

1 Operant conditioning of neural activity in
2 freely behaving monkeys with intracranial
3 reinforcement

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24 Abstract

25 Operant conditioning of neural activity has typically been performed under controlled behavioral
26 conditions using food reinforcement. This has limited the duration and behavioral context for neural
27 conditioning. To reward cell activity in unconstrained primates, we sought sites in nucleus accumbens
28 (NAc) whose stimulation reinforced operant responding. In three monkeys NAc stimulation
29 sustained performance of a manual target-tracking task, with response rates that increased
30 monotonically with increasing NAc stimulation. We recorded activity of single motor cortex neurons
31 and documented their modulation with wrist force. We conditioned increased firing rates with the
32 monkey seated in the training booth and during free behavior in the cage using an autonomous
33 head-fixed recording and stimulating system. Spikes occurring above baseline rates triggered single or
34 multiple electrical pulses to the reinforcement site. Such rate-contingent, unit-triggered stimulation
35 was made available for periods of 1-3 minutes separated by 3-10 minute time-out periods. Feedback
36 was presented as event-triggered clicks both in-cage and in-booth, and visual cues were provided in many
37 in-booth sessions. In-booth conditioning produced increases in single neuron firing probability with
38 intracranial reinforcement in 48 of 58 cells. Reinforced cell activity could rise > 5 times that of non-
39 reinforced activity. In-cage conditioning produced significant increases in 21 of 33 sessions. In-cage rate
40 changes peaked later and lasted longer than in-booth changes but were often comparatively smaller,
41 between 13 and 18 percent above non-reinforced activity. Thus intracranial stimulation reinforced
42 volitional increases in cortical firing rates during both free behavior and a controlled environment, although
43 changes in the latter were more robust.

44 New and Noteworthy

45 Closed-loop brain-computer interfaces (BCI) were used to operantly condition increases in muscle
46 and neural activity in monkeys by delivering activity-dependent stimuli to an intracranial
47 reinforcement site (nucleus accumbens). We conditioned increased firing rates with the monkeys

48 seated in a training booth and also, for the first time, during free behavior in a cage using an
49 autonomous head-fixed BCI.

50

51 Introduction

52

53 Volitional control of neural activity is critical for reliable and robust control of brain-machine interfaces
54 (BMI). Indeed, BMIs can be seen as a form of neurofeedback that allows the user to see the consequences of
55 neural activity and change that activity to optimize control of the external device ([Fetz, 2007](#)). However, BMI
56 control is only a subset of the possible range of volitional control of neural activity that can be explored directly
57 with operant conditioning. Traditional techniques for operant conditioning of behavior in monkeys have limited
58 the scope of investigation to specific tasks, using food reward and visual feedback delivered in a training booth.
59 Constrained, task-related movements differ from natural behavior, and correlations between neural activity and
60 movement established under particular task conditions may not hold under non-task conditions ([Caminiti et](#)
61 [al., 1990](#)) ([Aflalo and Graziano, 2006](#)) ([Jackson et al., 2007](#)). The vast majority of nonhuman primate research
62 involving trained behavior has employed rewards in the form of food or water ([Taylor et al., 2002](#), [Carmena et](#)
63 [al., 2003](#), [Jackson et al., 2006](#)), further limiting the circumstances in which neural activity was explored. Here we
64 present a novel mechanism for rewarding neural activity during natural behavior using a closed-loop system
65 delivering neurally contingent brain stimulation reward (BSR).

66 Olds & Milner ([Olds and Milner, 1954](#)) ([Olds, 1958](#)) demonstrated that rats would press bars and navigate
67 mazes for BSR, which could reinforce operant responding as effectively as more conventional food and liquid
68 rewards. Later work by David Hiatt attempted to condition increases in single-unit activity using burst-triggered
69 BSR in rats ([Hiatt, 1972](#)). As candidates for conditioning he sought cells in hippocampus, cerebellum, midbrain
70 and superior colliculus that were not movement related. Recently, BSR was used to elicit rate increases in
71 prefrontal cortex neurons of freely behaving rats ([Widge and Moritz, 2014](#)). The ability of freely moving rats to
72 differentially control small groups of cortical neurons was demonstrated with food reward and continuous

73 auditory feedback ([Koralek et al., 2012](#)).

74 Several studies have explored the efficacy of BSR in non-human primates. In a freely behaving chimpanzee
75 Delgado et al deployed wireless closed-loop stimulation of reticular formation sites contingent on oscillations in
76 amygdala field potentials ([Delgado et al., 1970](#)). The triggering neural oscillations disappeared after a day of
77 activity-dependent stimulation, indicating that this form of stimulation was aversive. Later work showed that
78 monkeys will perform simple bar-press tasks for BSR in several structures, including the orbitofrontal cortex,
79 lateral hypothalamus, amygdala, medio-dorsal nucleus of the thalamus and nucleus accumbens ([Briese and](#)
80 [Olds, 1964](#), [Routtenberg et al., 1971](#), [Rolls et al., 1980](#), [Bichot et al., 2011](#), [Bowden DM, 2015](#)).

81 An interesting open question is whether monkeys can learn to control activity of single neurons with
82 intracranial electrical stimulation as the sole source of reinforcement. This would allow operant conditioning to
83 be performed during prolonged periods of free behavior, providing extended time and behavioral range to learn
84 volitional control of neural response patterns. BSR would enable delivery of reinforcement that is temporally
85 more precise than food or water rewards, and less disruptive of ongoing behavior. In this study we sought to
86 operantly condition activity of motor cortex neurons and electromyographic (EMG) activity of proximal limb
87 muscles, using activity-contingent BSR at sites confirmed to sustain behavior in a target-tracking task. To
88 compare the effects of the environment, we conditioned these activities both in the training booth and as the
89 monkeys moved freely about their home cage.

90 Methods

91 **Subjects and Training**

92 We used 3 male Macaca Nemestrina monkeys P, D and J (4-6 years old, weight 6.0, 5.6 and 4.0 kg). All surgical,
93 training and handling procedures were approved by the University of Washington Institutional Animal Care and
94 Use Committee.

95 Prior to surgeries, monkeys were trained to perform a 1D center-out force-target-tracking (FTT) task in which

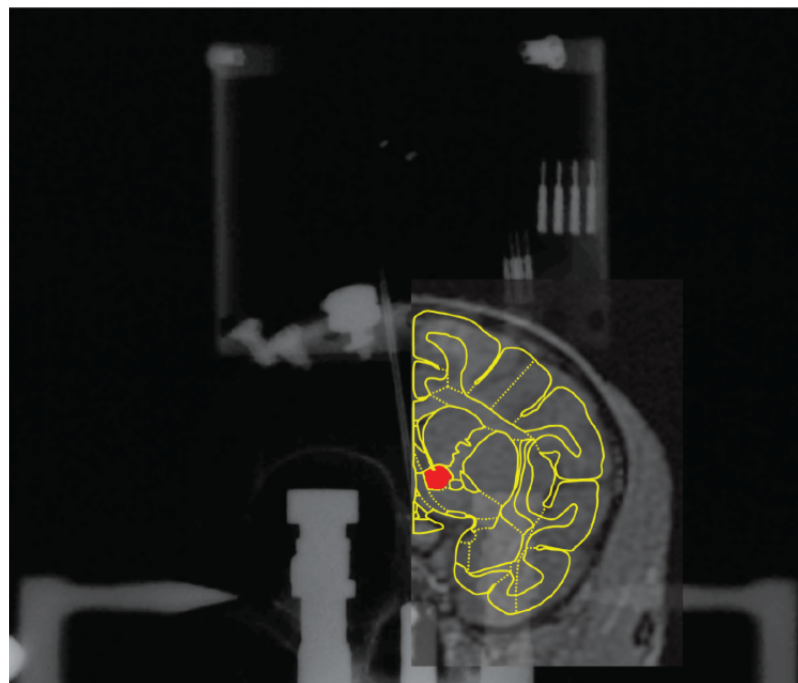
96 isometric wrist torque controlled the position of a cursor on a screen. When the cursor entered a target and
97 remained inside for the required time (1 second or less) a fruit sauce reward signaled completion of the trial.
98 Target placement on the screen determined the required direction and magnitude of flexion or extension torque
99 about the wrist. Peripheral targets were presented in random order with equal probability. Training was
100 complete when monkeys moved directly from center to each target, and held it inside for at least 1 second.
101 During experiments, the FTT task was performed daily to elicit task-related cell firing in motor cortex.

102 **Surgery and Implantation**

103 Cranial microwires and arrays of up to 16 cannulae were implanted in each monkey. The microwire arrays
104 ([Jackson and Fetz, 2007](#)) were positioned to advance along layer V in the caudal bank of the precentral gyrus,
105 where somata of many force-correlated cells (including corticomotoneuronal (CM) cells) have been identified
106 ([Rathelot and Strick, 2009](#)), ([Smith and Fetz, 2009](#)). The cannulae were positioned stereotaxically to guide
107 subsequent stimulating electrodes to the nucleus accumbens (NAc). Cannula-length stylets were placed in all
108 guide tubes and the protruding surface of the array was sealed in silastic. The open space between craniotomy
109 and array was packed with antibiotic-infused gelfoam. An acrylic base around the implantation site and
110 surrounding cranial screws formed the base for a cylindrical titanium chamber enclosing the microwire cannula
111 arrays and Neurochip ([Zanos et al., 2011](#)). Rhodes SNEX-100 concentric bipolar electrodes were inserted
112 subsequently into the cannulae after cold-sterilization of the chamber interior and electrodes with cidex.

113 To identify potential intracranial reinforcement electrode implant sites, we co-registered a magnetic
114 resonance image (MRI) and digitized brain atlas data ([National Primate Research Center, 1991-present](#)) to
115 determine the stereotaxic coordinates of prospective midbrain reinforcement loci (Figure 1). Monkey P
116 underwent MRI scanning prior to surgical implantation. Monkeys D and J were of similar size as atlas subjects, so
117 MRIs were not deemed necessary. We selected coronal image slices located +3 mm rostral from the anterior
118 commissure that contained the largest cross-section of the Nucleus Accumbens (NAc). Stereotaxic coordinates
119 of the target locus were measured relative to medial-lateral center and ear-bar zero. A straight-line diagonal

120 path to the target locus (center of NAc) that was 15 degrees lateral right with respect to the dorso-ventral axis in
121 the right hemisphere avoided major blood vessels and regions governing autonomic function. To address the
122 possibility of positioning error of entry sites, we implanted an array of 16 parallel cannulae spaced 1 to 1.5 mm
123 apart in a 10×10 mm grid centered at the best point of entry. Thus, in cases of slight angle misalignment or entry
124 location, the target locus might still be reachable by an electrode inserted in one of the neighboring cannulae.
125 Following implantation, unused cannulae were occluded with stylets and sealed with silastic to block potential
126 cranial infection.



