

# **FETAL ALCOHOL SPECTRUM DISORDERS: RELEVANCE TO FOSTER CARE.**

**Understanding, Identifying, and Helping the  
Highest Risk Babies, Children, & Caregivers**

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**<http://depts.washington.edu/fadu/>**

**1-20-05**

# **FETAL ALCOHOL SPECTRUM DISORDERS: RELEVANCE TO FOSTER CARE**

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<b>Ann Streissguth</b>	<b>9:30-10:30</b>
<b>Nancy Whitney</b>	<b>10:30-10:50</b>
<b>Break</b>	<b>10:50-11:10</b>
<b>Therese Grant</b>	<b>11:10-12:00</b>
<b>Julie Gelo</b>	<b>12:00-12:30</b>
<b>Group Discussion</b>	<b>12:30-1:30</b>
<b>Adjourn</b>	<b>1:30</b>

- IN GRATITUDE -  
**THIS RESEARCH FUNDED BY:**

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National Institute on Alcohol & Alcoholism  
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UW Alcoholism & Drug Abuse Institute  
Foundations Fund for Research in Psychiatry  
UW Medical Student Research Training Prgm  
UW Royalty Research Fund, Dana Foundation  
Center for Substance Abuse Prevention  
March of Dimes, Robert Wood Johnson  
Washington State DSHS

# **This Work is Done by a Team**

## **- In Gratitude - My Colleagues:**

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- David Smith & Ken Jones, 1973
- Jim Hanson, John Graham, Sterling Clarren, Jon Aase
  - Paul Lemoine, Philippe Dehaene
- Donald Martin, Helen Barr, Paul Sampson, Fred Bookstein
- Joan Martin, Sharon L. Ramey, Cindy Herman, Betty Darby
- Heather C. Olson, Robin LaDue, Paul Connor, Janet Huggins
- Therese Grant, Cara Ernst, Pam Phipps
- David Haynor Christine Gleason, Raymond Sze
- Kieran O'Malley, Kay Kelly, Eric Schnapper



**ALCOHOL-RELATED BIRTH DEFECTS**

## WASHINGTON STATE

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**“23,000 children (Birth to age 5)  
are accepted into  
Child Protective Services each year.**

**Case files are opened on nearly  
5,000 with child abuse & neglect**

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## WASHINGTON STATE

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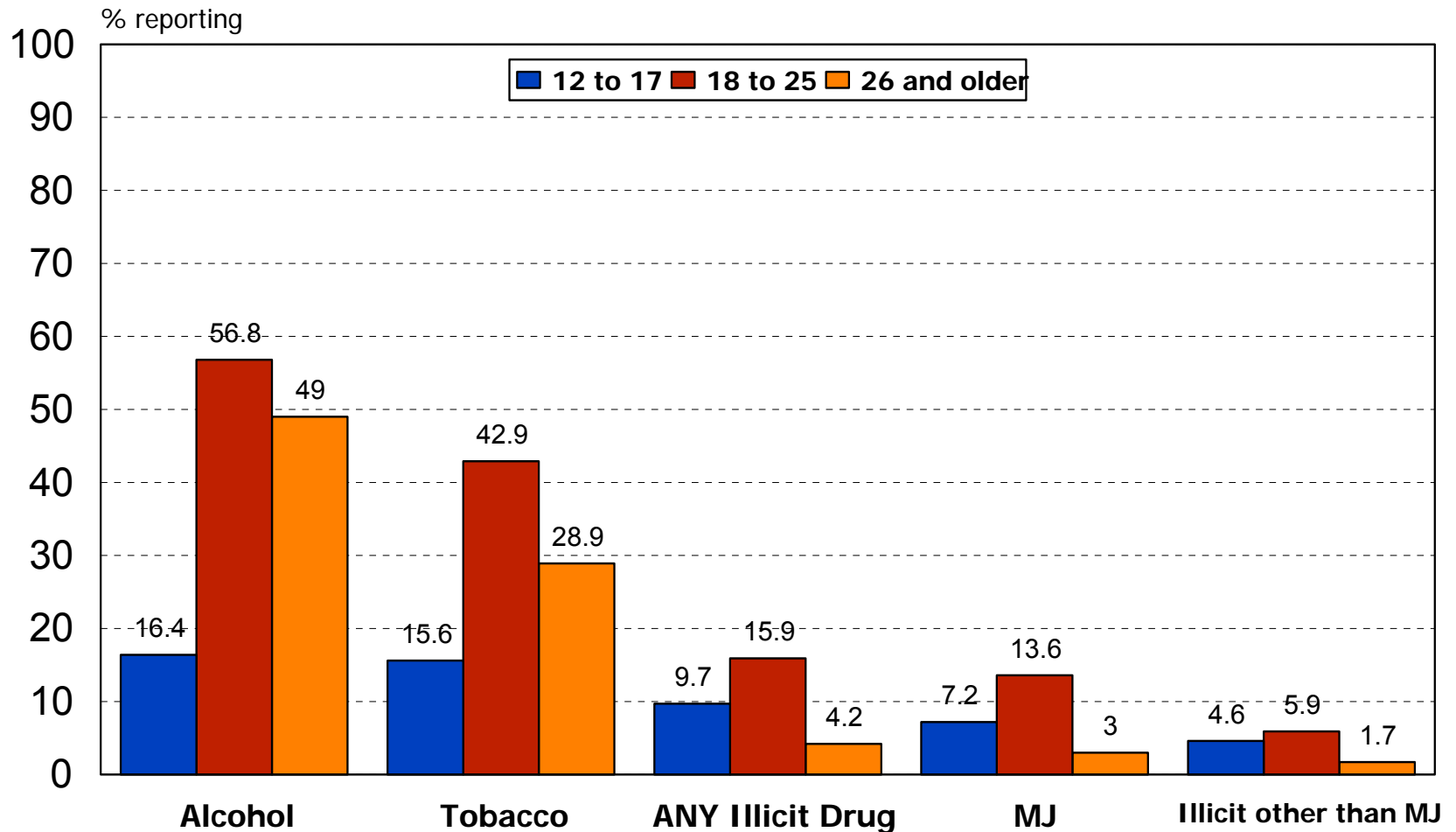
**“Therapeutic treatment available for only 250 of the 4,800 children designated to need help.**

**State Budget is only \$7 million per year for neglected & abused infants**

***Approximately 1% of state funding for corrections “***

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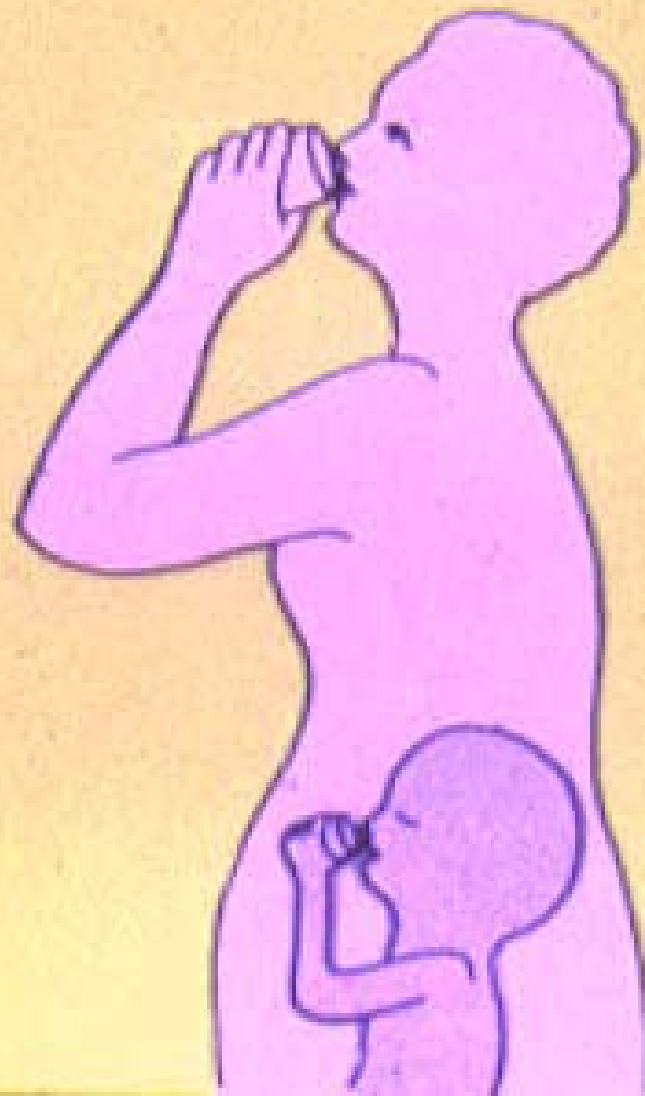
# Past Month Alcohol, Tobacco, and Illicit Drug Use by Age Group



SOURCE: Substance Abuse and Mental Health Services Administration, Summary of Findings from the 2000 National Household Survey on Drug Abuse, NHSDA Series H-13, Rockville, MD.



