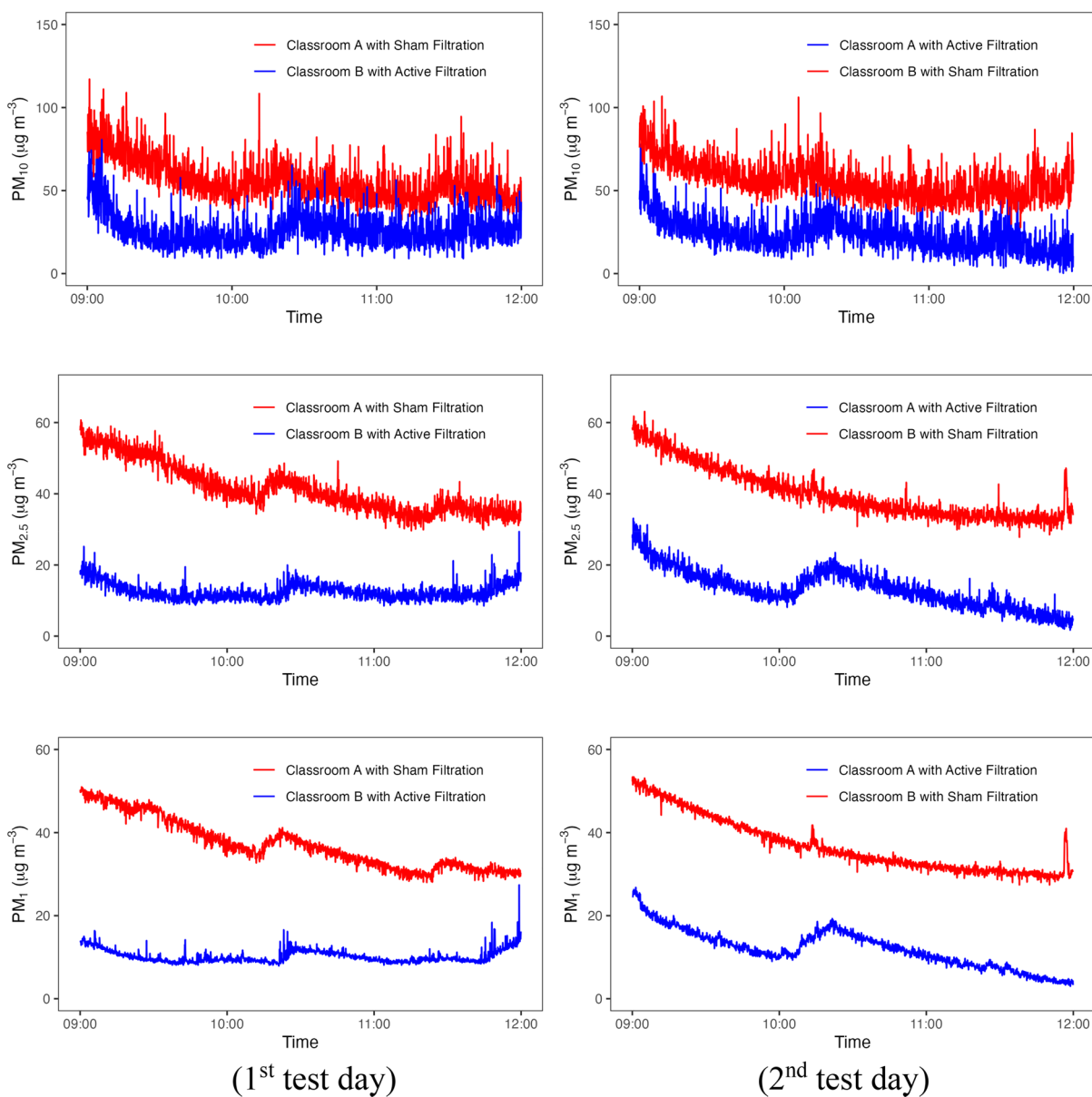


Figure 1. Concentrations of PM₁₀, PM_{2.5}, and PM₁ in the two classrooms during the tests (left panel, 1st test day; right panel, 2nd test day).

direct link. The results of several intervention studies have been reported using interventions such as air filters installed in vehicles^{29–31} or homes^{25,27,32} or using respirators.^{33–37} To the best of our knowledge, this study is the only study in which an intervention was used to experimentally manipulate concentrations of inhaled air pollutants to examine the effects of PM reduction on test scores.