127

128 Figure 1. Co-registration of cranial X-ray, MRI and brain atlas images. A coronal map of the M. Nemestrina brain
129 was morphed and superimposed on an MRI of Monkey P's brain. Both are positioned over an X-ray image
130 showing the chamber and housed hardware. NAc shown in red.
131

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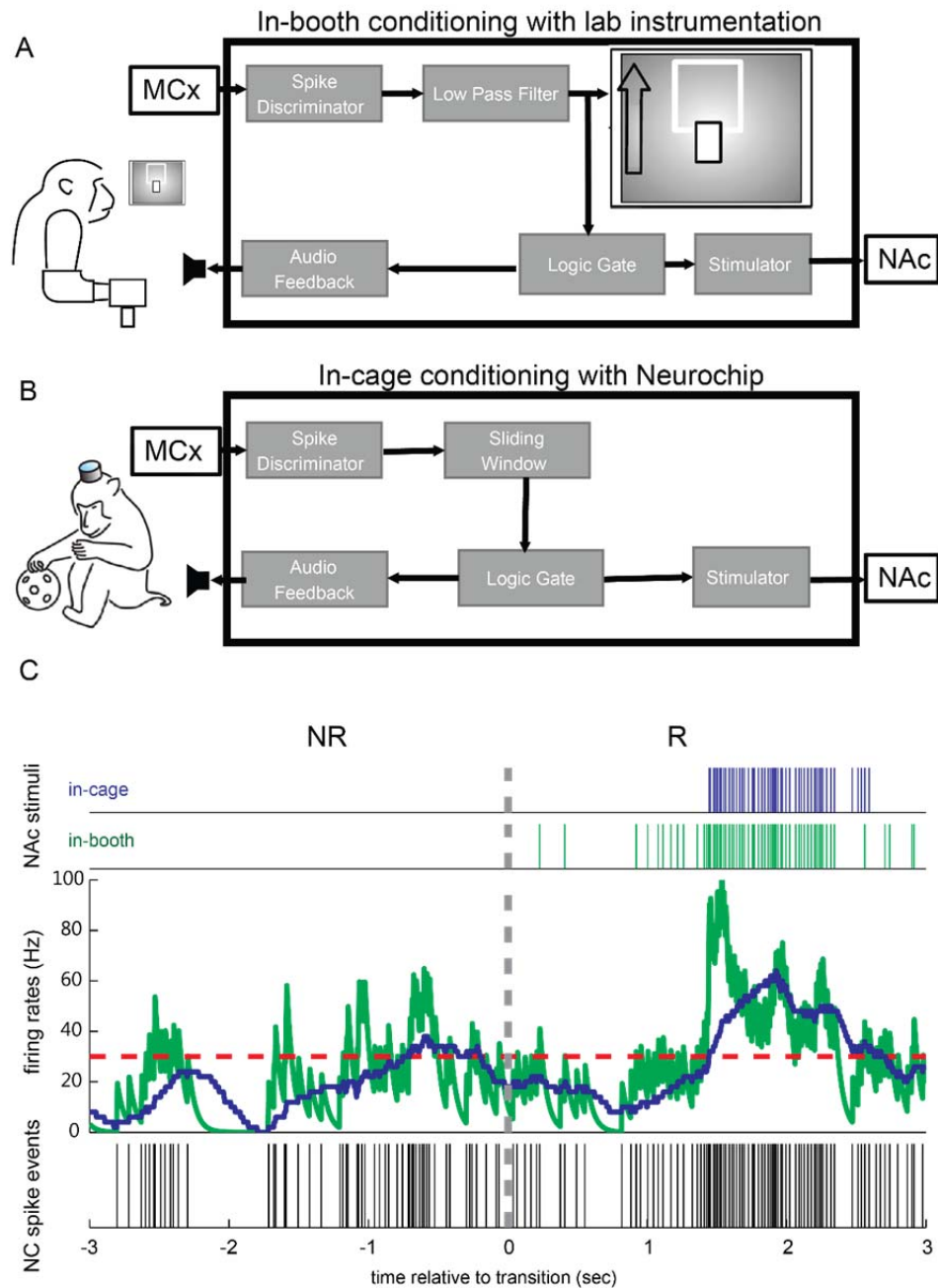
132 In monkey P, in addition to cranial implant procedures, we implanted pairs of EMG wires in three
133 proximal muscles of the monkey's right arm: the biceps brachii, triceps brachii and lateral deltoid. Muscle
134 activity was first operantly conditioned to verify efficacy of BSR in free behavior. The EMG wires were routed
135 subcutaneously around the shoulder, up the back and neck and terminated in connectors located inside the
136 cranial chamber for signal processing by the Neurochip.

137 **Verification of brain stimulation reward**

138 To identify intracranial brain sites whose stimulation sustains operant responding, we compared response rates
139 occurring during reinforcement (R) and visual feedback-only (FO) blocks in a FTT task. During R blocks, each
140 completed flexion or extension target hold triggered BSR. In FO blocks no stimulation was delivered, regardless
141 of task performance, but the FTT task could be performed. R and FO blocks were interleaved with non-
142 reinforcing (NR) blocks in which neither feedback nor reward were available. Stimulation consisted of trains of
143 symmetric biphasic square-wave current pulses. A low-frequency tone during R blocks served as a
144 discriminatory stimulus (in addition to FTT task auditory cues for target acquisition). Candidate sites were
145 considered to be “positively reinforcing” when monkeys performed wrist FTT at significantly greater rate during
146 R blocks than during FO blocks.

147 **Rate-contingent spike-triggered (RCST) stimulation**

148 Validated BSR sites were used to operantly condition cortical cell and muscle activity in two different settings: a
149 traditional in-booth setting using rack mounted equipment for recording and stimulation and an in-cage setting
150 using the Neurochip system (Figure 2A, B). The Neurochip (NC) employs an autonomous, battery-powered
151 computer chip programmed to detect and reward cell and muscle activity while monkeys moved freely about
152 their cages ([Mavoori et al., 2005](#)). It discriminated cortical cell or EMG activity patterns using dual time-
153 amplitude window discrimination and delivered stimuli contingent on discriminated events in real time. The
154 Neurochip2- HV is a second-generation version with improved capabilities for storage, processing and stimulus
155 range ([Zanos et al., 2011](#)). Alternating R/NR reinforcement schedules were used to distinguish the effects of BSR
156 in the operant conditioning paradigm. FO blocks were not used during these experiments. The in-booth
157 experiments utilized audio and visual feedback to distinguish between the periods, whereas the in-cage
158 experiments relied solely on audio feedback. The in-booth experiments lasted between 1 and 6 hours, while the
159 in-cage free-behavior sessions lasted considerably longer: 3-20 hours.



160

161 Figure 2. Experimental Conditions. **A.** Schematic of unit conditioning in booth. Activity of motor cortex (MC) cell
 162 generated pulses that were low-pass filtered and controlled cursor position on a screen. A logic gate triggered
 163 pulses when firing rate exceeded a threshold (green traces in C). Pulses stimulated nucleus accumbens (NAc)
 164 and auditory feedback tones. **B.** Schematic of unit conditioning during free behavior in cage. The Neurochip
 165 was programmed to detect spikes and compile a running average of rate; when this exceeded threshold, pulses
 166 triggered stimuli to NAc (blue traces in C) and auditory clicks. **C.** Conversion of NC spike events (bottom) to NAc
 167 stimuli (top) as firing rates exceeded threshold (red dashes) for in-booth and in-cage conditioning (green and
 168 blue, respectively)

169

170 During alternating R/NR conditioning, we approximated instantaneous firing rate in real-time using two
171 methods, depending on the environment (Figure 2). For most in-booth sessions, spikes were discriminated with
172 two time-amplitude windows and each spike event triggered a 1 ms wide square pulse. The pulse train output
173 (Fig. 2C bottom) was low-pass filtered ($\tau = 50$ ms) and amplified using an analog leaky integrator. These
174 operations produced a continuous signal (Figure 2C, green trace) that controlled cursor movements on the
175 display in front of the animal, providing visual feedback of rate relative to target (Figure 2A). When the activity-
176 controlled cursor entered the target, all subsequent in-target spike events triggered stimulation of the
177 reinforcement site. Stimulation events were often also used to trigger auditory clicks. We initially set the target
178 position just above baseline firing rate, and gradually raised its position over the course of conditioning to elicit
179 higher spike rates. Targets were presented only during R periods of the alternating R/NR task.

180 For in-cage sessions (Figure 2B), we pre-programmed the NC to perform a real-time sliding window
181 operation to estimate instantaneous spike rate (Figure 2.C, blue trace). The NC counted the number of spike
182 events within a 500 ms wide moving window that advanced every 10 ms. The NC delivered spike-triggered
183 stimuli on spike events that occurred when this estimated rate exceeded a threshold frequency (Figure 2C, red
184 dashed line). Threshold was determined from force target-tracking or in-booth R/NR task response averages
185 that revealed baseline and maximum firing rates of the particular cell. Typically, in-cage stimulation thresholds
186 were set at 75% of the observed maximum firing rate of the candidate cell. In later sessions, the NC governed
187 operant conditioning sessions both in the training booth and cage, to directly compare the effects of
188 environment.

189 Prior to conditioning, durations of alternating R and NR periods were randomly selected, with
190 replacement, from uniform distributions spanning 1 to 2 min for R and 3 to 5 min for NR. We employed random
191 period durations, within limits, to reduce the monkeys' ability to anticipate transitions in the reinforcement
192 schedule.

193 **Data Analyses**

194 *Time series analysis detects rate changes in the alternating R/NR task*

195 To determine whether firing rates during R and NR periods were significantly different, we calculated
196 time-averaged rates during R and NR periods over each conditioning session (e.g., figure 5, left) and pooled
197 them to show rate difference between R and NR periods overall (figure 5, right). Confidence intervals for the
198 time averaged means were computed using a non-parametric bootstrap method based on the Poissonian
199 property of independent inter-spike intervals (ISIs) ([Dayan and Abbott, 2001](#)). Specifically, ISIs from each period
200 were randomly drawn with replacement and then summed until their cumulative duration nearly matched the
201 period duration. The number of events comprising the drawn sample divided by period duration produced an
202 estimate of time-averaged rate. Repeating the process 499 times generated a bootstrap distribution of time-
203 averaged rates from which the surrounding 95% confidence interval was determined for each period (T-bars,
204 figure 5). To detect statistically significant patterns in neural activation produced by reinforcement, we
205 computed serial correlation and von Neumann ratio test statistics on the sequence of alternating R-NR-R... time-
206 averaged rates for each conditioning session. These statistics and methods of significance appraisal are
207 described in detail in ([Eaton, 2014](#)).