ETHANOL CROSSES THE PLACENTA FREELY



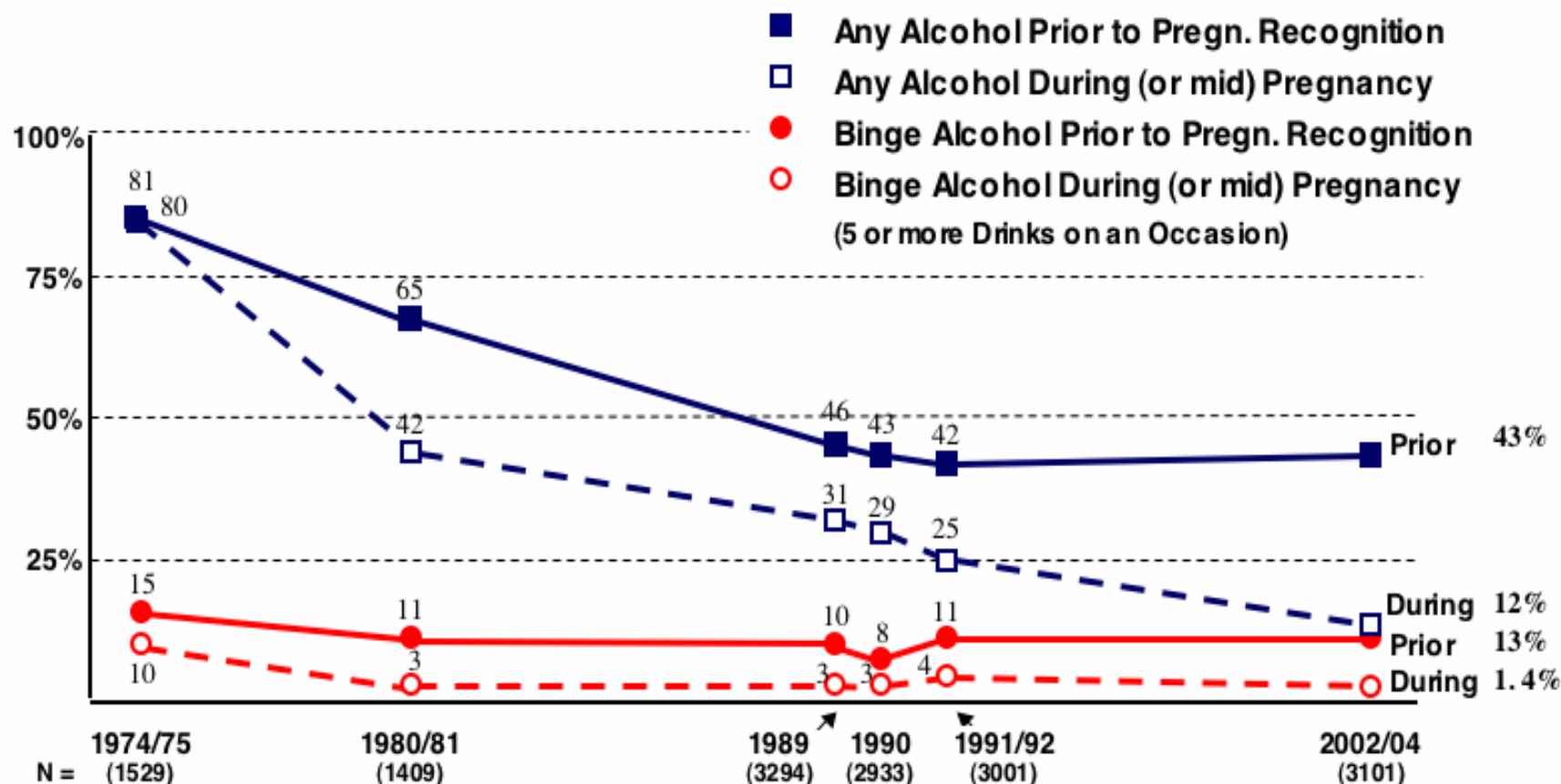
**For Prevention and Intervention:**

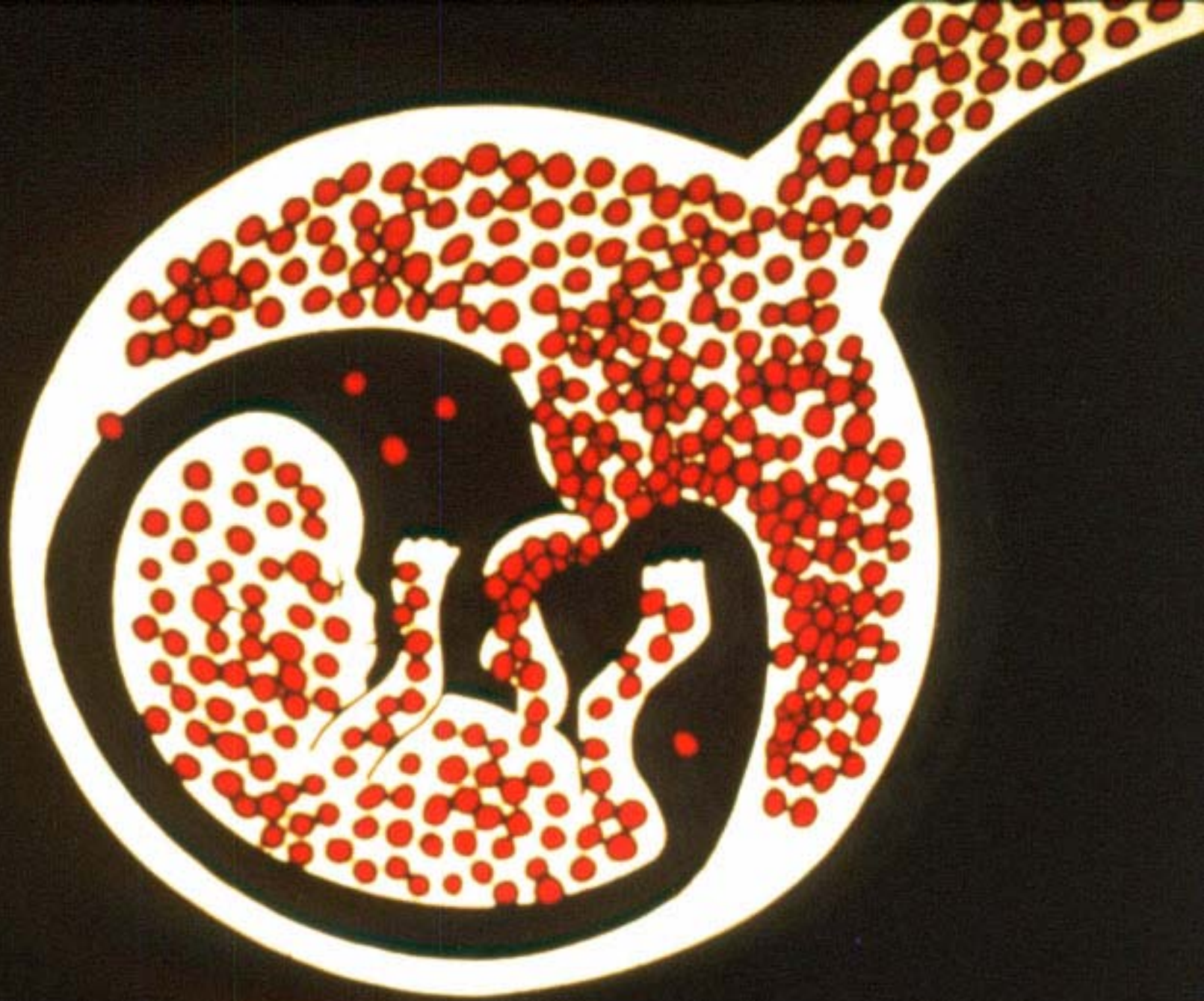
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**BOTH THE MOTHER  
AND THE INFANT  
ARE OUR TARGETS**

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# Change in Alcohol Use & Binge Drinking During Pregnancy: Seattle/King County: 1974 – 2004





# **Fetal Alcohol Spectrum Disorders**

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## **Fetal Alcohol Syndrome (FAS) 1973**

(Face Growth Brain) Jones, Smith, Hanson, Clarren

## **Fetal Alcohol Effects (FAE) 1976**

(Examined & exposed, some alc. effects, not FAS)

## **Alcohol-Related Neurodevelopmental Disorders (ARND) 1996**

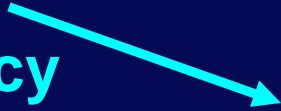
Institute of Medicine, 1996

## **Static Encephalopathy: Alcohol Exposed 1997**

Sterling Clarren, Susan Astley,

UW FAS Diagnostic Clinic 206-685-9888

**Growth  
Deficiency**



**FAS**

**Specific Pattern of  
Facial Anomalies**



**Central Nervous System Dysfunction**  
**Organic Brain Damage**

- **Hyperactivity, attentional deficits**
- **Intellectual deficits, learning disorders**
- **Problems with memory, language & judgment**
- **Developmental delay, microcephaly**
- **Fine & gross motor problems, seizure disorder**
- **Mental retardation, structural brain damage**





**FAE**

**Central Nervous System Dysfunction**  
**Organic Brain Damage**

- Hyperactivity, attentional deficits
- Intellectual deficits, learning disorders
- Problems with memory, language & judgment
- Developmental delay, microcephaly
- Fine & gross motor problems, seizure disorder
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ARND

**Central Nervous System Dysfunction**  
**Organic Brain Damage**

- Hyperactivity, attentional deficits
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- Problems with memory, language & judgment
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# Behavioral Effects Following Prenatal Alcohol Exposure

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## Humans

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Hyperactivity, reactivity  
Attn. deficits, distractibility  
Lack of inhibition  
Mental retard, learning diff.  
Reduced habituation  
Perservation  
Feeding difficulties  
Gait abnormalities  
Poor fine/gross motor skills  
Dev. delay (motor, soc., lang.)  
Hearing abnormalities  
Poor state regulation