While our study did not aim to investigate mechanisms by which short-term exposure to indoor PM could have near-immediate effects on test performance, the existing literature suggests at least two plausible pathways.^{38,39} First, PM can infiltrate the central nervous system (CNS) directly with a fraction of the particles that deposit in the nasal airways being absorbed through the nasal epithelium and transported retrogradely along the olfactory bulb to the brain.^{40,41} Second, inhaled PM activates lung airway irritant receptors, producing near-immediate systemic inflammation and oxidative stress through afferent autonomic nervous system pathways.^{42,43}

Toxicological evidence also suggests that short-term exposures to PM can produce neuroinflammation.^{44,45} An acute exposure to PM of 6 h in adult mice induced neuroinflammation, with the most notable effects observed in the hippocampus and olfactory bulb.⁴⁶ This resultant neuroinflammation likely involved one or both of the above pathways.

While these investigations provide evidence of a connection between short-term exposure to air pollution and abrupt initiation of neuroinflammation, the precise nature of this relationship remains uncertain. The extent to which it is attributed to particles directly entering brain tissue, systemic inflammatory reactions, or a combination of both mechanisms has yet to be determined.

In addition to neurophysiological pathways, air pollution could also disrupt cognitive functioning through psychological pathways. For example, exposure to high concentrations of PM may increase the risk of depression,^{47,48} although the time course of this effect, if it occurs, is likely to be relatively

Table 2. Summary of Test Scores and Indoor Air Pollutant Concentrations (mean \pm SD) for the Sham and Active Air Purifier Interventions by Test Period

| score/air pollutants | first test | | | second test | | |
|--|--------------------|----------------------|----------------|----------------------|--------------------|----------------|
| | classroom A (sham) | classroom B (active) | <i>p</i> value | classroom A (active) | classroom B (sham) | <i>p</i> value |
| | score | | | | | |
| listening (original score) | 30.8 (32.0) | 31.3 (32.5) | 0.93 | 29.6 (32.0) | 29.0 (30.0) | 0.24 |
| listening (<i>z</i> score) | −0.03 (0.12) | 0.03 (0.18) | | 0.04 (0.35) | −0.04 (0.09) | |
| reading (original score) | 43.2 (44.0) | 50.1 (53.5) | 0.002 | 50.5 (54.0) | 54.7 (58.0) | 0.14 |
| reading (<i>z</i> score) | −0.22 (−0.17) | 0.22 (0.44) | | −0.13 (0.08) | 0.12 (0.32) | |
| combined (original score) | 73.9 (76.0) | 81.3 (83.0) | 0.03 | 80.2 (86.0) | 83.7 (86.0) | 0.46 |
| combined (<i>z</i> score) | −0.18 (−0.08) | 0.18 (0.25) | | −0.08 (0.18) | 0.08 (0.22) | |
| | air pollutants | | | | | |
| PM ₁₀ ($\mu\text{g}/\text{m}^3$) | 56.1 (53.6) | 26.3 (24.5) | <0.001 | 23.5 (22.6) | 55.8 (54.4) | <0.001 |
| PM _{2.5} ($\mu\text{g}/\text{m}^3$) | 41.5 (39.9) | 12.5 (12.0) | <0.001 | 13.4 (13.1) | 40.2 (37.9) | <0.001 |
| PM _{1.0} ($\mu\text{g}/\text{m}^3$) | 37.1 (36.0) | 10.2 (9.7) | <0.001 | 12.0 (11.8) | 36.8 (34.6) | <0.001 |
| BC (ng/m ³) | 3008 (2876) | 1202 (1146) | <0.001 | 2764 (2870) | 5391 (5472) | <0.001 |
| SO ₂ (ppb) | 3.60 (3.30) | 3.40 (3.35) | 0.13 | 1.89 (1.78) | 1.72 (1.75) | 0.05 |
| NO _x (ppb) | 27.75 (24.10) | 26.81(26.30) | 0.26 | 93.17 (83.30) | 99.16 (97.35) | 0.85 |
| CO ₂ (ppm) | 1579 (668) | 1643 (501) | 0.57 | 1628 (657) | 1608 (567) | 0.86 |
| temperature (°C) | 23.8 (1.4) | 23.5 (2.0) | 0.29 | 23.4 (1.5) | 23.5 (1.1) | 0.72 |