208 209 *Peri-transition spike activity plots and spike shuffling*

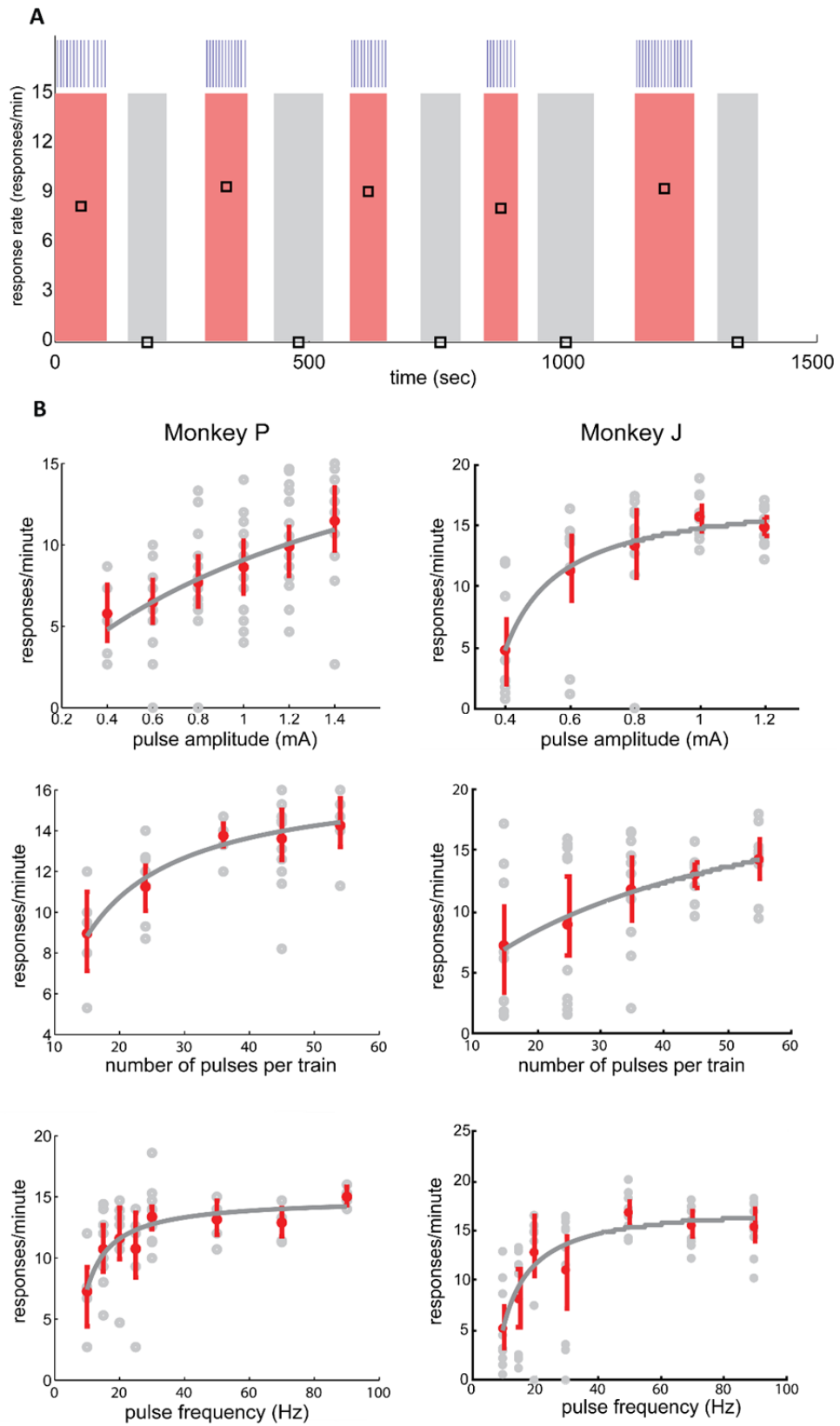
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211 To document changes in neural activity around the transitions between R and NR periods we compiled
212 peri-transition histograms of spike activity (figures 6-8). Snippets of the spike trains from 75 seconds before to
213 75 seconds after each transition were extracted and combined into peri-event spike histograms (binwidth = 50
214 ms) (e.g., figure 6, black histograms) and consolidated into a single dense train that was convolved with a
215 Gaussian kernel (figure 6, solid red). Shuffled spike rates were obtained by drawing samples with replacement
216 from the list of observed spike events and similarly smoothed (figure 6, solid gray). The process was repeated
217 199 times to generate a bootstrapped distribution of rate traces from which confidence interval boundaries
218 were calculated ([Davison and Hinkley, 1997](#); [Eaton, 2014](#)) (figure 6, dashed gray). Domains in which the

219 observed rates diverged outside the confidence interval of the shuffled rates indicate features in peri-transition
220 spike activity that could not be explained as random fluctuation.

221 Results

222 **Accumbens stimulation reinforces target-tracking behavior**

223 We tested the efficacy of candidate reinforcing sites by measuring the monkeys' rate of responding in a manual
224 force target-tracking (FTT) task which they had been trained to perform with applesauce reward. At effective
225 sites trains of stimuli (25 1-mA-pulses at 50 Hz) delivered upon completion of 1-second force holds reinforced
226 further responding. As shown in Figure 3A, the monkey responded at regular rates during reinforcement (R)
227 periods when target completions triggered trains of brain stimulation reward (BSR). Response rates during R
228 periods were significantly higher ($p < 0.001$) compared to interleaved periods during which only feedback was
229 presented and no stimulation was delivered (FO-periods). At the onset of the R periods, which were cued by a
230 tone, response rates often returned quickly to those of the previous R-period. As a comparison, FTT task
231 response rates for applesauce reward typically ranged between 10 and 13 responses per minute for the three
232 monkeys.



233

234 Figure 3. NAc stimulation reinforces target-tracking behavior. **A.** Average response rates (black squares) during

235 reinforced (R, pink) and feedback only (FO, gray) periods of the wrist force target-tracking task. Clear intervals
236 are non-reinforced periods. Blue ticks (top) mark trial completions. During R periods, each completed flexion or
237 extension hold triggered behaviorally-reinforcing brain stimulation (BSR).

238 **B.** Rate of target-tracking responding increases monotonically as a function of increasing BSR intensity. Data
239 from monkey P (left column) and monkey D (right). Force target-tracking response rates (gray circles) and
240 response rate means and standard errors (red) are plotted as functions of one varied stimulation parameter:
241 current intensity (top), number of pulses (middle) or frequency (bottom). In each case the other two parameters
242 remained fixed, at 1 mA, 50 Hz or 25 pulses per train. Gray curves depict response rates predicted by regression-
243 fitted Law of Effect model using parameters in Table 1.

244
245

246 **Target-tracking rates as function of BSR parameters**

247 In order to determine appropriate stimulation parameters for conditioning cortical cell activity, we documented
248 rates of target-tracking responses for different values of three BSR parameters: current intensity, pulse
249 frequency and number of pulses per stimulus train. Each of these parameters was varied while the other two
250 remained fixed. Fixed values were 1 mA for current intensity, 50 Hz for pulse frequency and 25 pulses per train.
251 For each varied parameter, the values in the desired range were repeated 10 times, delivered in a randomized
252 sequence, to eliminate possible “history effects”.

253 Figure 3B. depicts target-tracking response rates as a function of each stimulus parameter in monkeys P and D.
254 In all cases, the response rates R as a function of the tested stimulation parameter r were well characterized by
255 nonlinear-regression-fitted curves of the Law of Effect model:

$$256 \quad R(r) = \frac{k(r-r_{th})}{(r-r_{th})+r_e} \quad \text{Eq. 1}$$

257 where r_{th} is the threshold level, or lowest value at which the stimulus parameter supported self-stimulation, and
258 r_e represents the aggregate reinforcement for all non-operant responses ([Herrnstein, 1970](#)). Table 1
259 summarizes fit statistics for each of the plots. The response curves indicate that ~80-90% of maximal responding
260 (horizontal asymptote of each plot) occurred for stimulation parameters 1 mA and 50 Hz.

261 In subsequent cell and muscle conditioning experiments pulse amplitude was set to 1 mA. Bursts of elevated
262 spike rates triggered pulse trains at frequencies approaching 50 Hz. For slowly firing cells (e.g. <10 Hz), multiple
263 stimulus pulses (delivered at 50 Hz) were triggered for each RCST stimulus event.