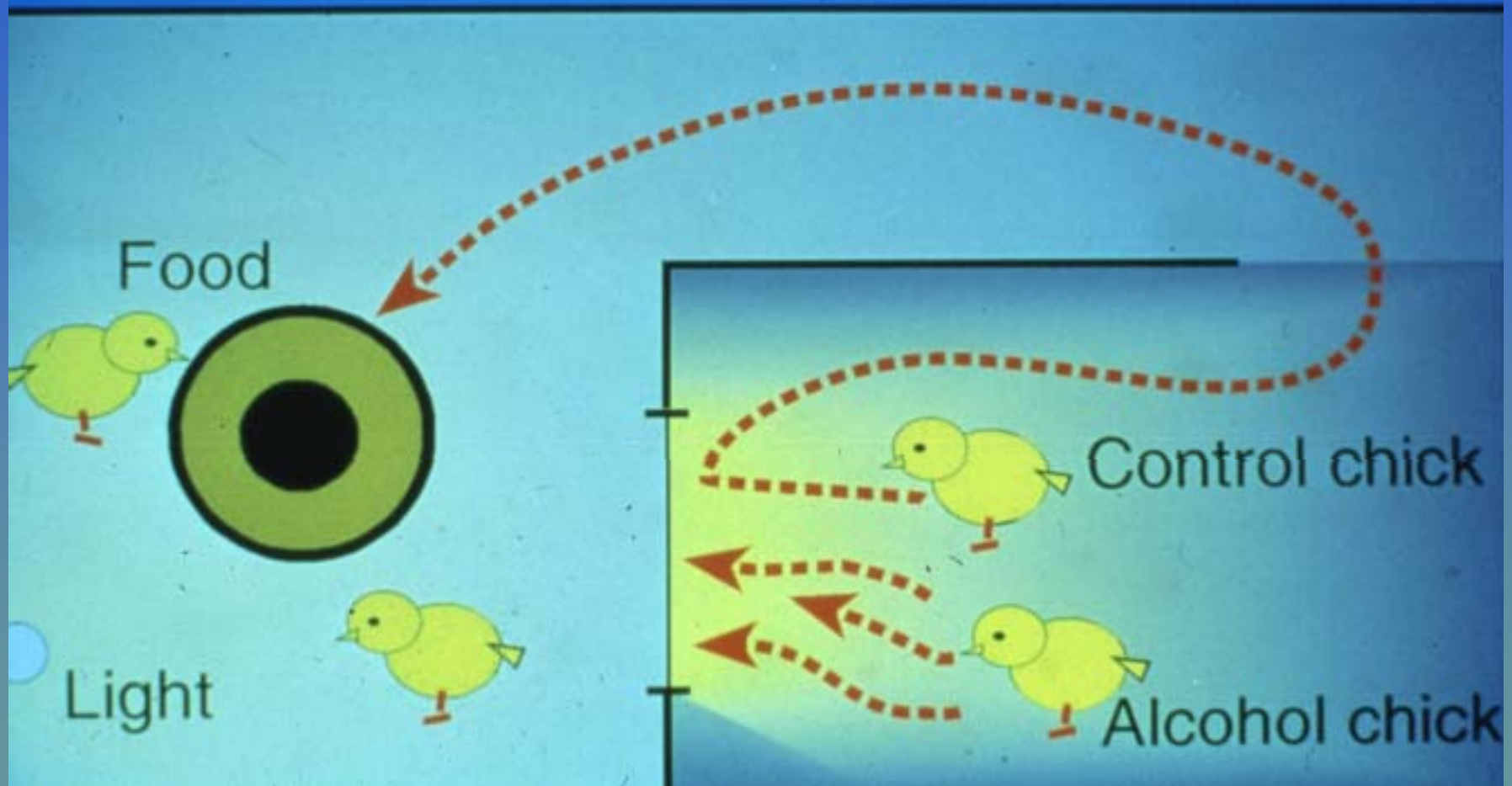
## Animals

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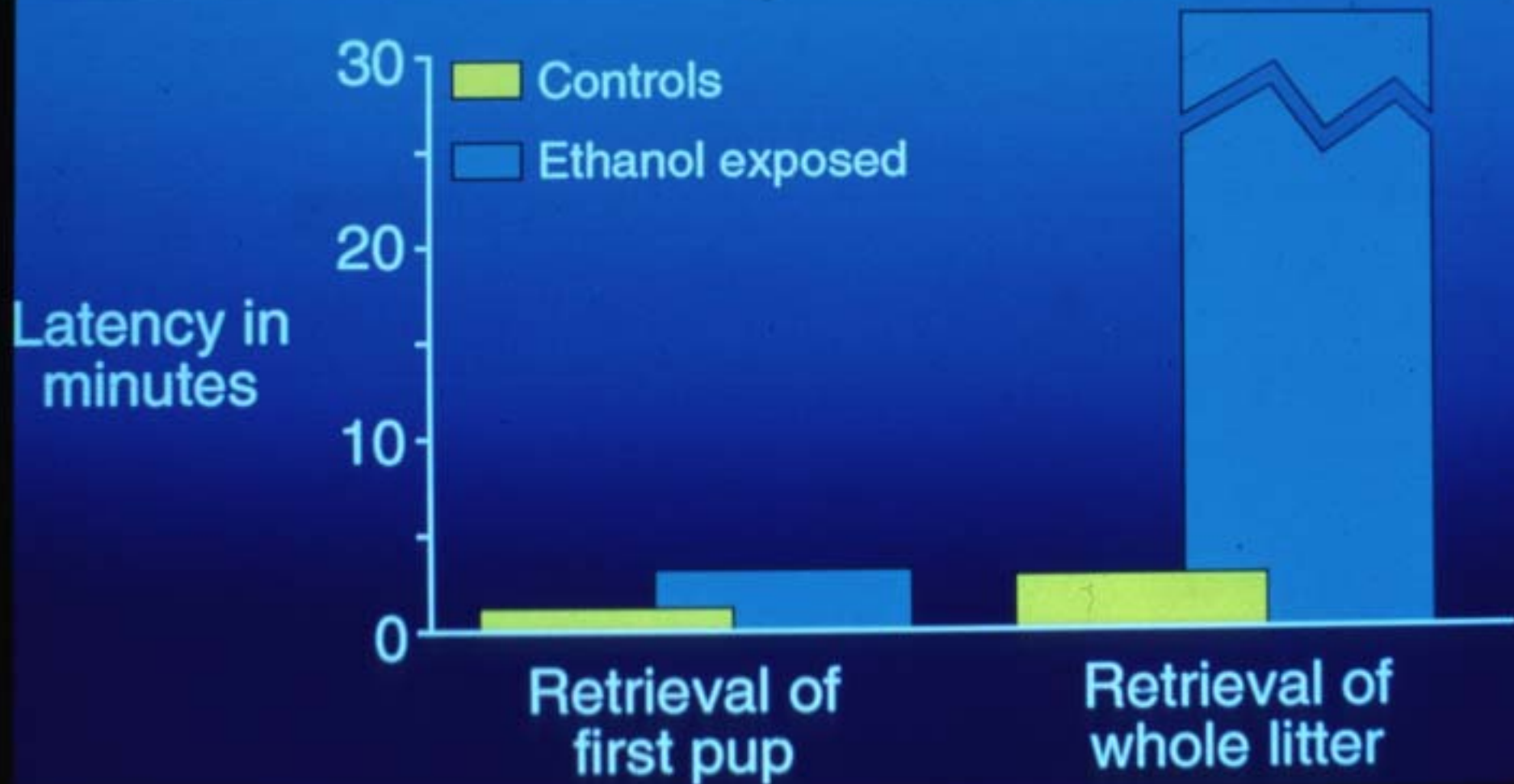
Activity exploration, reactivity  
Decreased attention  
Inhibition deficits  
Impaired associative learning  
Impaired habituation  
Perservation  
Feeding difficulties  
Altered gait  
Poor coordination  
Developmental delay  
Altered audi. evoked potentials  
Poor state regulation