Table 3. Linear Mixed Effects Model Effect Estimates (*z* Scores and 95% Confidence Intervals) of the Intervention, Test Period, and Intervention Order by Model Complexity

| test | variable | base model ^a | base + period + order ^b | full model ^c |
|-----------|--------------|--------------------------------|------------------------------------|--------------------------------|
| listening | intervention | 0.07 (−0.07, 0.20) | 0.07 (−0.06, 0.20) | 0.07 (−0.06, 0.20) |
| | period | | 0.02 (−0.11, 0.15) | 0.02 (−0.12, 0.16) |
| | order | | 0.01 (−0.25, 0.28) | −0.08 (−0.37, 0.21) |
| reading | intervention | 0.10 (0.00, 0.21) ^d | 0.10 (−0.01, 0.21) ^d | 0.11 (0.00, 0.22) ^d |
| | period | | 0.01 (−0.10, 0.11) | −0.02 (−0.14, 0.09) |
| | order | | −0.34 (−0.62, 0.07) | −0.47 (−0.75, −0.19) |
| combined | intervention | 0.10 (0.01, 0.20) ^e | 0.10 (0.01, 0.20) ^e | 0.11 (0.02, 0.21) ^e |
| | period | | 0.02 (−0.08, 0.11) | −0.01 (−0.11, 0.09) |
| | order | | −0.25 (−0.53, 0.03) | −0.30 (−0.58, −0.03) |

^aModel includes random effect for subject and fixed effect for intervention. ^bTest period and order of intervention fixed effects added to base model. ^cFixed effects added for sex, age, university department, intervention perception, and indoor gaseous pollutant concentrations. ^d*p* < 0.10. ^e*p* < 0.05.

prolonged. Some studies have also suggested that air pollution may affect an individual's performance by inducing minor irritation, which may produce a rapid reduction in cognitive function.^{49–51}

Our study has important strengths. First, by employing an experimental design (a double-blind, randomized crossover design), this study was able to show that reduced indoor PM pollution results in improved standardized test scores. Second, CET-4 is the only test that each college student takes in China. By using the scores of a CET-4 mock exam to reflect cognitive function, differences among several disciplines in the college can be minimized. Third, the experimental intervention only

targeted particulate matter; therefore, possible confounding effects of gaseous air pollutants should be excluded.

There are nevertheless some limitations to the study. First, the complete representation of cognitive function may not be adequately captured by test scores alone. Relying solely on the CET-4 test score as the primary outcome in this study may be somewhat limited. Incorporating standardized scales that assess various aspects of cognitive function, such as math, logic, and creative problem-solving, could more fully assess cognitive function. Second, we conducted mock tests only twice; more repetitions of the tests may have enhanced the certainty of the results. Third, because PM₁₀, PM_{2.5}, and PM_{1.0} concentrations were affected similarly by the intervention, the study was unable to determine which PM size range had the largest impact on test scores. Fourth, opening windows may cause a heterogeneous indoor environment with varying levels of air pollutants and temperature in different locations in the classroom and thus potentially affect the results. Future studies should consider a better ventilation method to exclude heterogeneous influences from the outdoor environment.

In conclusion, this intervention study found cognitive benefits of indoor PM purification among young college students using a “gold standard” study design that allows an inferential claim of an effect that is not merely an association. Future research could make use of other academic tests (e.g., math, creative reasoning, etc.) or nonacademic tests of cognitive performance (e.g., agility, reaction time, and visual accuracy),⁵¹ employ more vulnerable populations (e.g., children, the elderly), study other locations or settings, use interventions that allow other concentrations, or allow investigation of pollutants other than PM.

■ ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.est.3c10372>.

Detailed information on experiment design: the basic information on the air purifier (Text S1), the introduction of the CET-4 (Text S2), the order of the English tests (Text S3), and monitoring equipment and parameters (Table S1). Additional results of the study:

assessment of subject blinding by the perception of purification status (Table S2); distributions of z scores and original scores by intervention, test period, or perception of the intervention (Figures S1 and S2); linear mixed-effects model effect estimates of the intervention, test period, and intervention order by model complexity (Table S3); and change in z score associated with an IQR change of continuous indoor PM and particulate BC concentration (Table S4) (PDF)

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Notes

The authors declare no competing financial interest.

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