264

265 Table 1. Single response Law of Effect model fit parameters and statistics

266

<i>Varied parameter</i>	<i>Monkey</i>	<i>k</i>	<i>r_e</i>	<i>r_{th}</i>	<i>MSE</i>
Pulse amplitude (mA)	P	22.45	1.47	0.00	0.15
	D	17.64	0.13	0.35	0.31
No. pulses per train	P	16.65	7.31	6.75	0.16
	D	23.72	37.00	0.00	0.04
Pulse frequency (Hz)	P	14.89	4.00	6.05	0.35
	D	17.74	6.86	7.32	1.46

267 *Table 1. Parameters fit to single response Law of Effect model (eq. 1.). The fit parameters are: k, the maximal response rate asymptote (responses/min.), r_e, the aggregate reinforcement for all non-operant responses, and r_{th}, the threshold level, or lowest value at which the varied stimulus parameter supported self-stimulation. MSE: mean squared error of the model fit using non-linear regression.*

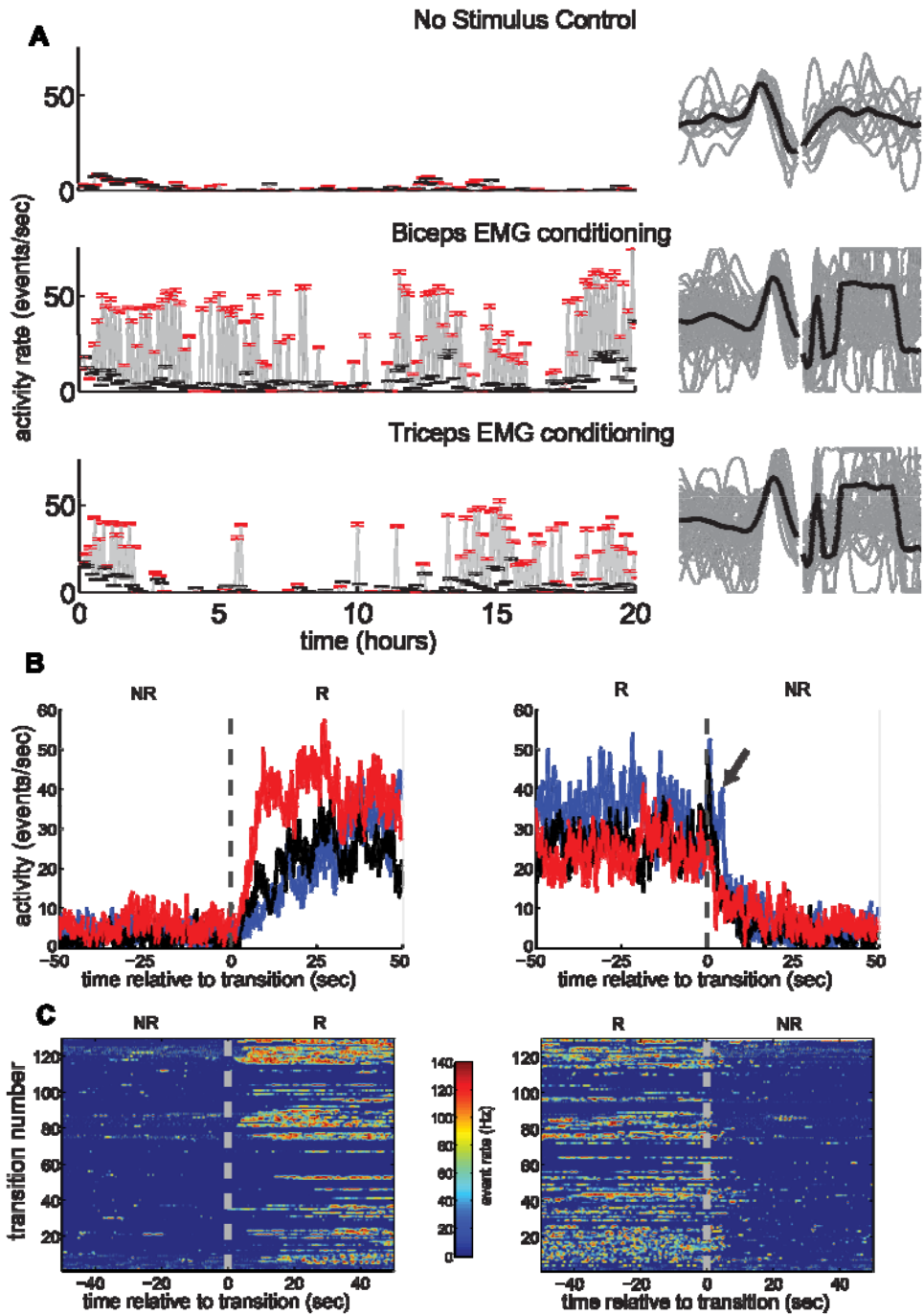
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271 **Muscle activity reinforced during free behavior with BSR**

272

273 To confirm the efficacy of BSR sites during free behavior we tested in-cage conditioning using EMG activity of
274 upper limb muscles as the operant in Monkey P. The time-amplitude window discriminator detected biphasic
275 waveforms in the multi-unit EMG signal (Figure 4A right) and generated acceptance pulses whose frequency
276 increased with intensity of muscle contraction. During reinforcement periods the mean rates of biceps EMG-
277 generated pulses were significantly larger than during intervening non-reinforced periods (Figure 4A left), and
278 the monkey was observed to flex his arm during reinforcement periods. With biceps conditioning these
279 differences were maintained for up to 20 hours of conditioning. Significant differences were also seen with
280 triceps conditioning (Figure 4A).

281



282

283 Figure 4. **A.** NAc stimulation reinforces muscle activity in-cage. Top: Baseline control session in which no
 284 stimulation was delivered during either "R" (red) or NR (black) periods. Each data-point indicates mean EMG
 285 activity over 5 minutes, and surrounding whiskers mark standard error boundaries. Middle and Bottom: EMG-
 286 contingent stimulus pulses delivered to NAc during R- periods. Right: EMG potentials that triggered stimulation
 287 over each 20-hour session (gray), and their averages (black). Accepted biphasic patterns are followed by artifacts
 288 from triggered stimuli. **B.** Averages of biceps muscle activity surrounding NR-R schedule transitions (left) and R-
 289 NR transitions (right), shown separately for first third (blue) middle third (black) and last third (red) of the
 290 session. **C.** Peri-transition biceps activity during the 20-hour conditioning session, showing NR-R (left) and R-NR
 291 (right) transitions. Ordinates count the transitions over the course of the 20-hour session. The color indicates the

292 rate of biceps EMG activity (see scale).
293

294 The transitions between periods of reinforcement (R) and non-reinforcement (NR) showed further evidence of
295 learning to perform the biceps responses. Separate averages around these transitions for the initial, middle and
296 final third of the session (Figure 4B) show progressive changes in responding over the course of the conditioning
297 session. For the NR-to-R transitions rate increases were comparatively low and gradual during the first 6 hours,
298 moderate during the middle period, and greatest and fastest during the last 6 hours. Interestingly, the R-to-NR
299 transitions exhibited a brief increase in responding after the cessation of reinforcement for the first and middle
300 thirds of conditioning (arrow), and no such peak in the last third. Since the monkey had no discriminative
301 stimulus to distinguish R and NR, this behavior is consistent with initial attempts to sustain reinforcement that
302 drop out after sufficient experience with the transition. The raster plots in Figure 4C show color-coded rates for
303 the individual transitions and their variability in more detail. These data confirm that BSR can effectively
304 reinforce an operant, muscle activity, for long periods of time during free behavior.

305 **Overview of cell conditioning sessions**

306 Table 2 summarizes results from all sessions in which cortical cell activity was conditioned with BSR for the three
307 monkeys, categorized by environment: booth or cage. Given sufficient stability and unit isolation, we often
308 conditioned the same cell over repeated sessions. Determining the appropriate conditioning procedures
309 included about 70% of in-cage attempts that were deemed invalid for one or more of the following reasons: 1)
310 Neurochip malfunction, 2) loss of action potential isolation, and 3) improper conditioning parameters.

311

312

313 **Table 2. Summary of all effects from cortical spike-triggered BSR**
314 **conditioning attempts.**

in-booth				in-cage			
effect type			% success	effect type			% success
+	0	-		+	0	-	

Monkey P	cells:	7	2	1	70.0	--	--	--	--
	sessions:	7	4	4	46.7	--	--	--	--
Monkey D	cells:	21	3	2	80.8	3	1	0	75.0
	sessions:	38	12	4	70.4	3	2	0	60.0
Monkey J	cells:	20	2	0	90.9	6	2	1	66.7
	sessions:	42	14	1	73.7	18	9	1	64.3
Total	cells:	48	7	3	82.8	9	3	1	69.2
	sessions:	87	30	9	69.0	21	11	1	63.6

315

316 *Table 2. Summary of all effects from cortical spike-triggered BSR conditioning attempts across subjects, cells, sessions and conditioning*
317 *environments. Key: "+"denotes statistically significant increases during R-periods compared to NR-periods, "0"s mark cases where no*
318 *significant changes were observed between R and NR activities and "--" indicate cases when NR-period spike rates were significantly*
319 *greater than R-period rates. Often the same cell underwent conditioning in multiple sessions. In this table, a given cell was tallied as*
320 *generating a positive effect though it may have produced null or negative effects in other conditioning attempts. Cells that showed null (0)*
321 *and negative (-) effects over multiple sessions were categorized as null.*

322

323 **Spike-triggered NAc stimulation reinforces increased motor cortex cell activity**

324 During reinforcement periods the monkeys received spike-triggered BSR when the instantaneous spike rate

325 exceeded a pre-determined threshold. Table 3 summarizes conditioning parameters used for each of the

326 illustrated sessions.

327 **Table 3. Summary of conditioning parameters used for each example**
328 **conditioning session.**

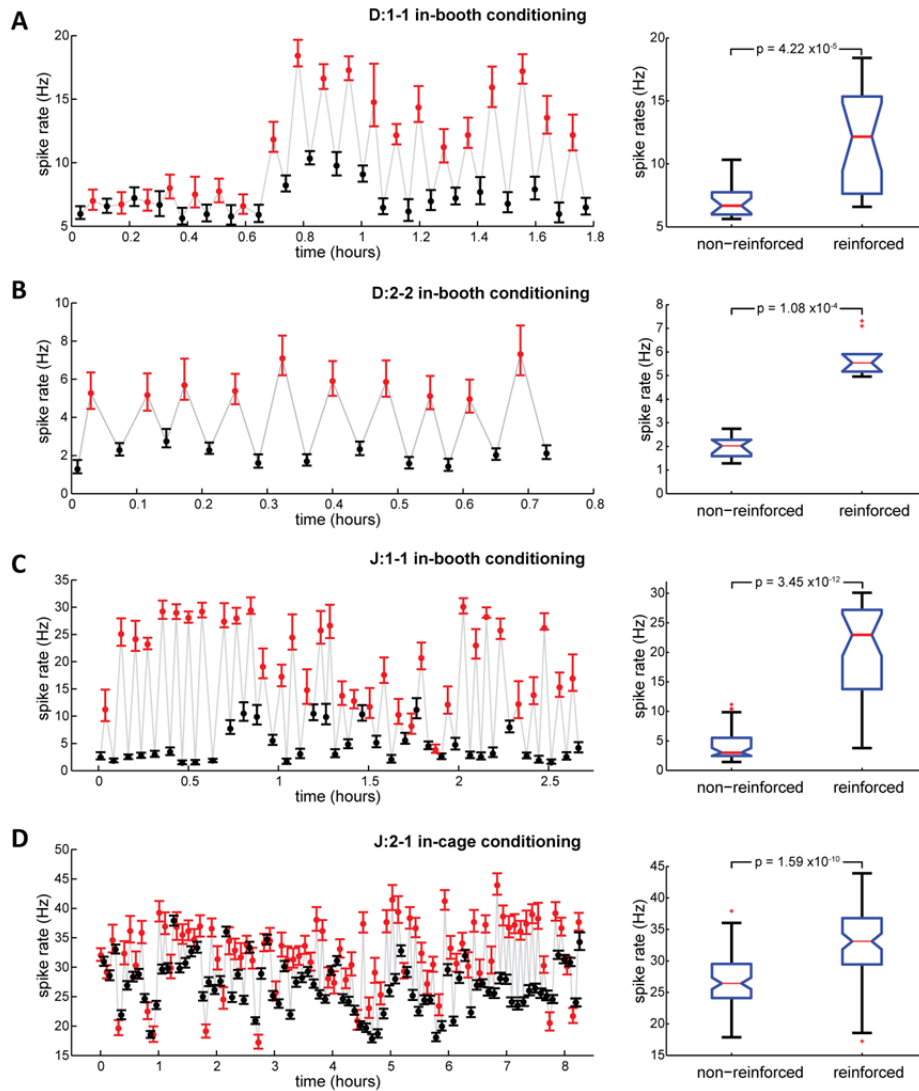
Session Key	Date	Environ- ment	Conditioning equipment	R-period duration (min)	NR-period duration (min)	Rate threshold (Hz)	Trigger-to- stim. pulse Ratio	Sensory Feedback	Figures
P:1-Biceps	11/24/2007	cage	NC1	5	5	none	1:1	none	4
P:2-Triceps	11/25/2007	cage	NC1	5	5	none	1:1	none	4
D:1-1	5/24/2010	booth	rack	1-2	3-5	20	1:1	VC, AC1	5A,9
D:2-2	9/3/2010	booth	rack	1-2	3-5	15	1:3	VC, AC1	5B,6A,9
J:1-1	11/10/2012	booth	rack	1-2	3-5	30	1:1	VC, AC1	5C,6B,9
J:2-1	11/28/2012	cage	NC2-HV	1	5	50	1:1	AC2	5D,6C,7,9
J:3-1	2/22/2013	booth	NC2-HV	2	5	60	1:1	AC2	8
J:3-1	2/22/2013	cage	NC2-HV	2	5	60	1:1	AC2	8