# Alcohol Chicks Fail Detour Learning Test



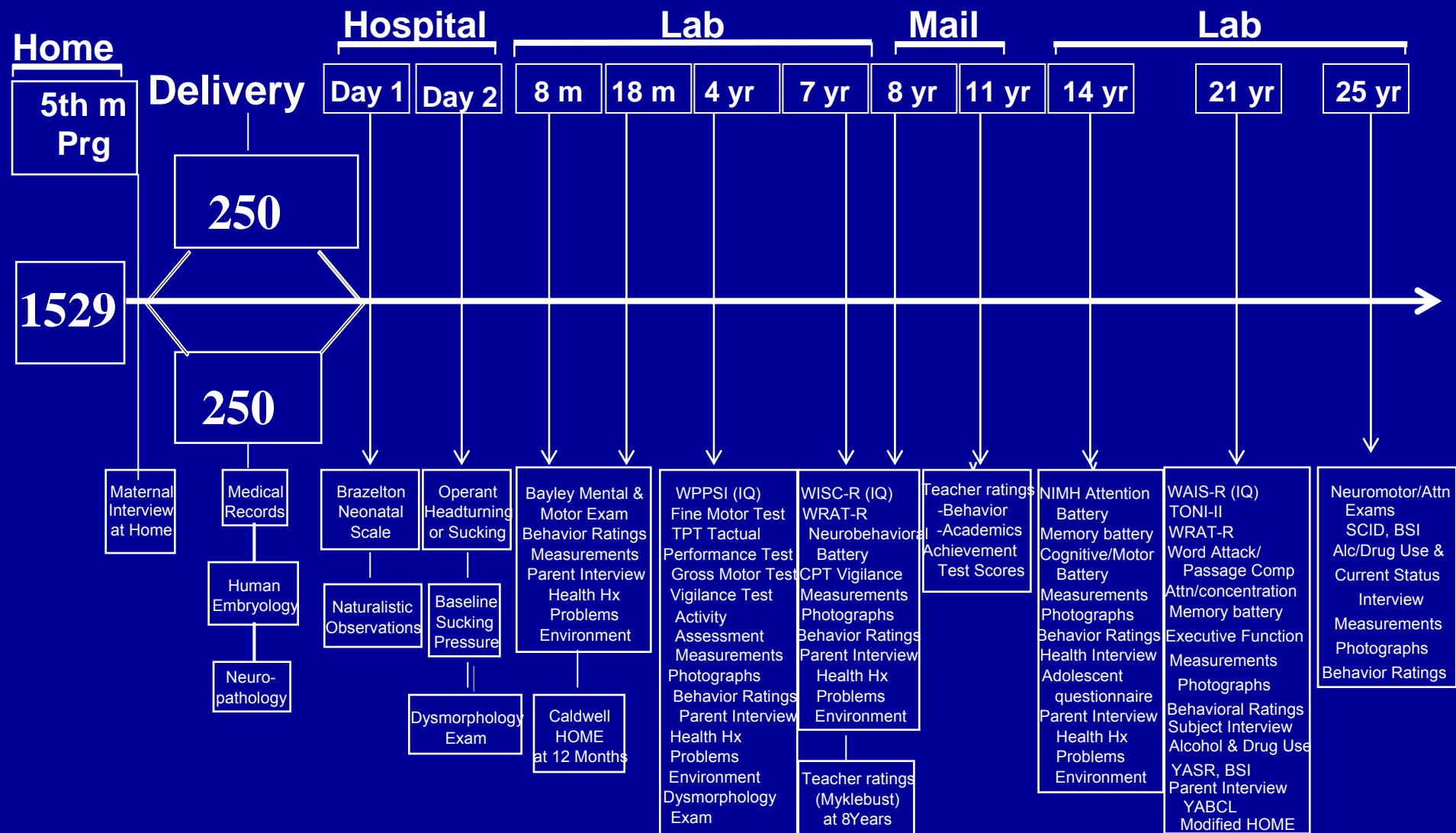
# Prenatal Alcohol Can Alter Pup Retrieval in FAE Mother Rats



# STUDY DESIGN

## SEATTLE LONGITUDINAL PROSPECTIVE STUDY ON ALCOHOL AND PREGNANCY

(prenatal through 30 years)





# Day 1 or 2

## Brazelton Exam, Reflexes, Pressure Transducer

Outcomes most salient for prenatal alcohol

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Sucking Pressure ...Poorer

Latency to Suck ..... Poorer

State Lability ..... Poorer

Habituation: Light\* ..Poorer

Reflexes ..... Poorer

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\* Outcome most salient for prenatal alcohol across first 7 years of life.

Streissguth et al., 1993. The Enduring Effects of Prenatal Alcohol Exposure on Child Development. Ann Arbor, MI: U of Michigan Press

# Summary: Birth cohort study

## Prenatal alcohol effects are:

- Significant across life: Birth to 21 years
- Generally dose-dependant without clear thresholds
- Resistant to covariate adjustment
- Binge pattern particularly harmful

## Neurobehavioral effects are:

- Stronger than physical
- Not mediated by birth weight

# HOW DOES ALCOHOL CAUSE BRAIN DAMAGE?

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## ALCOHOL CAUSES:

- Excessive cell death
  - Reduced cell proliferation
  - Migrational errors in brain development
  - Inhibition of nerve growth factor
  - Disruption of neurotransmitters
-



# **SECONDARY DISABILITIES IN Fetal Alcohol Spectrum Disorders**

# RISK FACTORS

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## For Secondary Disabilities in FASD

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- Not raised in a stable, nurturant home\*
- Not diagnosed at an early age\*
- 72% experienced sexual or physical abuse
- Changing households every two to three years
- Not receiving Developmental Disabilities Services  
(All were born to mothers who abused alcohol)