329

330 *Table 3. Summary of conditioning parameters used for each illustrated conditioning session. In the table above, we list session keys (in the*
331 *format: Monkey:Session-Cell), dates, conditioning environments, equipment used, schedule durations, rate thresholds, trigger-to-stimulus*
332 *pulse ratios, and sensory feedback used and text figures for each of the example sessions depicted in the results. In all sessions, BSR stimuli*
333 *were delivered in 0.2 ms wide, biphasic square pulses at 1 mA intensity. The conditioning equipment used are the NC1: Neurochip, Rack,;*
334 *rack-mounted instrumentation, and NC2-HV: the High Voltage Neurochip2. The feedback types are: VC: visual cues in the form of a rate-*
335 *guided computer cursor, AC1: auditory cues in the form of spike-triggered clicks produced by rack-mounted equipment, AC2: clicks on BSR*
336 *pulse delivery generated by the Neurochip2-HV.*

337

338 Figure 5A-C shows average motor cortex neuron spike rates during three representative conditioning sessions
339 performed in the training booth. Robust increases in firing rates were observed during R-periods as compared to
340 the intervening NR-periods, showing successful acquisition of the neural operant. In all plots, rates were
341 significantly greater in R than NR periods, as indicated by predominantly non-overlapping confidence intervals.
342 Figure 5D shows an in-cage conditioning session in which monkey J moved freely about his home cage and the
343 Neurochip2 delivered RCST accumbens stimulation in an alternating R/NR schedule over 8 hours. Average firing
344 rates were statistically greater in R-period compared to NR periods; however these differences were smaller
345 than those observed for typical in-booth-conditioning sessions.



346

347 Figure 5. Response rates for cortical neurons during in-booth conditioning (A-C) and in-cage conditioning (D). A
 348 and B. initial and later sessions with monkey D. C. in-booth session with monkey J. D. in-cage session during free
 349 behavior with monkey J. Left: Points mark average rates during reinforced periods (red) when RCST stimulation
 350 was available and during non-reinforced periods (black). Bars denote 95% confidence intervals. Right. Statistics
 351 of cortical cell spike rate during R and NR periods shown as box plots. In each box, the central red line marks the
 352 distribution median, and blue box extremities depict upper (75%) and lower (25%) quartiles. Red crosses plot
 353 rates with values outside of whisker boundaries. Notch height shows approximate limits of confidence intervals
 354 about their median at the 5% significance level.
 355

356

The alternating rate patterns described above give rise to robust, statistically significant time series

357

measures, namely serial correlation and von Neumann's ratio ([Eaton, 2014](#)). Alternating rates are obvious from

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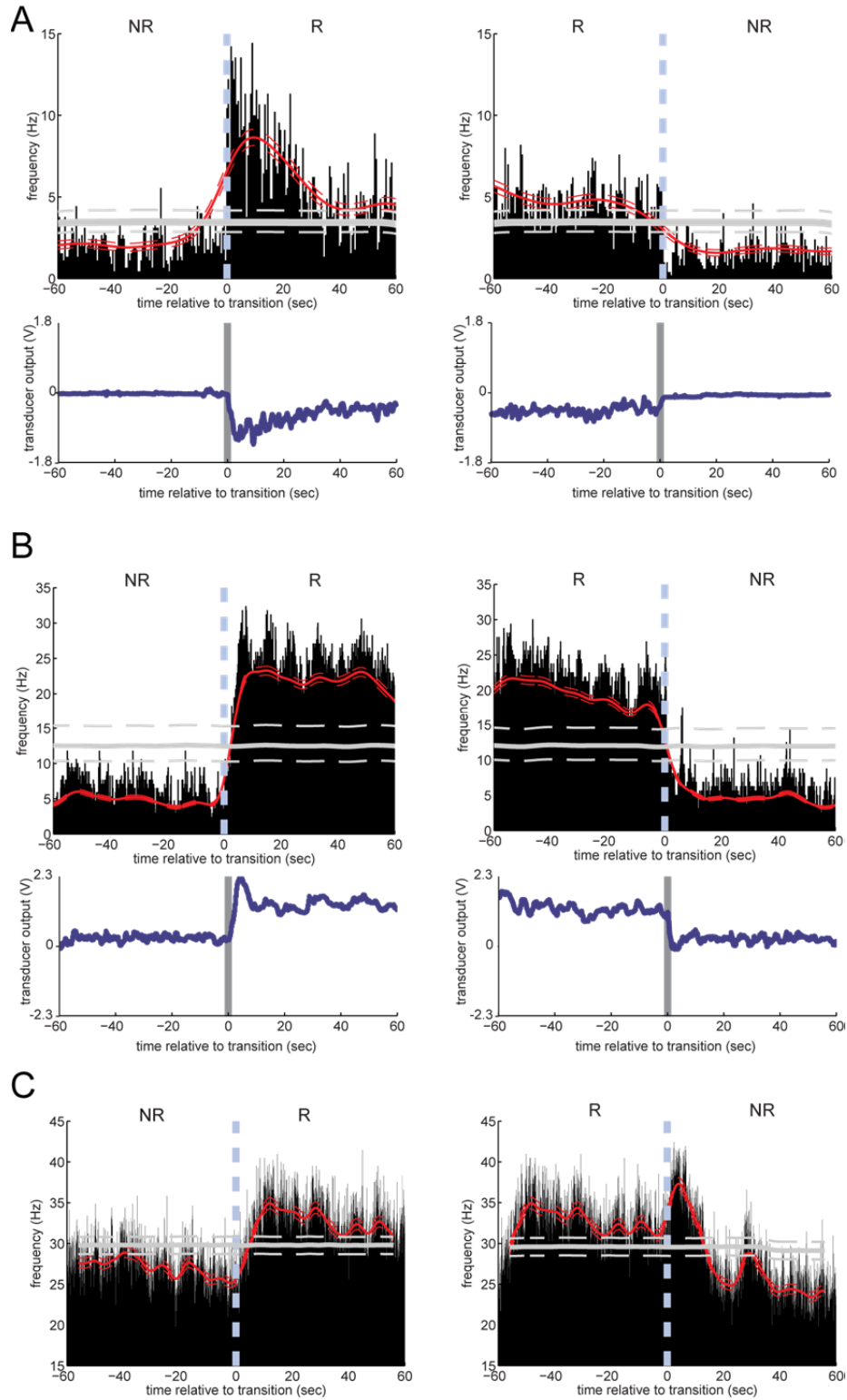
inspection of in-booth conditioning sessions, but are less apparent for the in-cage session. Serial correlation and

359 von Neumann's ratios measure pattern in time series from which statistical significance can be approximated
360 through randomization and Monte Carlo approximation methods. These analysis techniques confirm significant
361 patterns in these series of time-averages that might otherwise not be evident ([Eaton, 2014](#)).

362 Box plots (Figure 5, right) summarize distributions of reinforced and non-reinforced time averages across each
363 session. For both monkeys, NR distributions have lower medians and were less variable than the R group
364 distributions. These differences are statistically significant in all four examples as assessed by the Kruskal-Wallis
365 test.

366 **Peri-transition activity patterns**

367 For further insight into behavioral mechanisms we documented the changes in firing rates associated with
368 transitions between R and NR periods. Figure 6 shows histograms and smoothed rate traces of neuron spike
369 trains during NR-R and R-NR transitions. For comparison, the overall average rates and 95% confidence intervals
370 are illustrated by grey solid and dashed lines, respectively. Statistically significant deviations from chance occur
371 where the red rate trace exceeds the "chance band". Two sets of peri-transition averages, one for monkey J and
372 one for monkey D exemplify robust rate increases observed across NR-R transitions while the animals
373 underwent RCST stimulation conditioning while under restraint in the training booth. In session J1-1 (Fig. 6B),
374 monkey J produced a four-fold increase in motor cortex cell spike rate and kept rates elevated, on average, for
375 the full duration of reinforcement. During in-booth sessions, activity peaked early, usually within 10 seconds
376 following the NR-R schedule transition, and then decayed over the remainder of each reinforced period. During
377 in-cage conditioning activity peaked later in the R period. Spike activity dropped quickly following R-NR
378 transitions both in-booth and in-cage. However, as shown in Figure 6C, NR spike activity tended to be more
379 variable in the cage than in the booth.