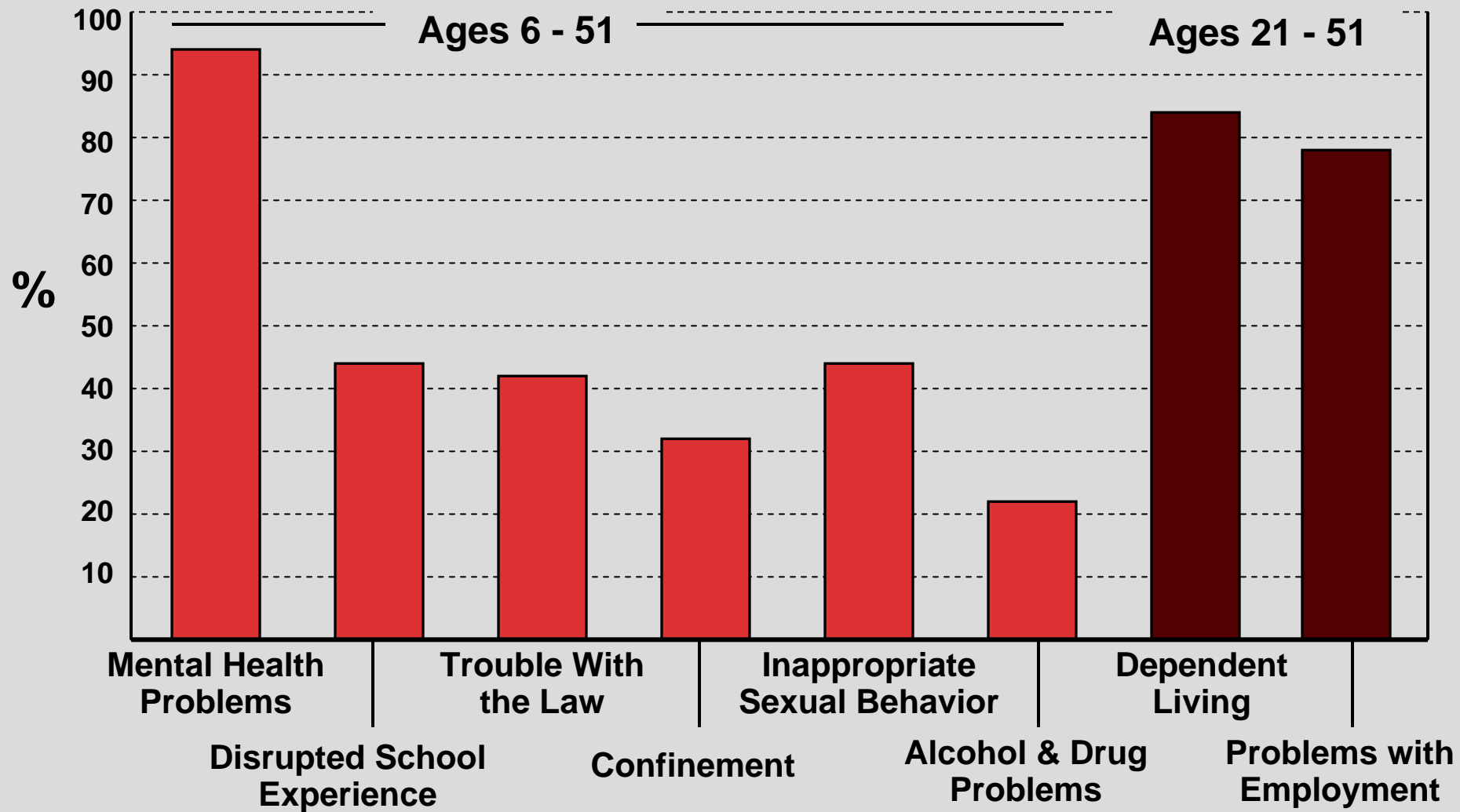
# PROTECTIVE FACTORS

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MULTIVARIATE ANALYSES SHOWED  
TWO STRONG  
PROTECTIVE FACTORS

- Living in a stable & nurturant home
- Receiving an early diagnosis

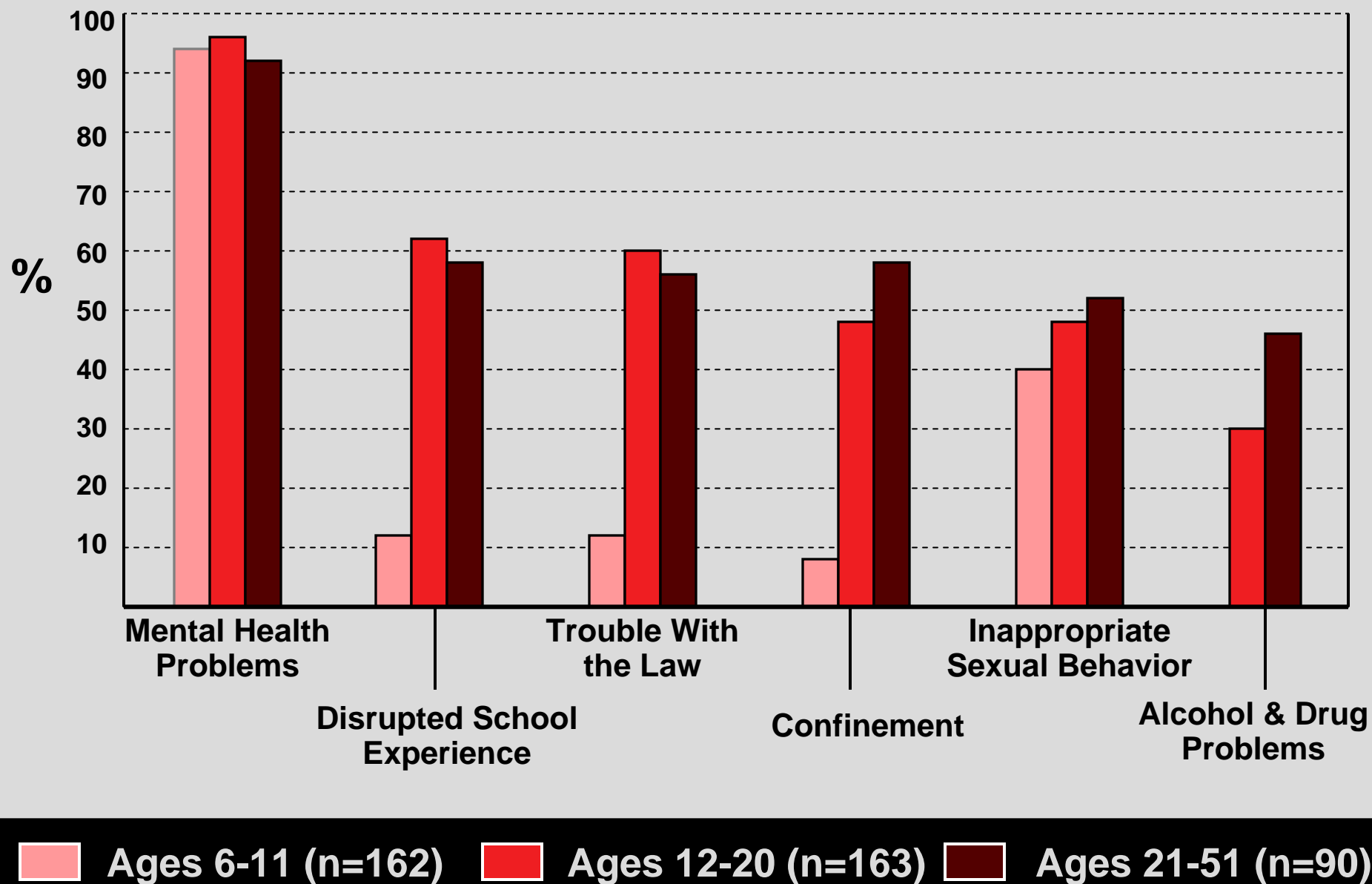
# PREVALENCE OF SECONDARY DISABILITIES Across the Life Span



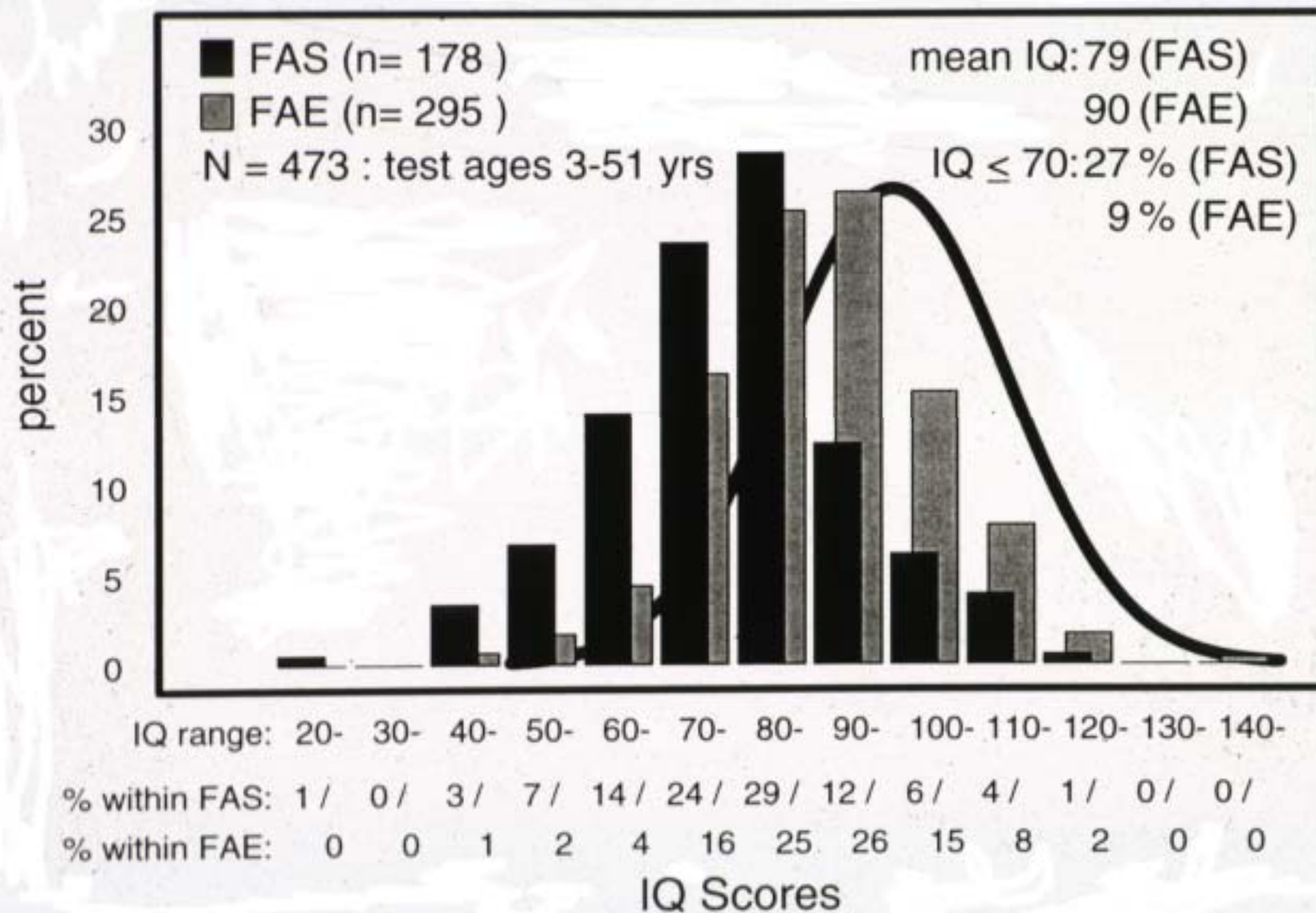
 **Ages 6-51 (n=408-415)**

 **Ages 21-51 (n=89-90)**

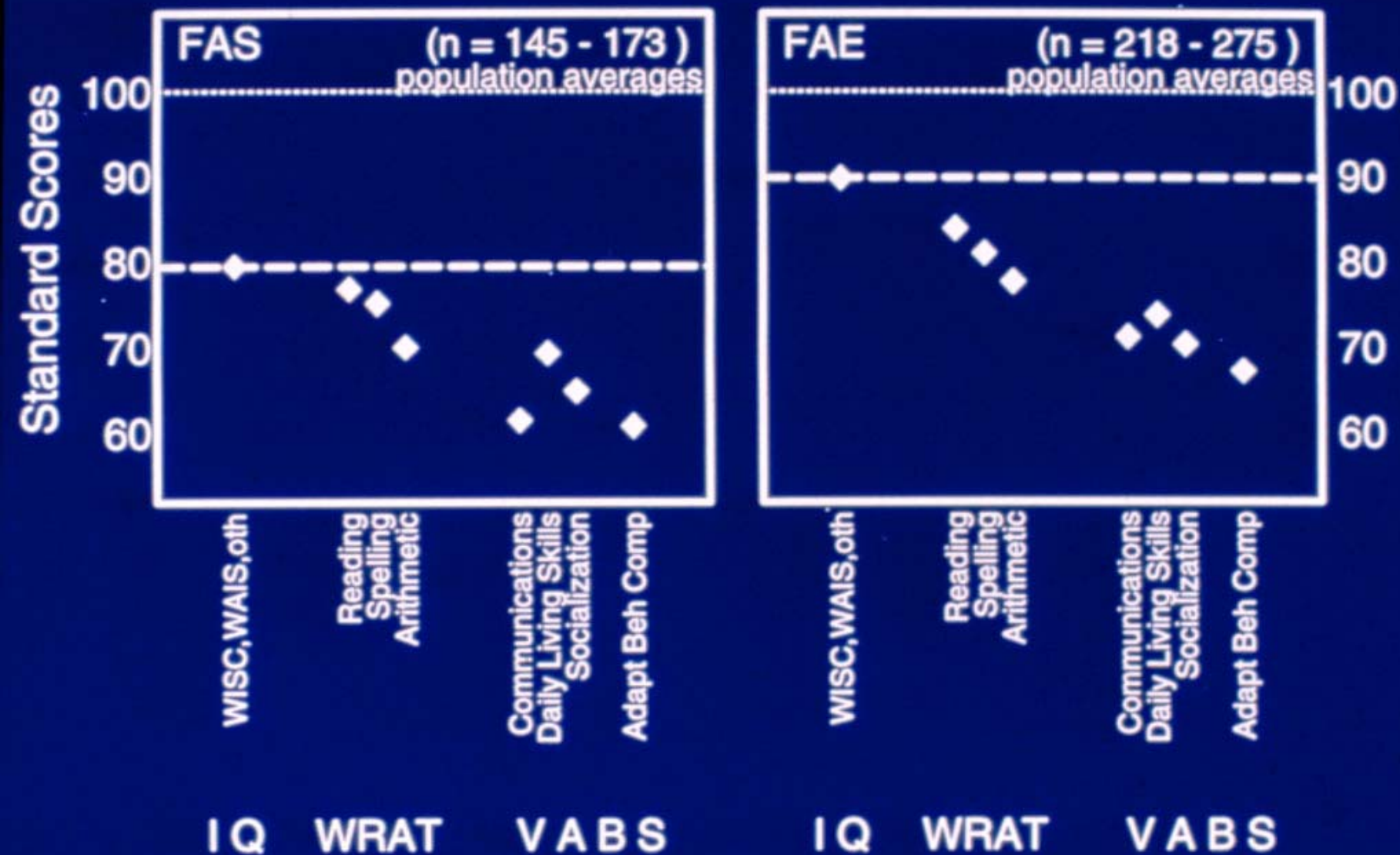
# PREVALENCE OF SECONDARY DISABILITIES by 3 Age Groups