380

381 Figure 6. Peri-transition histograms of neuron spike activity during in-booth (A,B) and in-cage (C) conditioning.
 382 For each session, spikes occurring during two-minute intervals straddling NR-R transitions (left) and R-NR
 383 transitions (right) are pooled and binned into histograms above. Light-blue dashed vertical lines at $t = 0$ mark

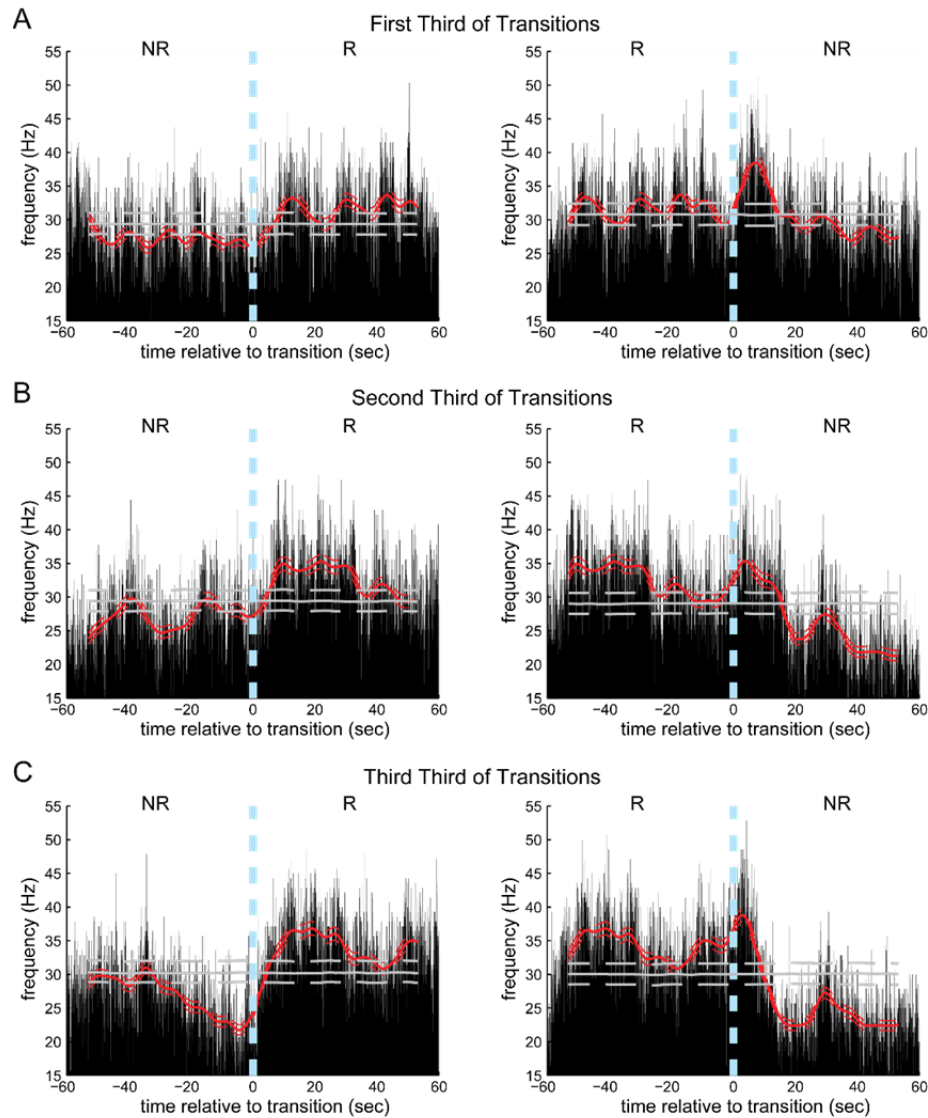
384 onset and offset of activity-dependent BSR. The point-density estimate of spike rate (thick red line) and its 95%
385 confidence band limits (dashed red lines) overlay corresponding histograms. Horizontal gray lines show averages
386 (solid) of sweeps, and surrounding 95% confidence intervals (dashed) after spike shuffling. Red line shows
387 smoothed firing rates where the smoothing kernel width was determined by overall spike rate ([Davison and](#)
388 [Hinkley, 1997](#)). The slow early rise in A is a result of low NR baseline rates followed by an abrupt increase.
389 Bottom: averages of flexion-extension torques recorded concurrently. Depicted here are peri-transition
390 activities from sessions: A. D2-2 and B. J:1-1. C. Peri-transition histograms of spike activity conditioned in-cage
391 during session J:2-1. The NC2 delivered rate-contingent, spike-triggered BSR in the alternating R/NR schedule.
392

393 Instrumentation in the training booth allowed us to record wrist torque during unit conditioning. In all examples,
394 motor cortex neurons modulated their activity during dynamic and/or static phases of the force target tracking
395 task. Peri-transition averages of the isometric torque signals show increased torques during R periods that
396 accompanied spike rate increases and corresponding reduction of torque generation during NR with lower
397 cortical spike rates (Figure 6A,B).

398 Consistent with the parallel analysis of sequential time-averages (Figure 5, left), the increases in spike rates
399 across NR-R transitions were greater in cells conditioned in-booth than in cells conditioned in-cage.

400 **Rate changes of motor cortex cell spike activity conditioned in-cage**

401 As with EMG activity (Figure 4), for in-cage unit-conditioning the relative increases in BSR-reinforced spike
402 activity were smallest, compared to NR-period activity, during the first third and greatest during the final third of
403 the session (Figure 7). A transient increase in spike rate also followed R-NR transitions, when high-frequency
404 spike bursts no longer triggered NAc stimulation. A similar post-extinction burst effect was seen in R-NR peri-
405 transition averages of in-cage conditioned biceps activity (Figure 4B) of the first and middle third session
406 averages. Unlike muscle conditioning however, the extinction burst in spike activity, though markedly reduced,
407 did not completely disappear during the final third of the unit-conditioning session.



408

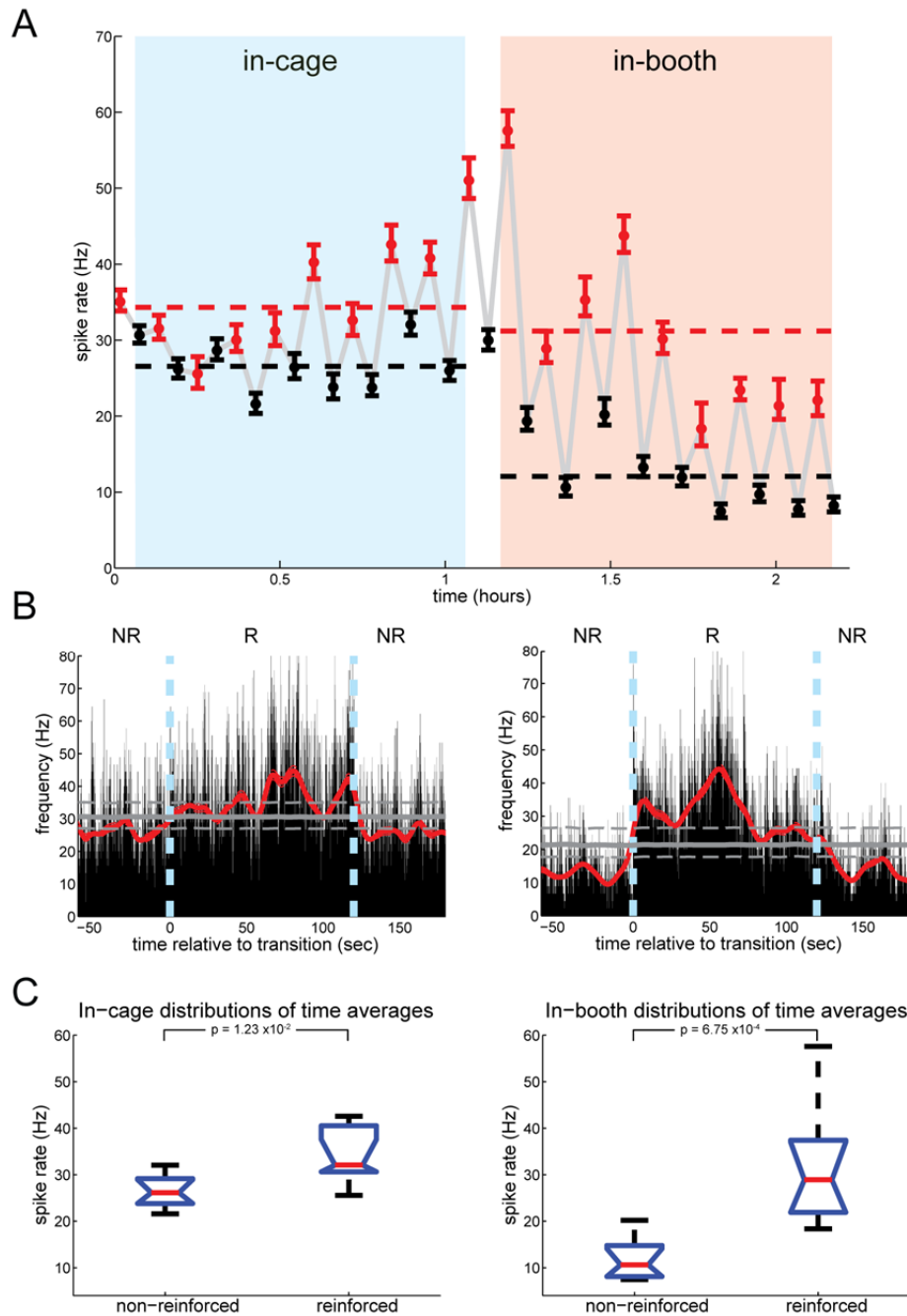
409 Figure 7. In-cage-conditioned spike activity grouped by first third (A), second third (B) and final third (C) of
 410 session. Spike activity from the example shown in Figure 6C.

411

412 **Cell conditioned in both environments reveals greater efficacy of in-booth conditioning**

413 The above evidence suggests that greater conditioning effects were obtained during in-booth conditioning with
 414 restraint and visual feedback than during in-cage sessions with free behavior. This could have been due to the
 415 slight difference in reinforcement paradigms (Figure 2) as well as environment. For a definitive comparison, we
 416 conditioned the same cell, using identical conditioning parameters, both in the training booth and as monkey J

417 moved freely about his cage. Figure 8 shows rates when spikes from a motor cortex neuron triggered NAc
418 stimulation during elevated firing rates. Stimulation was available during 2-min R periods alternating with 5-min
419 NR periods. During the first hour, the monkey underwent unit conditioning while he moved freely about his
420 cage; he was then transferred within 6 minutes to his training booth and restrained. The Neurochip delivered
421 identical conditioning stimulation in both environments. During reinforcement periods, single 1mA biphasic
422 pulses were delivered to NAc on each event that exceeded 30 counts within a 500-ms-wide sliding window
423 updated every 10 ms. Figure 8A plots cell spike activity as time-averaged rates. Horizontal dashed lines show
424 group means of reinforced and non-reinforced intervals for each environment (red and black respectively). The
425 Neurochip generated an auditory click on each stimulation pulse event to provide a discriminative stimulus. No
426 visual feedback was provided in either environment.