## IQ distributions in the Primary Disabilities Sample: FAS and FAE



# IQ, WRAT, VABS: FAS and FAE



# FAS in Adolescents and Adults

## Clinical Implications

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Poor judgment.....	Easily victimized
Attention deficits.....	Unfocused / distractible
Arithmetic disability.....	Can't handle money
Memory problems.....	Doesn't learn from experience
Difficulty abstracting....	Doesn't understand consequences
Disoriented in..... time and space	Fails to perceive social cues
Poor frustration .....	Quick to anger tolerance



# **IF YOU HAVE A CLIENT LIKE THIS:**

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- 1. Ask if their mother had an alcohol problem?**
  - 2. Ask if they sometimes do the same dumb things over and over?**
  - 3. Ask if they have trouble managing money?**
  - 4. Give an informant the FABs**
  - 5. Help them get help.**
-

**Prenatal  
Alcohol**



**Primary  
Disability**

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**Brain  
Damage**



**Dysfunctional  
Behaviors**



**Secondary  
Disabilities**

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**Trouble with the Law,  
School Disruption, Etc.**

**DETECTION**



## Incidence of FAS:

≈ 3 per 1000 births

## Prevalence of ARND:

> 6 per 1000 births

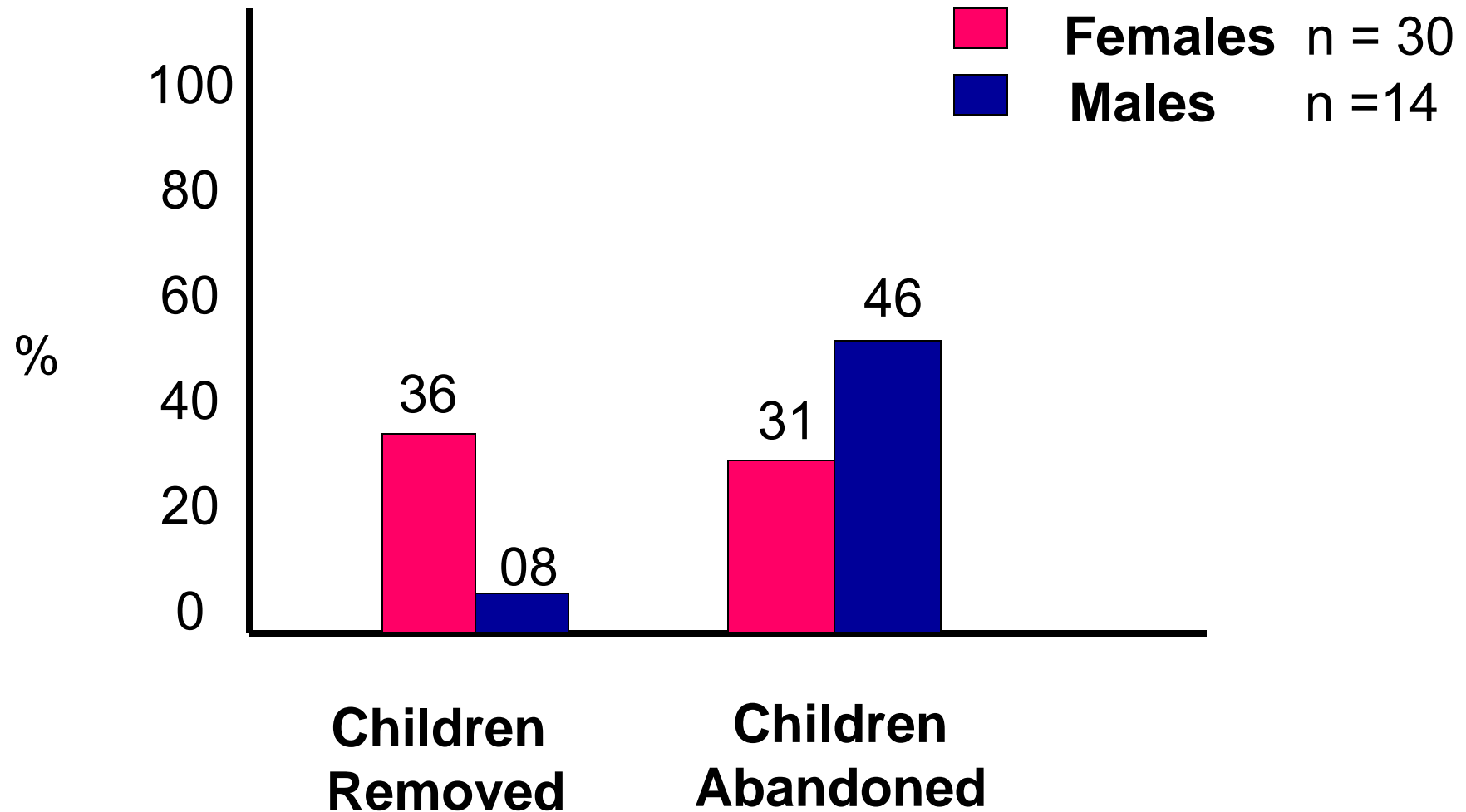
## Prevalence of ARND + FAS:

≈ 1 per 100 births

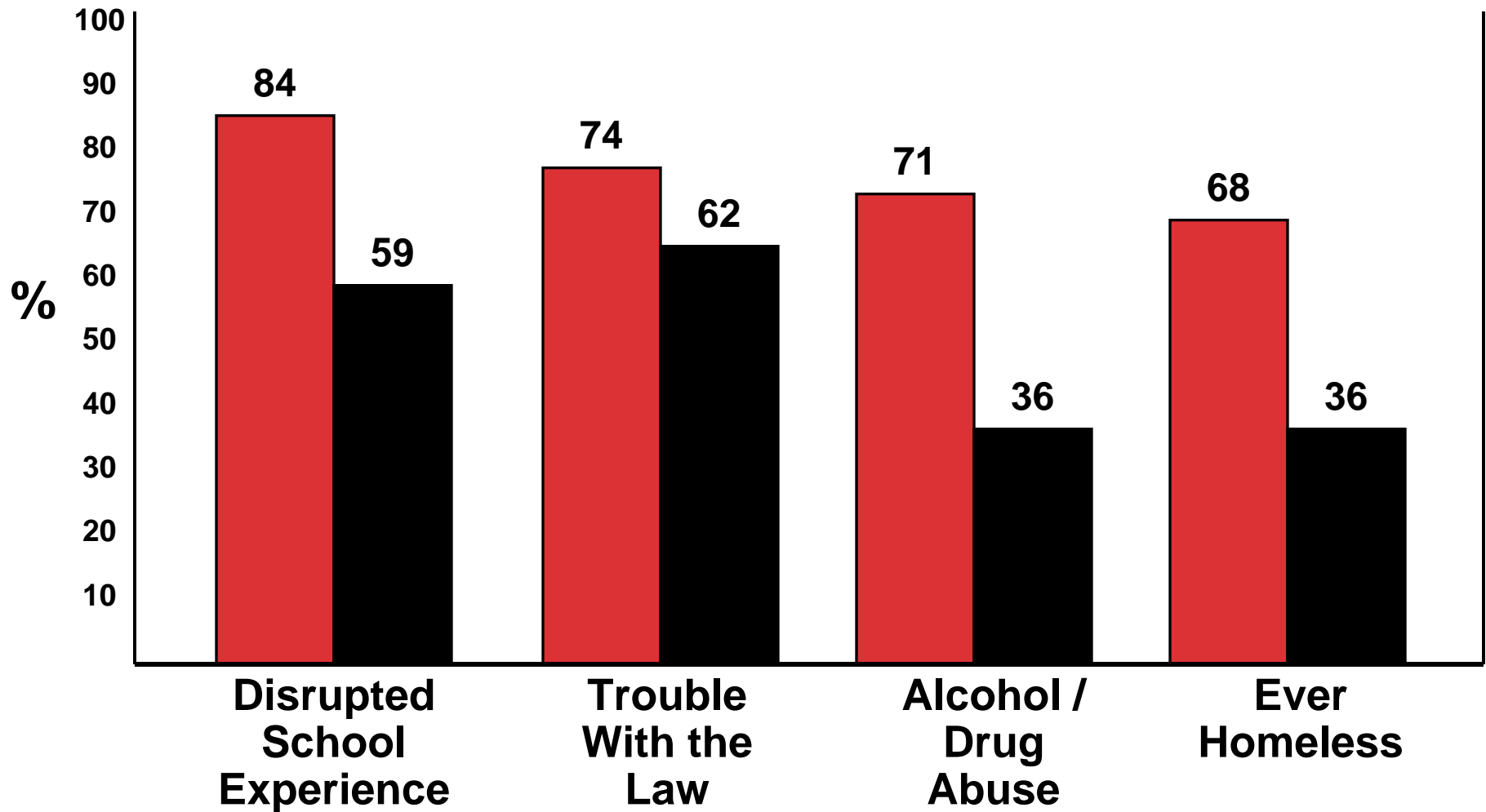
# Patients with FAS / FAE who became Parents

## Reasons for failure to care for children

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# PREVALENCE OF SECONDARY DISABILITIES: Parents vs. Non-Parents

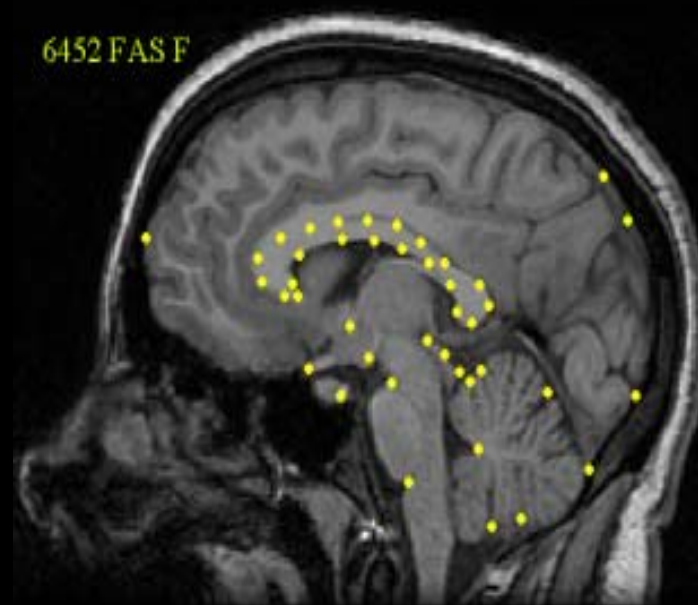
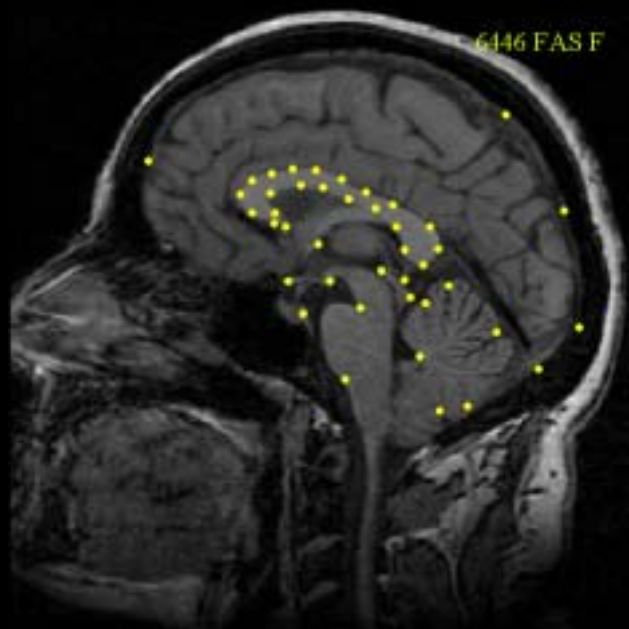


 Parents (n=44)

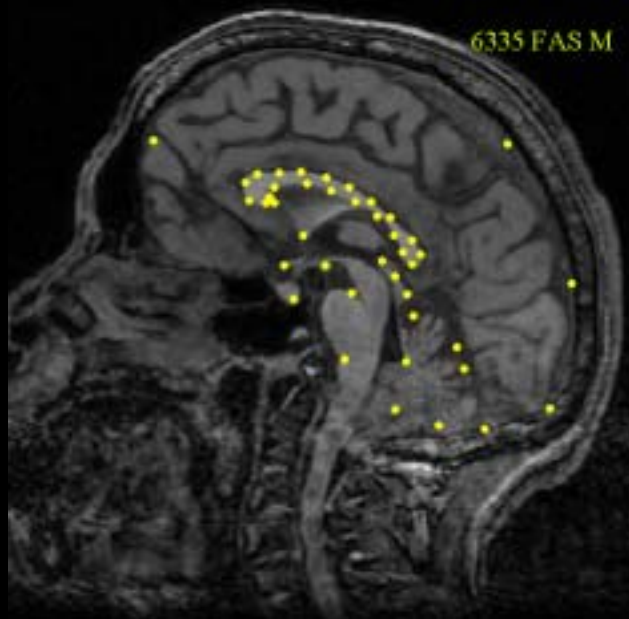
 Non-Parents (n=112)

**DETECTION**



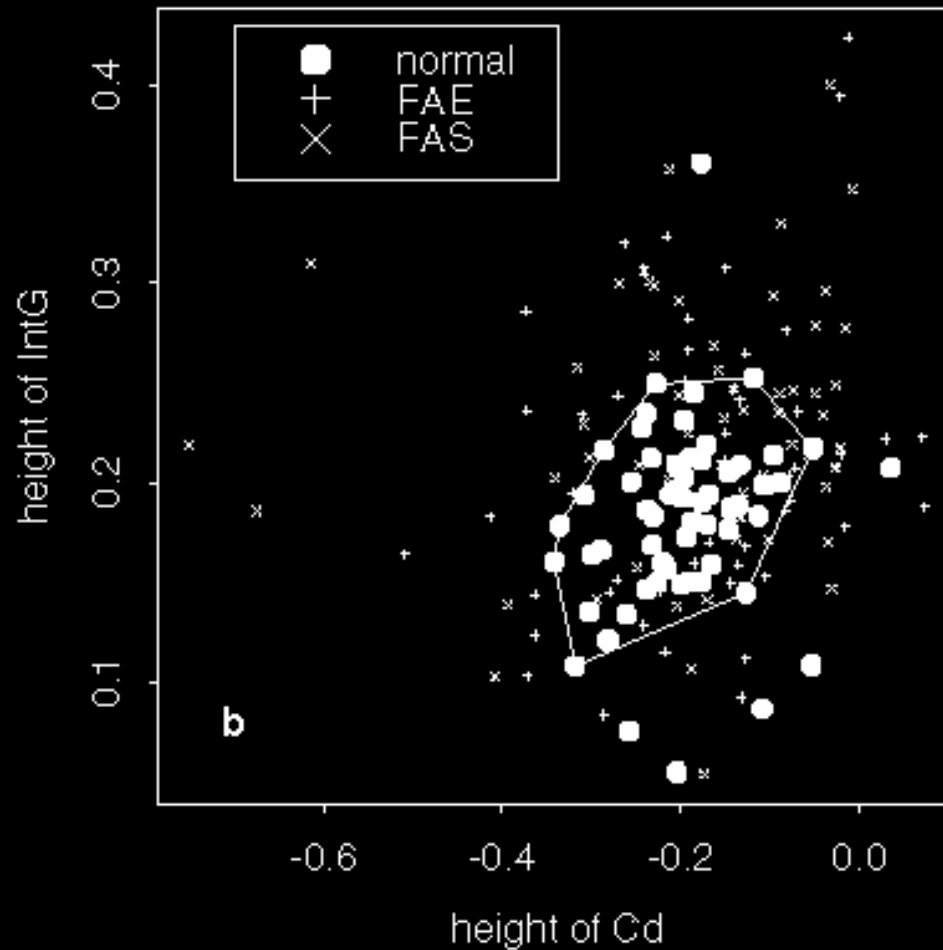


Midsagittal  
4  
FAS

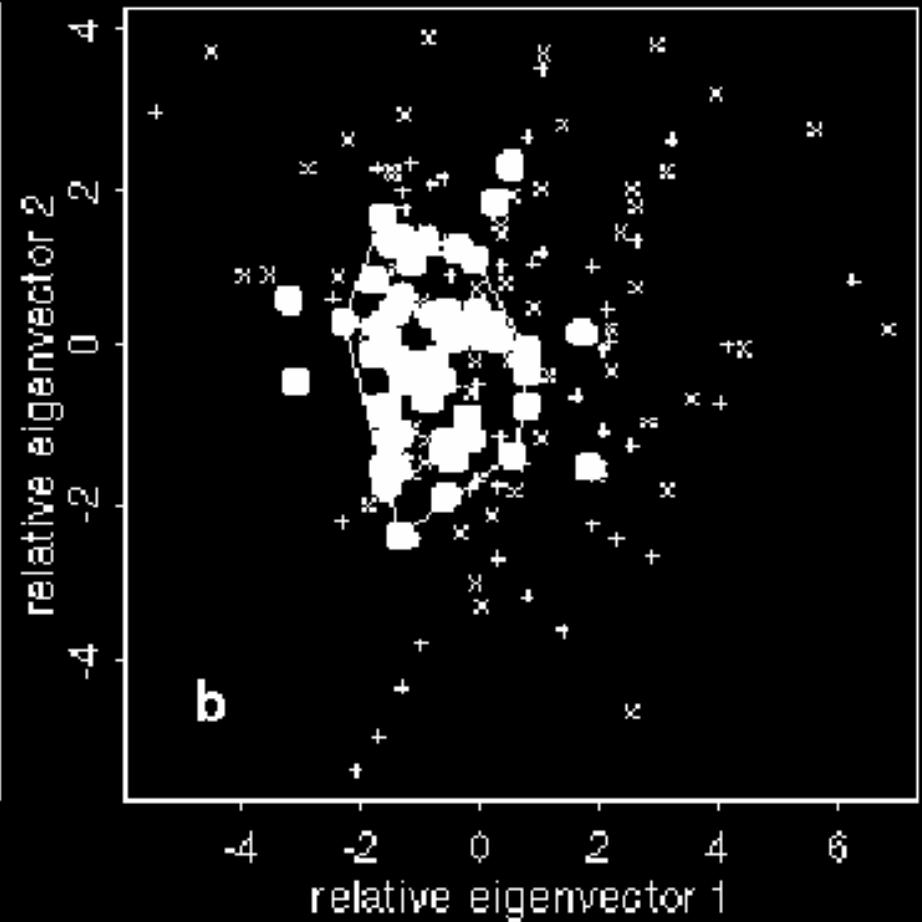


## Both landmarks and callosal outlines

all 177 landmark sets



all 177 callosal outlines



show hypervariability of exposed compared to normals

# Midline Corpus Callosum a Neuroanatomic Focus of Fetal Alcohol Damage \*

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100/117	(exposed detected)	= 85% sensitivity
49/60	(unexposed not detected)	= 82% specificity