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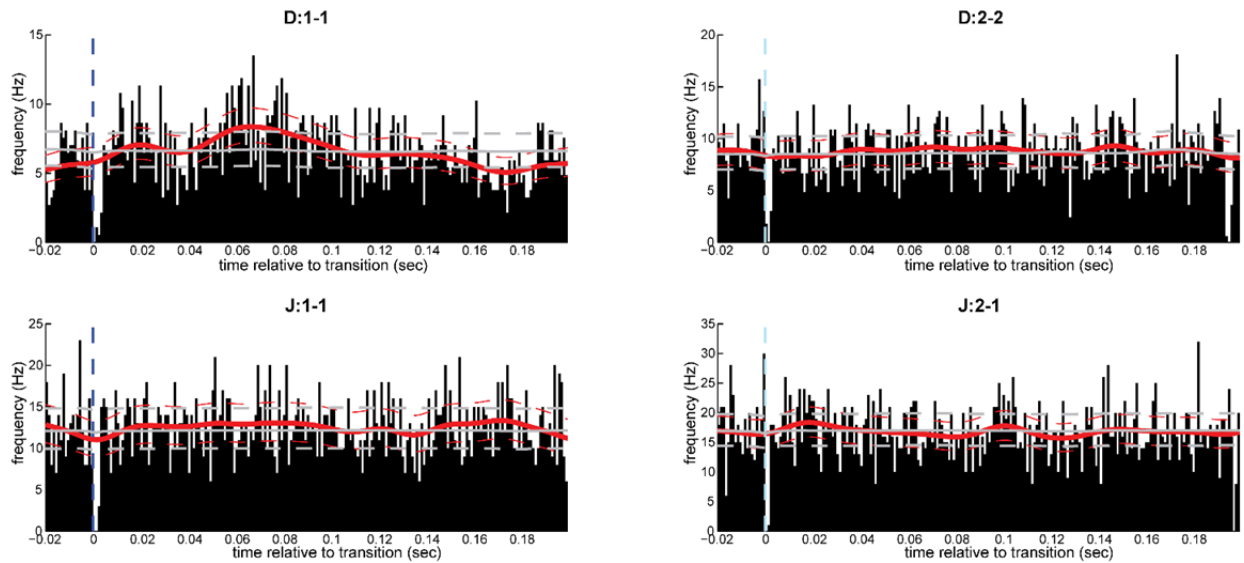
437

Figure 8. Rates of motor cortex neuron conditioned in-cage and in-booth with identical parameters. A. Average firing rates during R (red) and NR (black) and surrounding 95% confidence intervals. The monkey was in his home cage (light blue background) during the first hour and then quickly transferred to the training booth during second hour (tan). B. Peri-transition spike averages compiled during conditioning periods in-cage (left) and in-booth (right). Horizontal lines show overall mean rate for each environment. The Neurochip controlled conditioning in both environments; it ran continuously during the 6 minute transfer interval, and continued uninterrupted through the entire session. C. Statistics of cortical cell spike rate during R and NR periods shown as box plots for in-cage (left) and in-booth (right). The p values were obtained from the Kruskal-Wallis test.

438 The progression of alternating time-averages of reinforced and non-reinforced cortical cell firing rates show
439 statistically significant increases during periods of BSR reinforcement compared to the intervening NR periods,
440 both in the training booth and the end of in-cage conditioning. Comparisons between distributions of pooled R
441 and NR time averages show statistically significant increases during reinforcement (Figure 8C), in both the cage
442 and the booth. The group median of NR period averages during in-cage conditioning (25 Hz) was substantially
443 greater than the median of the NR group during in-booth conditioning (13 Hz), indicating higher baseline rates
444 during free behavior. Peri-transition firing rates (Figure 8B) also show higher baseline activity during in-cage than
445 in-booth NR periods and show that cell firing peaked midway through the two-minute reinforcement interval.

446 **Accumbens stimuli do not evoke cortical responses**

447 Recent anatomical investigations ([Miyachi et al., 2005](#), [Miyachi et al., 2006](#)) suggest a pathway through which
448 input from the NAc could reach primary motor cortex more directly than the well-established striatal-pallidal-
449 thalamo-cortical circuit ([Alexander et al., 1990](#)) ([Parent and Hazrati, 1995](#)). To address this possible confound of
450 direct stimulus-evoked effects in cell firing, we delivered continuous 5 Hz test pulses to the BSR site while
451 recording spike activity of the candidate cell prior to each conditioning session. None of the candidate cells
452 exhibited statistically significant increases in firing probability at any latency between 0 and 200 ms following
453 single-pulse stimuli delivered to NAc at the current intensity (1 mA) used for BSR. The four representative cases
454 in Figure 9 show that the 95% confidence intervals surrounding kernel-smoothed traces of the observed spike
455 event sequences (red) did not exceed chance levels (gray), indicating that the modest transient fluctuations in
456 spike probability in these histograms did not achieve statistical significance. Thus, striatal-cortico linkage did not
457 contribute directly to increases in cortical cell spike activity during unit conditioning with BSR.



458

459 Figure 9. Peri-stimulus spike histograms compiled from spike trains surrounding single-pulse stimulation of NAc
 460 reinforcement sites. Histograms were compiled just prior to example experiments depicted in Figure 5A and
 461 Figure 5B using the same stimulation intensity. Vertical dashed lines depict stimulus delivery. Histogram bin
 462 width: 2ms. Point density average (thick red line) and limits of the 95% confidence band (red dashed lines)
 463 depict kernel-smoothed approximates of peri-stimulus mean spike rates.

464

465 Discussion

466

467 This study shows that firing rates of motor cortical neurons and muscle activity can be operantly reinforced
 468 through delivery of rate-contingent stimulation of ventral striatum in non-human primates. We identified BSR
 469 sites in NAc whose stimulation reinforced performance of a target-tracking task with reward efficacy
 470 comparable to fruit sauce. Systematic testing of stimulus parameters (width, amplitude and frequency) with the
 471 FTT task demonstrated response rates consistent with the Law of Effect ([Herrnstein, 1970](#)). Our stimulation of
 472 NAc probably activated fibers that evoked dopamine release, including fibers from the medial forebrain bundle,
 473 which connects the ventral tegmental area to NAc and whose stimulation supports operant responding ([German
 474 and Fetz, 1976](#)). Axon terminals of the medial forebrain bundle release dopamine within the NAc on receipt of
 475 unconditioned rewards ([Wise, 1978](#), [Hernandez and Hoebel, 1988](#)). Moreover, the reinforcing effects of stimuli
 476 that are normally rewarding, such as food, water, drugs of abuse and stimulation of the medial forebrain bundle,

477 are blocked in animals given dopamine antagonists ([Wasserman et al., 1982](#)). A significant proportion of
478 macaque NAc neurons modulated their activity during task-contingent delivery of juice rewards ([Apicella et al.,
479 1991](#)). Thus, the reinforcing effects of our stimuli were likely mediated by activating fibers that released
480 dopamine.

481 **Functional relationships between motor cortex and striatum**

482 The functional relations between the ventral striatum and motor cortex have been elucidated by anatomical
483 electrophysiological and behavioral studies. Polysynaptic projections from NAc to motor cortex have been
484 revealed by retrograde transsynaptic transport of rabies virus ([Miyachi et al., 2006](#)). Conversely, the motor
485 cortex is one of the cortical areas from which the ventral striatum receives input ([Takada et al., 1998](#)), ([Tokuno
486 et al., 1999](#)). Simultaneous recordings of cortical surface ECoG and local field potentials in NAc showed evidence
487 for electrophysiological interactions, in a study demonstrating that NAc plays a significant role in recovery of
488 motor function after corticospinal lesions ([Sawada et al., 2015](#)). Temporally precise coherence between output-
489 relevant neuronal populations in motor cortex and dorsal striatum developed during learning to control cortical
490 cell activity ([Koralek et al., 2013](#)). Despite this evidence for close relations, we found no evidence that our NAc
491 stimuli produced any post-stimulus modulation of motor cortex neurons, indicating that the effect of stimulation
492 on firing rates was mediated by behavioral reinforcement.

493 **Activity correlated with conditioned neurons**

494 While BSR was delivered contingent on increases in firing of a single motor cortex cell, larger neuronal
495 populations would obviously have to be co-activated; in particular, other neurons that provide direct and
496 indirect input to the conditioned neuron would also be recruited to drive its rate increases. Such co-activation of
497 large populations was evidenced by associated muscle contractions and neighboring cell activity. During in-
498 booth sessions the monkey's conditioned changes in neural activity were often correlated with isometric
499 torques produced around the wrist. This is not surprising since the neurons chosen for conditioning were

500 modulated during the wrist task. A previous study found that chaired animals allowed to move limbs freely
501 generated a variety of movements associated with operant bursts of the same cell ([Fetz and Baker, 1973](#)). Given
502 this variability we did not attempt to document the monkeys' movements during the in-cage neural conditioning
503 sessions. A more systematic analysis of movements related to operant bursts during free behavior could be
504 pursued using simultaneous neural and video recordings.

505 In some sessions the activity of a neighboring cell was recorded simultaneously with the reinforced neuron. As
506 illustrated in Eaton 2014, neurons whose cross-correlograms had central peaks indicative of common synaptic
507 drive from upstream sources to both cells could be coactivated or modulated reciprocally in the R/NR periods.
508 These results are consistent with previous studies of synaptic linkages between motor cortex neurons showing
509 that common inputs are seen for both coactivated and reciprocally activated pairs ([Smith and Fetz, 2009](#)).