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**FAS vs. FAE = indistinguishable**

The finding is for HYPERVARIABILITY of SHAPE,  
Not for mean size or volumes

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\* Bookstein, Sampson, Connor, Streissguth, 2002 *New Anatomist*;  
Bookstein et al, 2001 *Teratology*

# **Corpus Callosum Shape and Neuropsychological Deficits \***

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**90 adult males : 30 FAS, 30 FAE, 30 unexposed**  
**12 min MRI, 5 hr. test battery**

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**Excess shape variation in exposed patients relates to:**

## **Two different profiles of behavior**

- Thinner CC with deficits in motor function**
  - Thicker CC with deficits in executive function**
- 

**Bookstein, Streissguth, Sampson, Connor, Barr. 2002 *NeuroImage***

# BEHAVIORAL PHENOTYPE: FASD

## Poor EXECUTIVE FUNCTION\*

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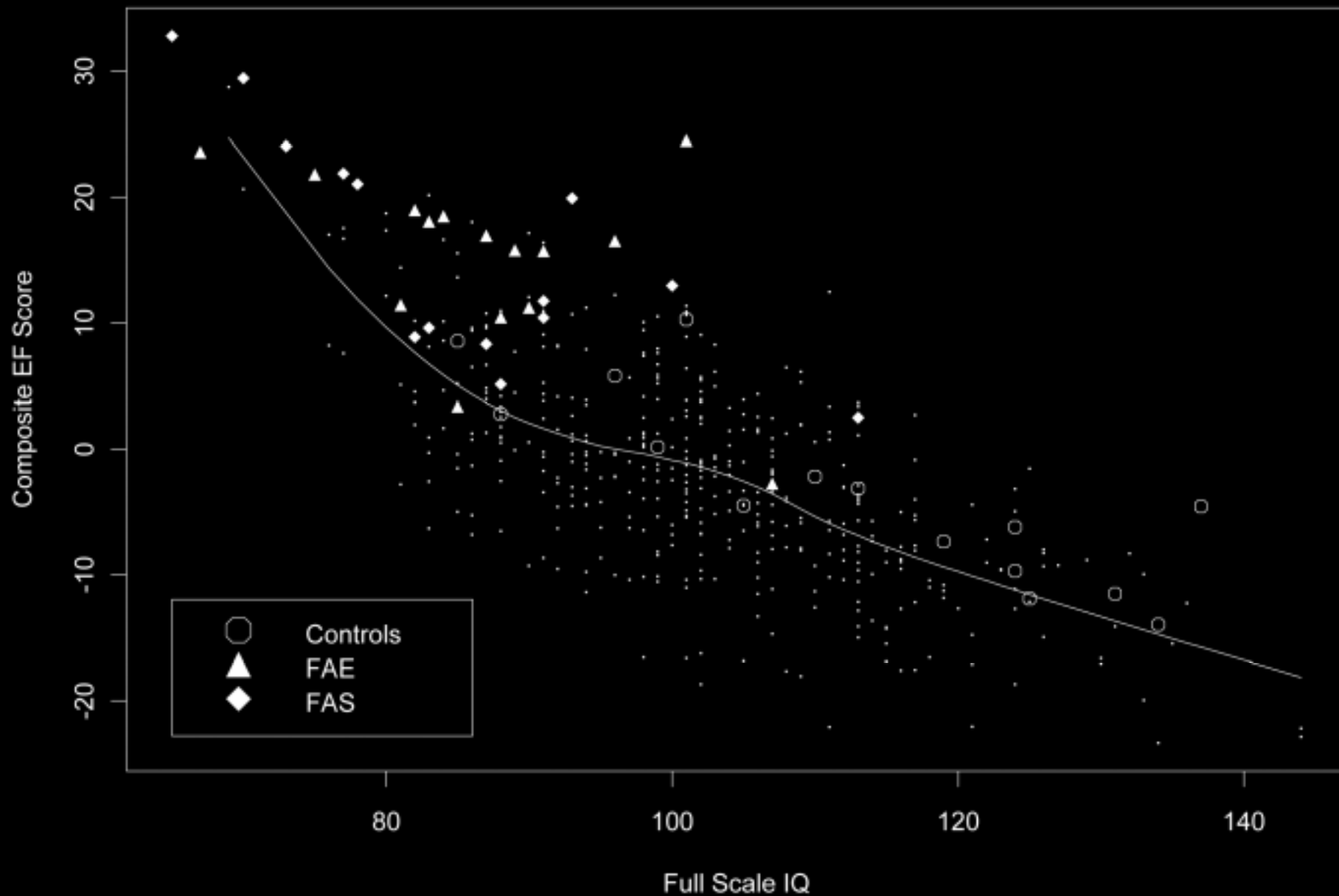
1. Difficulty organizing stored information to plan future activities
  2. Difficulty regulating and sequencing behavior
  3. Difficulty inhibiting responses
  4. Lack of cognitive flexibility
- 

(Wisconsin Card Sort, Stroop, Trails, Ruff's Figural Fluency, Consonant Trigrams)

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\*Connor, Sampson, Bookstein, Barr & Streissguth 2000  
*Developmental Neuropsychology*

## More Executive Function Problems Than Expected for IQ Level in FAS/FAE



# BEHAVIORAL PHENOTYPE: FASD

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**Poor Habituation: \***

**Difficulty Modulating Incoming Stimuli**

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- 1. Gets overstimulated in social situation (as in a crowded room, or among strangers)**
  - 2. Overreacts to situations with surprisingly strong emotional reactions**
  - 3. Displays rapid mood swings set off by seemingly small events**
  - 4. Has poor attention spans**
  - 5. Has trouble completing tasks**
- 

\*Fetal Alcohol Behavioral Scale (FABS), parent report, Streissguth Book 1997

## KEEP IN MIND:

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**Fetal Alcohol Spectrum Disorders  
are Birth Defects  
caused by prenatal alcohol,**

**and**

**Compounded postnatally  
by the consequences of  
a mother who abuses alcohol.**

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**How can we detect and help  
families at risk in our own  
communities?**

# In Our Communities We Must:

- **Screen & detect high risk mothers, at pregnancy and delivery**
- **Be especially alert to mothers and babies with FASD**
- **Get both mothers and babies into early interventions**
- **Keep data on what happens**

Best predictor of poor  
child & adult outcomes

BARC \*

Calculated from self-reported  
frequency of 4 - 5 or more  
drinks per drinking occasion

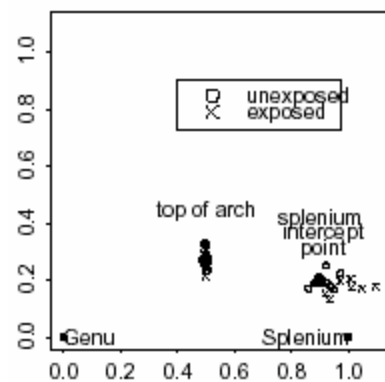
\*Binge Alcohol Rating Criteria

Barr & Streissguth, 2001.

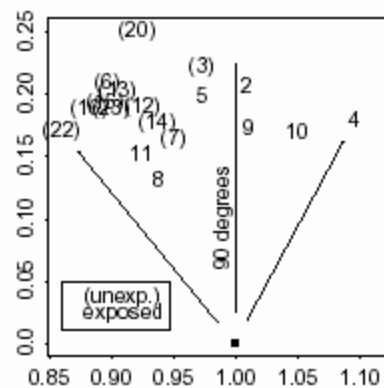




four points from averaged outlines



splenium intercept point only



# **Fetal Alcohol Spectrum Disorders ARE NOT HOPELESS**

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- **An early Diagnosis**
  - **Stable, Nurturant, Good Quality Home**
  - **Freedom from Personal Violence**
  - **Appropriate Institutional Supports**
- 

**Can prevent secondary disabilities and  
enhance adult employment and independence**

## **Recommendations for Institutional Detection of Patients with FAS/FAE**

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### **AT INTAKE**

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- 1. ASK about maternal alcohol abuse**
- 2. LOOK at the patient**
- 3. LEARN about the patient's past history**



**DID YOUR MOTHER  
USED TO HAVE  
A DRINKING PROBLEM?**

## **FAS / FAE**

**Only 7 of 90 adults able to  
live independently and  
without major employment  
problems**

# WELLNESS FOR MOTHER/CHILD

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1. Get an early diagnosis for babies
  2. Get help for alcohol-abusing moms
  3. Get babies into early intervention
  4. Enlist family/peer support
-

# **WELLNESS FOR CAREGIVERS**

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- 1. Get a diagnosis if FASD suspected**
  - 2. Join an FASD support group**
  - 3. Get baby into early interventions**
  - 4. Get trainings from foster-care program**
  - 5. Help child be the best they can be**
  - 6. Watch child for developmental cues**
  - 7. Provide learning opportunities but avoid over-stimulating child w/ FASD**
-

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**“IT IS IN THE SHELTER  
OF EACH OTHER  
THAT THE PEOPLE LIVE”**

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--IRISH PROVERB--