510 **Comparison of neural conditioning in-booth and in-cage**

511 Learning to control neural activity progressed more slowly during in-cage than in-booth conditioning sessions. In
512 addition, rate increases were smaller and harder to discern for in-cage R-period versus NR-periods. Several
513 differences between the two conditioning environments could have contributed to this disparity. First, during in-
514 booth sessions the monkeys were restrained, with their head and contralateral arms secured. We believe such
515 restraint effectively reduced activity of the movement-related cells during NR periods, providing a lower
516 "baseline" against which increases were measured. Second, most in-booth sessions involved stronger
517 discriminative stimuli (e.g., auditory clicks and a rate-controlled computer cursor) than the barely-audible clicks
518 produced by the Neurochip during in-cage sessions. More intense discriminative stimuli are more likely to be
519 effective secondary reinforcers during the conditioning task. Third, the lack of restraint during in-cage
520 conditioning permitted monkeys to explore a much broader range of motor activities. The greater behavioral
521 repertoire provided more distractions when forming response-reward associations, thus requiring longer time to
522 demonstrate acquisition. In contrast, in the training booth, where monkeys had spent many hours performing
523 the FTT task for both food reward and BSR, monkeys likely drew from a much smaller pool of potential reward-

524 eliciting responses when forming neural-response-reward associations. Fourth, the low-pass filtering of neural
525 activity used for most in-booth experiments may have been more effective than the sliding-window method
526 used for in-cage Neurochip sessions (Figure 2C). This possibility was disproven in a control session in which the
527 sliding-window method was used for both environments: the monkey's performance was still more robust in the
528 booth, where baseline firing rate was lower (Figure 8).

529 Finally, consistent with the r_e parameter of the Law of Effect model, the in-cage environment introduced
530 additional reinforcers – for example, food, toys, presence of neighboring monkeys and grooming activities – that
531 served to increase competing behaviors to the spike-rate operant. As the collective contribution from all non-
532 task reinforcers, r_e , increases, the influence of the task-associated reinforcer, r (BSR in our case), on operant
533 responding is effectively reduced, as shown by the mathematical expression of the Law of Effect for response
534 rate (eq. 1), in which the sum of the two terms $r + r_e$ comprise the denominator. Since fewer non-task-
535 reinforced response alternatives are available to monkeys in the training booth, the Law of Effect predicts that
536 the rewards paired to the operant response should be more effective than in the cage, where there are many
537 distractions.

538 Most of the above reasons that efficacy of conditioning during free behavior would be reduced should also have
539 applied for EMG conditioning. However, increased EMG responses proved quite robust for almost 20 hours
540 (Figure 4A). This difference raises the possibility that conditioning of neural activity might be more difficult than
541 muscle activity; however that conclusion would be contradicted by many successful unit conditioning studies
542 using conventional rewards ([Fetz and Baker, 1973](#), [Fetz and Finocchio, 1975](#), [Moritz and Fetz, 2011](#)). It may be
543 possible that task acquisition itself was faster for EMG conditioning specifically in the context of free behavior.
544 Thus, while the target muscles were normally active in the monkey's natural movement repertoire, the relevant
545 neural activity may not have been as readily discoverable in the cage. Since bursts of motor cortex neurons are
546 typically related to many different movements ([Fetz and Baker, 1973](#), [Fetz and Finocchio, 1975](#)), these diverse
547 relations could have undermined the acquisition of any particular effective movement. These hypotheses

548 clearly deserve further investigation.

549 *Investigating neural coding*

550 Reinforcement of neural activity with BSR during free behavior has the potential of investigating mechanisms of
551 neural coding. In contrast to the conventional coding of information in neural firing rates, the hypothesis that
552 information could be coded in the precise timing of spike activity remains to be proven. The operation of such
553 temporal coding would significantly expand the bandwidth for neural computation ([Fetz, 1997](#)). While we have
554 demonstrated the ability of BSR to reward increases in firing rates, BSR could also be used to test the volitional
555 control of precise spatiotemporal patterns. If the brain uses such patterns during normal behavior, many of
556 them should be volitionally controllable. The use of BSR to instantly reward the appearance of specific patterns
557 under free conditions would provide ample time for the monkey to discover and repeat the relevant behavioral
558 or cognitive state. This would represent a significant test of the existence of temporal coding in the brain.

559

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565 Author Contributions

566 RE and EF conceived the experiments; RE and TL performed experiments and RE, EF and TL wrote the
567 manuscript.

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658

659

660 Figure Captions:

661 Figure 1: Co-registration of cranial X-ray, MRI and brain atlas images. A coronal map of the M. Nemestrina brain

662 was morphed and superimposed on an MRI of Monkey P's brain. Both are positioned over an X-ray image
663 showing the chamber and housed hardware. NAc shown in red.

664

665 Figure 2. Experimental Conditions. **A.** Schematic of unit conditioning in booth. Activity of motor cortex (MC) cell
666 generated pulses that were low-pass filtered and controlled cursor position on a screen. A logic gate triggered
667 pulses when firing rate exceeded a threshold (green traces in C). Pulses stimulated nucleus accumbens (NAc)
668 and auditory feedback tones. **B.** Schematic of unit conditioning during free behavior in cage. The Neurochip
669 was programmed to detect spikes and compile a running average of rate; when this exceeded threshold, pulses
670 triggered stimuli to NAc (blue traces in C) and auditory clicks. **C.** Conversion of NC spike events (bottom) to NAc
671 stimuli (top) as firing rates exceeded threshold (red dashes) for in-booth and in-cage conditioning (green and
672 blue, respectively)

673

674 Figure 3. NAc stimulation reinforces target-tracking behavior. **A.** Average response rates (black squares) during
675 reinforced (R, pink) and feedback only (FO, gray) periods of the wrist force target-tracking task. Clear intervals
676 are non-reinforced periods. Blue ticks (top) mark trial completions. During R periods, each completed flexion or
677 or extension hold triggered behaviorally-reinforcing brain stimulation (BSR).

678 **B.** Rate of target-tracking responding increases monotonically as a function of increasing BSR intensity. Data
679 from monkey P (left column) and monkey D (right). Force target-tracking response rates (gray circles) and
680 response rate means and standard errors (red) are plotted as functions of one varied stimulation parameter:
681 current intensity (top), number of pulses (middle) or frequency (bottom). In each case the other two parameters
682 remained fixed, at 1 mA, 50 Hz or 25 pulses per train. Gray curves depict response rates predicted by regression-
683 fitted Law of Effect model using parameters in Table 1.

684

685 Figure 4. **A.** NAc stimulation reinforces muscle activity in-cage. Top: Baseline control session in which no
686 stimulation was delivered during either "R" (red) or NR (black) periods. Each data-point indicates mean EMG
687 activity over 5 minutes, and surrounding whiskers mark standard error boundaries. Middle and Bottom: EMG-
688 contingent stimulus pulses delivered to NAc during R- periods. Right: EMG potentials that triggered stimulation
689 over each 20-hour session (gray), and their averages (black). Accepted biphasic patterns are followed by artifacts
690 from triggered stimuli. **B.** Averages of biceps muscle activity surrounding NR-R schedule transitions (left) and R-
691 NR transitions (right), shown separately for first third (blue) middle third (black) and last third (red) of the
692 session. **C.** Peri-transition biceps activity during the 20-hour conditioning session, showing NR-R (left) and R-NR
693 (right) transitions. Ordinates count the transitions over the course of the 20-hour session. The color indicates the
694 rate of biceps EMG activity (see scale).

695

696 Figure 5. Response rates for cortical neurons during in-booth conditioning (A-C) and in-cage conditioning (D). A
697 and B. initial and later sessions with monkey D. C. in-booth session with monkey J. D. in-cage session during free
698 behavior with monkey J. Left: Points mark average rates during reinforced periods (red) when RCST stimulation
699 was available and during non-reinforced periods (black). Bars denote 95% confidence intervals. Right. Statistics
700 of cortical cell spike rate during R and NR periods shown as box plots. In each box, the central red line marks the
701 distribution median, and blue box extremities depict upper (75%) and lower (25%) quartiles. Red crosses plot
702 rates with values outside of whisker boundaries. Notch height shows approximate limits of confidence intervals
703 about their median at the 5% significance level.

704

705 Figure 6. Peri-transition histograms of neuron spike activity during in-booth (A,B) and in-cage (C) conditioning.
706 For each session, spikes occurring during two-minute intervals straddling NR-R transitions (left) and R-NR
707 transitions (right) are pooled and binned into histograms above. Light-blue dashed vertical lines at $t = 0$ mark
708 onset and offset of activity-dependent BSR. The point-density estimate of spike rate (thick red line) and its 95%
709 confidence band limits (dashed red lines) overlay corresponding histograms. Horizontal gray lines show averages

710 (solid) of sweeps, and surrounding 95% confidence intervals (dashed) after spike shuffling. Red line shows
711 smoothed firing rates where the smoothing kernel width was determined by overall spike rate ([Davison and](#)
712 [Hinkley, 1997](#)). The slow early rise in A is a result of low NR baseline rates followed by an abrupt increase.
713 Bottom: averages of flexion-extension torques recorded concurrently. Depicted here are peri-transition
714 activities from sessions: A. D2-2 and B. J:1-1. C. Peri-transition histograms of spike activity conditioned in-cage
715 during session J:2-1. The NC2 delivered rate-contingent, spike-triggered BSR in the alternating R/NR schedule.

716

717 Figure 7. In-cage-conditioned spike activity grouped by first third (A), second third (B) and final third (C) of
718 session. Spike activity from the example shown in Figure 6C.

719

720 Figure 8. Rates of motor cortex neuron conditioned in-cage and in-booth with identical parameters. A. Average
721 firing rates during R (red) and NR (black) and surrounding 95% confidence intervals. The monkey was in his
722 home cage (light blue background) during the first hour and then quickly transferred to the training booth
723 during second hour (tan). B. Peri-transition spike averages compiled during conditioning periods in-cage (left)
724 and in-booth (right). Horizontal lines show overall mean rate for each environment. The Neurochip controlled
725 conditioning in both environments; it ran continuously during the 6 minute transfer interval, and continued
726 uninterrupted through the entire session. C. Statistics of cortical cell spike rate during R and NR periods shown
727 as box plots for in-cage (left) and in-booth (right). The p values were obtained from the Kruskal-Wallis test.

728

729 Figure 9. Peri-stimulus spike histograms compiled from spike trains surrounding single-pulse stimulation of NAc
730 reinforcement sites. Histograms were compiled just prior to example experiments depicted in Figure 5A and
731 Figure 5B using the same stimulation intensity. Vertical dashed lines depict stimulus delivery. Histogram bin
732 width: 2ms. Point density average (thick red line) and limits of the 95% confidence band (red dashed lines)
733 depict kernel-smoothed approximates of peri-stimulus mean spike rates.

734

